

Satisfiability Modulo Theories Solver

- Decision procedure

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Based on Reynolds(2017), Tsai(2017)
Thanks to Yi-Fan Lin for making the slides

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 - Equality and Uninterpreted Functions (EUF)
 - Arrays
- 3 Combined theories

From SAT to SMT

- We've known the decision procedure for **SAT** problems.
 - ▶ DPLL algorithm
- What happened when it comes to **First-Order Logic**,

e.g.

$$(x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge (y = 4),$$

is this formula satisfiable under the theory of LIA?

→ We can apply **SMT** solver

Satisfiability Modulo Theories (SMT) solver

- Rely on **DPLL(T)** algorithm, an extension of DPLL, where **T** is a set of first-order theories.
- A **first-order theory** is defined by:
 - ▶ Signature(Σ): constants + function symbols + predicate symbols+ variables
 - ▶ Axioms(must be satisfied): a set of Σ -formula
- SAT solver operations: **Propagate**, **Decide** and **Backtrack**.

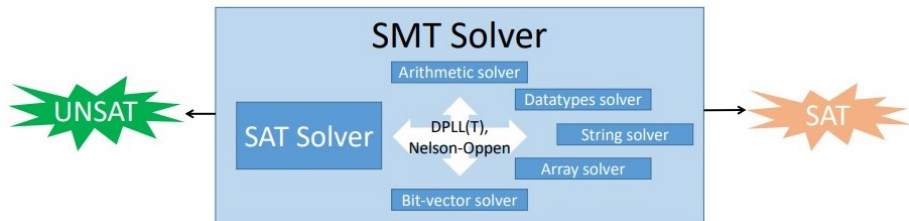


Figure 1: Basic architecture of a SMT solver [1]

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4$$

$$\xrightarrow{\text{abstraction}} \phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3$$

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4$$

$$\phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3$$

- Propagate: $a_3 \mapsto \text{T}$

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4$$

$$\phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3$$

- Propagate: $a_3 \mapsto \top$
- Decide: $a_1 \mapsto \top$

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4$$

$$\phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3$$

- Propagate: $a_3 \mapsto \top$
- Decide: $a_1 \mapsto \top$
- Propagate: $a_2 \mapsto \top$

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4$$

$$\phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3$$

- Propagate: $a_3 \mapsto \text{T}$
- Decide: $a_1 \mapsto \text{T}$
- Propagate: $a_2 \mapsto \text{T}$
- Pass assignment $\alpha := \{a_1 \mapsto \text{T}, a_2 \mapsto \text{T}, a_3 \mapsto \text{T}\}$ to LIA solver, LIA solver solves $(y = 4 \wedge x < 0 \wedge x = y + 3)$ and gets **UNSAT**

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4 \\ \wedge (\neg(y = 4) \vee \neg(x < 0) \vee \neg(x = y + 3))$$

$$\phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3 \wedge (\neg a_3 \vee \neg a_1 \vee \neg a_2)$$

- Propagate: $a_3 \mapsto \top$
- Decide: $a_1 \mapsto \top$
- Propagate: $a_2 \mapsto \top$
- Pass assignment $\alpha := \{a_1 \mapsto \top, a_2 \mapsto \top, a_3 \mapsto \top\}$ to LIA solver, LIA solver solves $(y = 4 \wedge \neg(x < 0) \wedge x = y + 3)$ and gets **UNSAT**.
 \Rightarrow Add blocking clause

DPLL(T) Algorithm - An Example

$$\begin{aligned}\phi &:= (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4 \\ &\quad \wedge (\neg(y = 4) \vee \neg(x < 0) \vee \neg(x = y + 3)) \\ \phi_p &:= (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3 \wedge (\neg a_3 \vee \neg a_1 \vee \neg a_2)\end{aligned}$$

- Propagate: $a_3 \mapsto \text{T}$
- **Decide:** $a_1 \mapsto \text{T}$
- Propagate: $a_2 \mapsto \text{T}$
- Pass assignment $\alpha := \{a_1 \mapsto \text{T}, a_2 \mapsto \text{T}, a_3 \mapsto \text{T}\}$ to LIA solver, LIA solver solves $(y = 4 \wedge x < 0 \wedge x = y + 3)$ and gets **UNSAT**.
 \Rightarrow Add blocking clause
- **Conflict!** backtrack to the decision

