

#### Max Schäfer

Formosan Summer School on Logic, Language, and Computation 2010



# Recap: Sequent Calculus

$$\neg \forall x. P(x) \vdash \exists x. \neg P(x)$$

$$(\neg L) \frac{\vdash \forall x. P(x), \exists x. \neg P(x)}{\neg \forall x. P(x) \vdash \exists x. \neg P(x)}$$

$$\stackrel{(\forall R)}{(\neg L)} \frac{\vdash P(x), \exists x. \neg P(x)}{\vdash \forall x. P(x), \exists x. \neg P(x)} \frac{\neg \forall x. P(x) \vdash \exists x. \neg P(x)}{\neg \forall x. P(x) \vdash \exists x. \neg P(x)}$$

$$(\exists R) \xrightarrow[(\neg L]{} (\forall R)]{ \vdash P(x), \neg P(x)[x/x] \\ \vdash P(x), \exists x. \neg P(x) \\ \vdash \forall x. P(x), \exists x. \neg P(x) \\ \neg \forall x. P(x) \vdash \exists x. \neg P(x) \end{cases}$$

$$\stackrel{(\exists R)}{\overset{(\exists R)}{\mapsto} \frac{\vdash P(x), \neg P(x)}{\vdash P(x), \exists x. \neg P(x)} }{\overset{(\forall R)}{\mapsto} \frac{\vdash \forall x. P(x), \exists x. \neg P(x)}{\neg \forall x. P(x) \vdash \exists x. \neg P(x)} }$$

$$(\neg \mathbf{R}) \frac{P(x) \vdash P(x)}{\vdash P(x), \neg P(x)} \\ (\exists \mathbf{R}) \frac{\vdash P(x), \neg P(x)}{\vdash P(x), \exists x. \neg P(x)} \\ (\neg \mathbf{L}) \frac{\vdash \forall x. P(x), \exists x. \neg P(x)}{\neg \forall x. P(x) \vdash \exists x. \neg P(x)}$$

#### Example 2: Drinker Paradox with Cut

	$(\forall L)  \frac{D(y), D(x) \vdash D(y)}{\forall x. D(x), D(x) \vdash D(y)}$	$(\rightarrow \mathbb{R}) \frac{D(x) \vdash D(x), \forall y.D(y)}{\vdash D(x), D(x) \rightarrow \forall y.D(y)}$
	$(\forall R) \frac{\forall x.D(x),D(x) \vdash \forall y.D(y)}{\forall x.D(x) \vdash D(x) \rightarrow \forall y.D(y)}$	$(\exists \mathbf{R}) \xrightarrow{(\exists \mathbf{R})} F_{\mathbf{D}}(x), \exists x.D(x) \to \forall y.D(y)$
$\forall x.D(x) \vdash \forall x.D(x), \exists x.D(x) \rightarrow \forall y.D(y)$		$\vdash \forall x.D(x), \exists x.D(x) \to \forall y.D(y)$
$(\neg R) \xrightarrow{(\neg R)} \vdash \forall x.D(x), \neg \forall x.D(x), \exists x.D(x) \to \forall y.D(y)$	$\forall x.D(x) \vdash \exists x.D(x) \rightarrow \forall y.D(y)$	$(\neg L)  \overline{\neg \forall x. D(x) \vdash \exists x. D(x) \rightarrow \forall y. D(y)}$
$(\operatorname{VR}) \xrightarrow{(\vee R)} \vdash (\forall x.D(x)) \lor (\neg \forall x.D(x)), \exists x.D(x) \to \forall y.D(y)$	$(\forall \textbf{L}) \xrightarrow{(\forall \textbf{L}.D(x)) \lor (\neg \forall x.D(x)) \vdash \exists x.D(x) \rightarrow \forall y.D(y)}$	
$\vdash \exists x. D(x) \rightarrow \forall y. D(y)$		

#### Example 3: Drinker Paradox without Cut

$$(\rightarrow R) = \frac{D(x), D(y) \vdash D(y), \forall y.D(y)}{D(x) \vdash D(y), D(y) \rightarrow \forall y.D(y)}$$
$$(\forall R) = \frac{D(x) \vdash D(y), D(y) \rightarrow \forall y.D(y)}{D(x) \vdash D(y), \exists x.D(x) \rightarrow \forall y.D(y)}$$
$$(\rightarrow R) = \frac{D(x) \vdash \forall y.D(y), \exists x.D(x) \rightarrow \forall y.D(y)}{\vdash D(x) \rightarrow \forall y.D(y), \exists x.D(x) \rightarrow \forall y.D(y)}$$
$$(\exists R) = \frac{D(x) \rightarrow \forall y.D(y), \exists x.D(x) \rightarrow \forall y.D(y)}{\vdash \exists x.D(x) \rightarrow \forall y.D(y)}$$

# Peano Arithmetic

# 皮亞諾算術

### Principles of Arithmetic

- arithmetic pprox calculating with natural numbers
- early logical axiomatisation given in 1889 by Giuseppe Peano (1858–1932)
- we use slightly modernised formulation

#### • Quiz: Why is x + y = y + x?

- three possible answers:
  - Don't you have anything better to worry about?
  - We can model numbers and addition in set theory, then prove that x + y = y + x by using laws of set theory.
  - We can show that it follows from some very simple axioms.

- Quiz: Why is x + y = y + x?
- three possible answers:
  - Don't you have anything better to worry about?
  - We can model numbers and addition in set theory, then prove that x + y = y + x by using laws of set theory.
  - We can show that it follows from some very simple axioms.

- Quiz: Why is x + y = y + x?
- three possible answers:
  - Don't you have anything better to worry about?
  - We can model numbers and addition in set theory, then prove that x + y = y + x by using laws of set theory.
  - We can show that it follows from some very simple axioms.

- Quiz: Why is x + y = y + x?
- three possible answers:
  - Don't you have anything better to worry about?
  - We can model numbers and addition in set theory, then prove that x + y = y + x by using laws of set theory.
  - We can show that it follows from some very simple axioms.

#### The Peano Axioms

- signature of arithmetic:  $\Sigma_A := \langle \{ {f 0}/0, s/1, +/2, imes/2 \}, \emptyset 
  angle$
- first-order theory of arithmetic: smallest set  $T_A$  containing

1. 
$$\forall x. \forall y. s(x) \doteq s(y) \rightarrow x \doteq y$$
  
2.  $\forall x. \neg (s(x) \doteq \mathbf{0})$   
3.  $\forall x. x + \mathbf{0} \doteq x$   
4.  $\forall x. \forall y. x + s(y) \doteq s(x + y)$   
5.  $\forall x. x \times \mathbf{0} \doteq \mathbf{0}$   
6.  $\forall x. \forall y. x \times s(y) \doteq (x \times y) + x$   
7. for any formula  $\varphi$ :  
 $\varphi[\mathbf{0}/x] \rightarrow (\forall x. \varphi \rightarrow \varphi[s(x)/x]) \rightarrow (\forall x. \varphi)$ 

Every natural number *n* can be represented by a term  $\underline{n}$  over  $\Sigma_A$ , as *n* applications of *s* to **0**. For instance,  $\underline{2} = s(s(\mathbf{0}))$ .

#### The Standard Model of Arithmetic

- $\mathcal{M}_A = \langle \mathbb{N}, \langle [\![\,]\!]_F, [\![\,]\!]_\mathcal{R} \rangle \rangle$ , where
  - $\bullet \ \llbracket \boldsymbol{0} \rrbracket_F = 0$
  - $\llbracket s \rrbracket_{\mathsf{F}}(n) = n+1$
  - $\llbracket + \rrbracket_F(m, n) = m + n$
  - $[\![\times]\!]_{\mathsf{F}}(m,n) = m \times n$

Standard Model  $\mathcal{M}_A \models \mathcal{T}_A$ 

 $\mathcal{M}_A$  is not the only model of  $\mathcal{T}_A$ .

#### Relations

- Σ<sub>A</sub> does not contain relation symbols
- we can encode s ≤ t as ∃x.s + x ≐ t, where x ∉ FV(s) ∪ FV(t), because

$$\begin{split} \mathcal{M}_{A}, \sigma &\models \exists x.s + x \doteq t \\ \text{iff there is } n \in \mathbb{N} \text{ such that } \mathcal{M}_{A}, \sigma[x := n] \models s + x \doteq t \\ \text{iff there is } n \in \mathbb{N} \text{ s. t. } [\![s + x]\!]_{\mathcal{M}_{A}, \sigma[x := n]} = [\![t]\!]_{\mathcal{M}_{A}, \sigma[x := n]} \\ \text{iff there is } n \in \mathbb{N} \text{ s. t. } [\![s]\!]_{\mathcal{M}_{A}, \sigma[x := n]} + [\![x]\!]_{\mathcal{M}_{A}, \sigma[x := n]} = [\![t]\!]_{\mathcal{M}_{A}, \sigma[x := n]} \\ \text{iff there is } n \in \mathbb{N} \text{ s. t. } [\![s]\!]_{\mathcal{M}_{A}, \sigma} + n = [\![t]\!]_{\mathcal{M}_{A}, \sigma} \\ \text{iff } [\![s]\!]_{\mathcal{M}_{A}, \sigma} \leq [\![t]\!]_{\mathcal{M}_{A}, \sigma} \end{split}$$