DPLL(T) Algorithm - An Example

$$\begin{aligned}\phi &:= (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4 \\ &\quad \wedge (\neg(y = 4) \vee \neg(x < 0) \vee \neg(x = y + 3)) \\ \phi_p &:= (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3 \wedge (\neg a_3 \vee \neg a_1 \vee \neg a_2)\end{aligned}$$

- Propagate: $a_3 \mapsto \text{T}$
- Backtrack: $a_1 \mapsto \text{F}$

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4 \\ \wedge (\neg(y = 4) \vee \neg(x < 0) \vee \neg(x = y + 3))$$

$$\phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3 \wedge (\neg a_3 \vee \neg a_1 \vee \neg a_2)$$

- Propagate: $a_3 \mapsto \text{T}$
- Backtrack: $a_1 \mapsto \text{F}$
- Propagate: $a_0 \mapsto \text{T}$

DPLL(T) Algorithm - An Example

$$\phi := (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4 \\ \wedge (\neg(y = 4) \vee \neg(x < 0) \vee \neg(x = y + 3))$$

$$\phi_p := (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3 \wedge (\neg a_3 \vee \neg a_1 \vee \neg a_2)$$

- Propagate: $a_3 \mapsto \text{T}$
- Backtrack: $a_1 \mapsto \text{F}$
- Propagate: $a_0 \mapsto \text{T}$
- Pass assignment $\alpha := \{a_0 \mapsto \text{T}, a_1 \mapsto \text{F}, a_3 \mapsto \text{T}\}$ to LIA solver, LIA solver solves $(x + y < 3 \wedge \neg(x < 0) \wedge y = 4)$ and gets **UNSAT**.

DPLL(T) Algorithm - An Example

$$\begin{aligned}\phi := & (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4 \\ & \wedge (\neg(y = 4) \vee \neg(x < 0) \vee \neg(x = y + 3)) \\ & \wedge (\neg(x + y < 3) \vee (x < 0) \vee \neg(y = 4))\end{aligned}$$

$$\begin{aligned}\phi_p := & (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3 \wedge (\neg a_3 \vee \neg a_1 \vee \neg a_2) \\ & \wedge (\neg a_0 \vee a_1 \vee \neg a_3)\end{aligned}$$

- Propagate: $a_3 \mapsto \text{T}$
- Backtrack: $a_1 \mapsto \text{F}$
- Propagate: $a_0 \mapsto \text{T}$
- Pass assignment $\alpha := \{a_0 \mapsto \text{T}, a_1 \mapsto \text{F}, a_3 \mapsto \text{T}\}$ to LIA solver, LIA solver solves $(x + y < 3 \wedge \neg(x < 0) \wedge y = 4)$ and gets **UNSAT**.
 \Rightarrow Add blocking clause

DPLL(T) Algorithm - An Example

$$\begin{aligned}\phi := & (x + y < 3 \vee x < 0) \wedge (\neg(x < 0) \vee x = y + 3) \wedge y = 4 \\ & \wedge (\neg(y = 4) \vee \neg(x < 0) \vee \neg(x = y + 3)) \\ & \wedge (\neg(x + y < 3) \vee x < 0 \vee \neg(y = 4))\end{aligned}$$

$$\begin{aligned}\phi_p := & (a_0 \vee a_1) \wedge (\neg a_1 \vee a_2) \wedge a_3 \wedge (\neg a_3 \vee \neg a_1 \vee \neg a_2) \\ & \wedge (\neg a_0 \vee a_1 \vee \neg a_3)\end{aligned}$$

- Propagate: $a_3 \mapsto \text{T}$
- Backtrack: $a_1 \mapsto \text{F}$
- Propagate: $a_0 \mapsto \text{T}$
- Pass assignment $\alpha := \{a_0 \mapsto \text{T}, a_1 \mapsto \text{F}, a_3 \mapsto \text{T}\}$ to LIA solver, LIA solver solves $(x + y < 3 \wedge \neg(x < 0) \wedge y = 4)$ and gets **UNSAT**.
- \Rightarrow Add blocking clause. **No decision to Backtrack, return UNSAT**

Satisfiability Modulo Theories (SMT) solver

- Basic Idea:

- ① The SAT solver checks whether the **propositional abstraction** of the formula is satisfiable

- ▶ If so, decide an assignment for **each literal**.
- ▶ If not, backtrack. If backtracking is unavailable, return **UNSAT**(T-unsatisfiable).

- ②

- ▶
- ▶

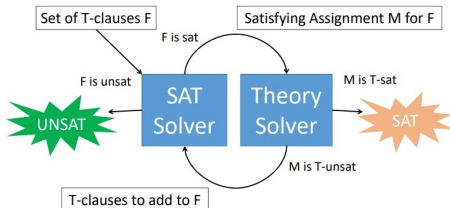


Figure 2: Interactions inside a SMT solver[1]

Satisfiability Modulo Theories (SMT) solver

- Basic Idea:

- ① The SAT solver checks whether the **propositional abstraction** of the formula is satisfiable.
 - ▶ If so, decide an assignment for **each literal**.
 - ▶ If not, backtrack. If backtracking is unavailable, return **UNSAT**(T-unsatisfiable).
- ② Then, the theory solver checks whether the assignment is satisfiable.
 - ▶ If so, return **SAT**(T-satisfiable).
 - ▶ If not, add blocking clauses to the formula, go back to step 1.

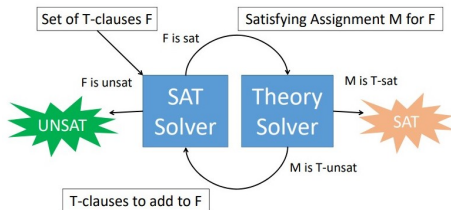


Figure 2: Interactions inside a SMT solver[1]

Propositional Abstraction - Exercise

Perform propositional abstraction:

- $F(a) = F(F(b)) \wedge a = 5 \wedge (\neg(b = 5) \vee F(b) = 5)$
- $(R(a, i) + 4 = 5 \vee R(W(a, i, x), j) < 0) \wedge (R(a, 0) = R(a, j) \vee R(a, 0) = R(a, i)) \wedge \neg(i = j)$

Perform DPLL(LIA) Algorithm to solve the formula:
(you can omit the decision procedure of LIA solver)

- $(x > 0 \vee x + y < 1) \wedge (x + y = 2 \vee y = 5) \wedge (x > 3 \vee \neg(x + y = 2))$

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- We've provided a walkthrough of DPLL(T) with an example, but one may ask: How do theory solvers work?
- Formally, a theory solver should(assuming ϕ is the input formula):
 - ▶ Return **SAT** only if ϕ is T-satisfiable.
 - ▶ Return **UNSAT** only if ϕ is T-unsatisfiable.
 - ▶ Terminate.
- In practice, a theory solver supports following features:
 - ▶ Return an **interpretation** when ϕ is T-satisfiable.
 - ▶ Return a **conflict clause** when ϕ is T-unsatisfiable.

Here we focus on the decision procedure for the **quantifier-free fragment** of **first-order theories**.

- Equality and Uninterpreted Functions
- Arrays
- Linear Integer Arithmetic (Simplex method)
- Bit Vectors
- Recursive Datatypes
- ...

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Theory of EUF

Signature:

$$\Sigma := \{=, a, b, c, \dots, A, B, C, \dots\}$$

where $\{a, b, c, \dots, A, B, C, \dots\}$ are symbols of uninterpreted sorts and uninterpreted functions

Axioms:

- Reflexivity: $\forall x. x = x$
- Symmetry: $\forall x, y. x = y \rightarrow y = x$
- Transitivity: $\forall x, y, z. x = y \wedge y = z \rightarrow x = z$
- **Congruence:**
 $\forall t_1, \dots, t_n, t'_1, \dots, t'_n. \bigwedge_{i=1}^n t_i = t'_i \rightarrow F(t_1, \dots, t_n) = F(t'_1, \dots, t'_n)$

Decision procedure for EUF - An example

$$\phi^{UF} := x_1 = x_2 \wedge x_2 = x_3 \wedge F(x_1) = F(x_3) \wedge \neg(F(F(x_1)) = F(F(x_2)))$$

Decision procedure for EUF - An example

$$\phi^{UF} := x_1 = x_2 \wedge x_2 = x_3 \wedge F(x_1) = F(x_3) \wedge \neg(F(F(x_1)) = F(F(x_2)))$$

- $\{\{x_1, x_2\}, \{x_2, x_3\}, \{F(x_1), F(x_3)\}, \{F(x_2)\}, \{F(F(x_1))\}, \{F(F(x_2))\}\}$

Decision procedure for EUF - An example

$$\phi^{UF} := x_1 = x_2 \wedge x_2 = x_3 \wedge F(x_1) = F(x_3) \wedge \neg(F(F(x_1)) = F(F(x_2)))$$

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- $\{\{x_1, x_2, x_3\}, \{F(x_1), F(x_3)\}, \{F(x_2)\}, \{F(F(x_1))\}, \{F(F(x_2))\}\}$

Decision procedure for EUF - An example

$$\phi^{UF} := x_1 = x_2 \wedge x_2 = x_3 \wedge F(x_1) = F(x_3) \wedge \neg(F(F(x_1)) = F(F(x_2)))$$

- $\{\{x_1, x_2\}, \{x_2, x_3\}, \{F(x_1), F(x_3)\}, \{F(x_2)\}, \{F(F(x_1))\}, \{F(F(x_2))\}\}$
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- $\{\{x_1, x_2, x_3\}, \{F(x_1), F(x_2), F(x_3)\}, \{F(F(x_1))\}, \{F(F(x_2))\}\}$

Decision procedure for EUF - An example

$$\phi^{UF} := x_1 = x_2 \wedge x_2 = x_3 \wedge F(x_1) = F(x_3) \wedge \neg(F(F(x_1)) = F(F(x_2)))$$

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Decision procedure for EUF - An example

$$\phi^{UF} := x_1 = x_2 \wedge x_2 = x_3 \wedge F(x_1) = F(x_3) \wedge \neg(F(F(x_1)) = F(F(x_2)))$$

- $\{\{x_1, x_2\}, \{x_2, x_3\}, \{F(x_1), F(x_3)\}, \{F(x_2)\}, \{F(F(x_1))\}, \{F(F(x_2))\}\}$
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- $\{\{x_1, x_2, x_3\}, \{F(x_1), F(x_2), F(x_3)\}, \{F(F(x_1)), F(F(x_2))\}\}$
- Contradict! Return **UNSAT**.

Decision procedure for EUF - Congruence Closure

Input: $\phi^{UF} :=$ conjunction of equality literals

- 1 For each (sub)terms t_i in ϕ^{UF} , create an equivalence class $C_i = \{t_i\}$.
- 2 Compute the **congruence closure**
 - a Put two terms t_1, t_2 in an equivalence class if $t_1 = t_2$ is in ϕ^{UF} .
 - b Merge two equivalence classes C_1, C_2 if $\exists t. t \in C_1 \wedge t \in C_2$.
 - c Merge two equivalence classes C_1, C_2 if we can find a class C_3 $\exists t_1, t_2. t_1 \in C_3 \wedge t_2 \in C_3 \wedge F(t_1) \in C_1 \wedge F(t_2) \in C_2$.
- 3 For every literal $\neg(t_i = t_j)$ in ϕ^{UF} , if t_i, t_j are in the same equivalence class, return **UNSAT**. Otherwise, return **SAT**.

① Apply the decision procedure to solve the following EUF formulas.

▶ $\phi^{UF} := x_1 = x_2 \wedge \neq (F^4(x_2) = F^5(x_3)) \wedge F(x_3) = x_1$

▶ $\phi^{UF} := F(x_1) = x_2 \wedge \neg(F^3(x_1) = F^4(x_3)) \wedge F^3(x_3) = F(x_2)$

② Apply DPLL(EUF) (including the decision procedure for EUF):

▶ $\phi := (\neg(F(b) = c) \vee F(a) = F^2(b)) \wedge a = c$
 $\wedge (\neg(F^4(b) = F^3(c)) \vee F(b) = c)$

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Theory of Arrays

Signature:

$$\Sigma := \{= /2, W/3, R/2\}$$

- $R(a, i)$ (**Read**) represents the value of array a at index i
- $W(a, i, x)$ (**Write**) represents the copy of array a with the value at index i replaced by x

Axioms:

- Reflexivity, Symmetry and Transitivity axioms from Equality theory
- **Array Congruence:** $\forall a_1, a_2, i, j. a_1 = a_2 \wedge i = j \rightarrow R(a_1, i) = R(a_2, j)$
- **Read-Over-Write:**

$$\forall a, x, i, j. R(W(a, i, x), j) = \begin{cases} x & \text{for } i = j \\ R(a, j) & \text{for } \neg(i = j) \end{cases}$$

Decision procedure for Arrays - An Example

$$\phi^A := R(W(a, i_1, x), j_1) = u \wedge R(W(a, i_2, y), j_2) = v \wedge \neg(i_1 = j_1) \wedge \neg(y = v)$$

Decision procedure for Arrays - An Example

$$\phi^A := R(W(a, i_1, x), j_1) = u \wedge R(W(a, i_2, y), j_2) = v \wedge \neg(i_1 = j_1) \wedge \neg(y = v)$$

$$\begin{aligned} \phi_{rewrite}^A := & ((i_1 = j_1 \wedge x = u) \vee (\neg(i_1 = j_1) \wedge R(a, j_1) = u)) \wedge \\ & ((i_2 = j_2 \wedge y = v) \vee (\neg(i_2 = j_2) \wedge R(a, j_2) = v)) \wedge \\ & \neg(i_1 = j_1) \wedge \neg(y = v) \end{aligned}$$

Decision procedure for Arrays - An Example

$$\phi^A := R(W(a, i_1, x), j_1) = u \wedge R(W(a, i_2, y), j_2) = v \wedge \neg(i_1 = j_1) \wedge \neg(y = v)$$

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$$\begin{aligned} \phi' := & ((i_1 = j_1 \wedge x = u) \vee (\neg(i_1 = j_1) \wedge F_a(j_1) = u)) \wedge \\ & ((i_2 = j_2 \wedge y = v) \vee (\neg(i_2 = j_2) \wedge F_a(j_2) = v)) \wedge \\ & \neg(i_1 = j_1) \wedge \neg(y = v) \end{aligned}$$

Decision procedure for Arrays - An Example

$$\phi' := ((i_1 = j_1 \wedge x = u) \vee (\neg(i_1 = j_1) \wedge F_a(j_1) = u)) \wedge \\ ((i_2 = j_2 \wedge y = v) \vee (\neg(i_2 = j_2) \wedge F_a(j_2) = v)) \wedge \neg(i_1 = j_1) \wedge \neg(y = v))$$

$$\phi'_p := ((a_0 \wedge a_1) \vee (\neg a_0 \wedge a_2)) \wedge ((a_3 \wedge a_4) \vee (\neg a_3 \wedge a_5)) \wedge \neg a_0 \wedge \neg a_4$$

Decision procedure for Arrays - An Example

$$\begin{aligned}\phi' := & ((i_1 = j_1 \wedge x = u) \vee (\neg(i_1 = j_1) \wedge F_a(j_1) = u)) \wedge \\ & ((i_2 = j_2 \wedge y = v) \vee (\neg(i_2 = j_2) \wedge F_a(j_2) = v)) \wedge \neg(i_1 = j_1) \wedge \neg(y = v))\end{aligned}$$

$$\phi'_p := ((a_0 \wedge a_1) \vee (\neg a_0 \wedge a_2)) \wedge ((a_3 \wedge a_4) \vee (\neg a_3 \wedge a_5)) \wedge \neg a_0 \wedge \neg a_4$$

$$\begin{aligned}\phi'_p(\text{in CNF}) := & (a_0 \vee a_2) \wedge (a_1 \vee \neg a_0) \wedge (a_1 \vee a_2) \wedge (a_3 \vee a_5) \wedge (a_4 \vee \neg a_3) \wedge \\ & (a_4 \vee a_5) \wedge \neg a_0 \wedge \neg a_4\end{aligned}$$

Decision procedure for Arrays - An Example

$$\phi' := ((i_1 = j_1 \wedge x = u) \vee (\neg(i_1 = j_1) \wedge F_a(j_1) = u)) \wedge \\ ((i_2 = j_2 \wedge y = v) \vee (\neg(i_2 = j_2) \wedge F_a(j_2) = v)) \wedge \neg(i_1 = j_1) \wedge \neg(y = v))$$

$$\phi'_p := ((a_0 \wedge a_1) \vee (\neg a_0 \wedge a_2)) \wedge ((a_3 \wedge a_4) \vee (\neg a_3 \wedge a_5)) \wedge \neg a_0 \wedge \neg a_4$$

$$\phi'_p(\text{in CNF}) := (a_0 \vee a_2) \wedge (a_1 \vee \neg a_0) \wedge (a_1 \vee a_2) \wedge (a_3 \vee a_5) \wedge (a_4 \vee \neg a_3) \wedge \\ (a_4 \vee a_5) \wedge \neg a_0 \wedge \neg a_4$$

- Propagate: $a_0 \mapsto F, a_4 \mapsto F$

Decision procedure for Arrays - An Example

$$\phi' := ((i_1 = j_1 \wedge x = u) \vee (\neg(i_1 = j_1) \wedge F_a(j_1) = u)) \wedge \\ ((i_2 = j_2 \wedge y = v) \vee (\neg(i_2 = j_2) \wedge F_a(j_2) = v)) \wedge \neg(i_1 = j_1) \wedge \neg(y = v))$$

$$\phi'_p := ((a_0 \wedge a_1) \vee (\neg a_0 \wedge a_2)) \wedge ((a_3 \wedge a_4) \vee (\neg a_3 \wedge a_5)) \wedge \neg a_0 \wedge \neg a_4$$

$$\phi'_p(\text{in CNF}) := (a_0 \vee a_2) \wedge (a_1 \vee \neg a_0) \wedge (a_1 \vee a_2) \wedge (a_3 \vee a_5) \wedge \\ (a_4 \vee \neg a_3) \wedge (a_4 \vee a_5) \wedge \neg a_0 \wedge \neg a_4$$

- Propagate: $a_0 \mapsto F, a_4 \mapsto F$
- Propagate: $a_2 \mapsto T, a_3 \mapsto F, a_5 \mapsto T$

Decision procedure for Arrays - An Example

$$\phi' := ((i_1 = j_1 \wedge x = u) \vee (\neg(i_1 = j_1) \wedge F_a(j_1) = u)) \wedge \\ ((i_2 = j_2 \wedge y = v) \vee (\neg(i_2 = j_2) \wedge F_a(j_2) = v)) \wedge \neg(i_1 = j_1) \wedge \neg(y = v))$$

$$\phi'_p := ((a_0 \wedge a_1) \vee (\neg a_0 \wedge a_2)) \wedge ((a_3 \wedge a_4) \vee (\neg a_3 \wedge a_5)) \wedge \neg a_0 \wedge \neg a_4$$

$$\phi'_p(\text{in CNF}) := (a_0 \vee a_2) \wedge (a_1 \vee \neg a_0) \wedge (a_1 \vee a_2) \wedge (a_3 \vee a_5) \wedge \\ (a_4 \vee \neg a_3) \wedge (a_4 \vee a_5) \wedge \neg a_0 \wedge \neg a_4$$

- Propagate: $a_0 \mapsto F, a_4 \mapsto F$
- Propagate: $a_2 \mapsto T, a_3 \mapsto F, a_5 \mapsto T$
- Solve $\neg(i_1 = j_1) \wedge F_a(j_1) = u \wedge \neg(i_2 = j_2) \wedge \neg(y = v) \wedge F_a(j_2) = v$ and get **SAT** \Rightarrow SMT return **SAT**

Decision procedure for Arrays

Input: $\phi^A :=$ conjunction of array literals

Basic idea:

- 1 According to Read-Over-Write axiom, we can branch a Write term $R(W(a, i, x), j)$ into two cases:
 - ▶ x for $i = j$
 - ▶ $R(a, j)$ for $\neg(i = j)$
- 2 Repeat step 1 until ϕ^A contains only Read terms, then replace each term $R(a, i)$ with an uninterpreted function term $F_a(i)$ to obtain ϕ' .
- 3 The remaining part is same as solving an EUF formula.

Decision procedure for Arrays - Exercise

① Apply the decision procedure for arrays:

▶ $\phi^A := \neg(i = j) \wedge \neg(i = k) \wedge R(W(a, j, v), i) = R(W(a, k, w), j)$

Table of Contents

- 1 An overview of SMT solver: DPLL(T) algorithm
- 2 Selected Theory solvers
 - Equality and Uninterpreted Functions (EUF)
 - Arrays
- 3 Combined theories

Combined theories

- In the previous example, we only invoked one theory solver. But in practice, we often encounter a combination of theories.
- For example:

$$\phi := (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, G(u))) \\ \wedge R(a, G(u)) = R(W(a, G(v), x), u) \wedge v = G(v)$$

- Purify(EUF/ARRAY):

$$\phi_{purified} := (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ \wedge R(a, y_1) = R(W(a, y_2, x), u) \\ \wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v)$$

Combined theories - An Example

$$\begin{aligned}\phi_{purified} &:= (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ &\wedge R(a, y_1) = R(W(a, y_2, x), u) \\ &\wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v) \\ \phi_p &:= (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6\end{aligned}$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$

Combined theories - An Example

$$\begin{aligned}\phi_{\text{purified}} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \\ & \wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v) \\ \phi_p := & (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6\end{aligned}$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$

Combined theories - An Example

$$\begin{aligned}\phi_{purified} &:= (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ &\wedge R(a, y_1) = R(W(a, y_2, x), u) \\ &\wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v) \\ \phi_p &:= (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6\end{aligned}$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$
- Pass assignment $\alpha_1 := \{a_0 \mapsto F, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T\}$ to EUF solver, and assignment $\alpha_2 := \{a_2 \mapsto F, a_3 \mapsto T\}$ to ARRAY solver

Combined theories - An Example

$$\begin{aligned}\phi_{purified} &:= (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ &\quad \wedge R(a, y_1) = R(W(a, y_2, x), u) \\ &\quad \wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v) \\ \phi_p &:= (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6\end{aligned}$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$
- Pass assignment $\alpha_1 := \{a_0 \mapsto F, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T\}$ to EUF solver, assignment $\alpha_2 := \{a_2 \mapsto F, a_3 \mapsto T\}$ to ARRAY solver,
- EUF: solves $(\neg(u = G(v)) \wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v))$, gets **SAT**,
ARRAY: solves $(\neg(R(a, u) = R(a, y_1)) \wedge R(a, y_1) = R(W(a, y_2, x), u))$, gets **SAT**.

Combined theories - An Example

- Both theory solvers got **SAT**, but can we conclude that ϕ is **SAT**?

Combined theories - An Example

- Both theory solvers get **SAT**, but can we conclude that ϕ is **SAT**?

Not yet, theory solvers must agree on shared variables!

- EUF: $\neg(u = G(v)) \wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v)$
ARRAY: $\neg(R(a, u) = R(a, y_1)) \wedge R(a, y_1) = R(W(a, y_2, x), u)$
(u, y_1, y_2 in this case)

Combined theories - An Example

- Both theory solvers get **SAT**, but can we conclude that ϕ is **SAT**?

Not yet, theory solvers must agree on shared variables!
(u, y_1, y_2 in this case)

- For EUF:
 $\neg(u = G(v)) \wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v) \rightarrow \neg(u = y_2)$
- For ARRAY:
 $\neg(R(a, u) = R(a, y_1)) \wedge R(a, y_1) = R(W(a, y_2, x), u) \rightarrow u = y_2$

Combined theories - An Example

- Both theory solvers get **SAT**, but can we conclude that ϕ is **SAT**?

Not yet, theory solvers must agree on shared variables!
(u, y_1, y_2 in this case)

- For EUF:

$$\neg(u = G(v)) \wedge y_1 = G(u) \wedge y_2 = G(v) \wedge v = G(v) \rightarrow \neg(u = y_2)$$

For ARRAY:

$$\neg(R(a, u) = R(a, y_1)) \wedge R(a, y_1) = R(W(a, y_2, x), u) \rightarrow u = y_2$$

\Rightarrow The solvers do not agree on share variables.

(Add a disjunction to synchronize assignments between theories)

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$
- **Decide: $a_7 \mapsto T$**

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$
- Decide: $a_7 \mapsto T$
- Pass assignment $\alpha_1 := \{a_0 \mapsto F, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T, a_7 \mapsto T\}$ to EUF solver and get **UNSAT**.

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$
- Backtrack: $a_7 \mapsto F$

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Decide: $a_0 \mapsto F$
- Backtrack: $a_7 \mapsto F$
- Pass assignment $\alpha_2 := \{a_2 \mapsto F, a_3 \mapsto T, a_7 \mapsto F\}$ to ARRAY solver and get **UNSAT**.

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Propagate: $a_1 \mapsto F$

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Propagate: $a_1 \mapsto F$
- **Decide:** $a_7 \mapsto T$

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- Propagate: $a_1 \mapsto F$
- Decide: $a_7 \mapsto T$
- Pass assignment $\alpha_1 := \{a_1 \mapsto F, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T, a_7 \mapsto T\}$ to EUF solver and get **UNSAT**.

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- propagate: $a_1 \mapsto F$
- **Backtrack:** $a_7 \mapsto F$

Combined theories - An Example

$$\begin{aligned}\phi_{purified} := & (\neg(u = G(v)) \vee \neg(u = v)) \wedge \neg(R(a, u) = R(a, y_1)) \\ & \wedge R(a, y_1) = R(W(a, y_2, x), u) \wedge y_1 = G(u) \wedge y_2 = G(v) \\ & \wedge v = G(v) \wedge (u = y_2 \vee \neg(u = y_2))\end{aligned}$$

$$\phi_p := (\neg a_0 \vee \neg a_1) \wedge \neg a_2 \wedge a_3 \wedge a_4 \wedge a_5 \wedge a_6 \wedge (a_7 \vee \neg a_7)$$

- Propagate: $a_2 \mapsto F, a_3 \mapsto T, a_4 \mapsto T, a_5 \mapsto T, a_6 \mapsto T$
- propagate: $a_1 \mapsto F$
- Backtrack: $a_7 \mapsto F$
- Pass assignment $\alpha_2 := \{a_2 \mapsto F, a_3 \mapsto T, a_7 \mapsto F\}$ to ARRAY solver and get **UNSAT**.

Implementation for Combined theories

- Main ideas:
 - ① Purify the literals(each literal contains one theory).
 - ② Once the SAT solver finds an assignment, pass the corresponding part to each theory solver.
 - ③ If any of the solvers gets **UNSAT**, then return **UNSAT**.
 - ④ If every theory solver gets **SAT**, then check if they agree on shared variables. If so, return **SAT**, otherwise, backtrack and go to step 2.
- The decision procedure above requires the theories to satisfy several properties:
 - ① First-order, quantifier-free, decidable theories with equality.
 - ② Have disjoint signatures, except "=".
 - ③ Interpreted over an infinite domain.

Apply the decision procedure for combined theories to solve the formula:
(you may omit the decision procedure for each theory solver, but must include the discussion of whether they agree on shared variables)

- $\phi := G(F(x_1 - 2)) = x_1 + 2 \wedge G(F(x_2)) = x_2 - 2 \wedge (x_2 + 1 = x_1 - 1)$

Key Takeaways

- The basic architecture and algorithm of an SMT solver
- The elementary decision procedure for the theory of EUF and Arrays
- The implementation of an SMT solver for combined theories

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