• 
$$s < t$$
 is  $s \le t \land \neg (s \doteq t)$ ,  $s \ge t$  is  $t \le s$ , and  $s > t$  is  $t < s$ 

$$T'_{A} := \{ \forall x.x + \mathbf{0} \doteq x, \forall x.\forall y.x + s(y) \doteq s(x + y), \\ \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \rightarrow (\forall x.\mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) \doteq s(x)) \\ \rightarrow (\forall x.\mathbf{0} + x \doteq x) \}$$

$$(VI) \begin{array}{c} T_{A}^{\lambda}, 0 + s(x) \doteq s(0 + x), 0 + x \doteq x + 0 + s(x) \doteq 0 + s(x), \forall x, 0 + x \doteq x + \forall x, 0 + x = x + \forall x, 0 + x + x$$

$$\frac{\begin{array}{c} (\text{SUBST}) \\ (\forall \text{U}) \end{array}}{(\forall \text{U})} \frac{T'_{A}, \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{0} + \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{0} + \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{0} + \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} = \mathbf{x} = \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}) = \mathbf{$$

$$(\forall L) \frac{T'_{A}, \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \vdash \mathbf{0} + \mathbf{0} \doteq \mathbf{0}, \forall x. \mathbf{0} + x \doteq x \\ (\rightarrow L) \frac{T'_{A}, \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \vdash \mathbf{0} + \mathbf{0} \doteq \mathbf{0}, \forall x. \mathbf{0} + x \doteq x \\ (\rightarrow L) \frac{T'_{A}, \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \vdash \mathbf{0} + \mathbf{0} \doteq \mathbf{0}, \forall x. \mathbf{0} + x \doteq x \\ (\rightarrow L) \frac{T'_{A}, \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \vdash \mathbf{0} + \mathbf{0} \doteq \mathbf{0}, \forall x. \mathbf{0} + x \doteq x \\ (\rightarrow L) \frac{T'_{A}, \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \vdash \mathbf{0} + \mathbf{0} \doteq \mathbf{0}, \forall x. \mathbf{0} + x \doteq x \\ (\rightarrow L) \frac{T'_{A}, \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \vdash \mathbf{0} + \mathbf{0} \doteq \mathbf{0}, \forall x. \mathbf{0} + x \doteq x \\ (\rightarrow L) \frac{T'_{A}, \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(x), \forall x}{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) \doteq s(x), \forall x} \\ (\forall L) \frac{T'_{A}, \mathbf{0} + \mathbf{0} \doteq \mathbf{0} \vdash \mathbf{0} + \mathbf{0} \doteq \mathbf{0}, \forall x. \mathbf{0} + x \doteq x \\ (\rightarrow L) \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) \doteq s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) \doteq s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \equiv x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \equiv x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \equiv x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \forall x. \mathbf{0} + x \equiv x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x}{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow \mathbf{0} + s(x) = s(x), \forall x} \\ (\forall L) \frac{T'_{A} \vdash \mathbf{0} + x = x \rightarrow$$

$$\frac{T'_{A}, \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{0} + \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{y}), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \forall \mathbf{y}.\mathbf{0} + \mathbf{s}(\mathbf{y}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{y}), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x}}{T'_{A}, \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x}}{T'_{A}, \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x}}{T'_{A}, \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x}}{T'_{A}, \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x}}{T'_{A}, \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x}}{T'_{A}, \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) = \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \pm \mathbf{x}) \vdash \forall \mathbf{x}.\mathbf{0}$$

 $x \doteq$ 

$$\begin{array}{l} \stackrel{)}{=} s(\mathbf{0}+x), \mathbf{0}+x\doteq x\vdash \mathbf{0}+s(x)\doteq \mathbf{0}+s(x), \forall x.\mathbf{0}+x\doteq x\\ \stackrel{)}{=} s(\mathbf{0}+x), \mathbf{0}+x\doteq x\vdash \mathbf{0}+s(x)\doteq s(\mathbf{0}+x), \forall x.\mathbf{0}+x\doteq x\\ \stackrel{)}{=} s(\mathbf{0}+x), \mathbf{0}+x\doteq x\vdash \mathbf{0}+s(x)\doteq s(\mathbf{0}+x), \forall x.\mathbf{0}+x\doteq x\\ \stackrel{)}{=} s(\mathbf{0}+y), \mathbf{0}+x\doteq x\vdash \mathbf{0}+s(x)\doteq s(\mathbf{0}+x), \forall x.\mathbf{0}+x\doteq x\\ \stackrel{)}{T'_{A}, \mathbf{0}+x\doteq x\vdash \mathbf{0}+s(x)\doteq s(\mathbf{0}+x), \forall x.\mathbf{0}+x\doteq x\\ \stackrel{)}{T'_{A}, \mathbf{0}+x\doteq x\vdash \mathbf{0}+s(x)\doteq s(x), \forall x.\mathbf{0}+x\doteq x\\ \stackrel{)}{T'_{A}\vdash \mathbf{0}+x\doteq x\rightarrow \mathbf{0}+s(x)\doteq s(x), \forall x.\mathbf{0}+x= x\\ \stackrel{)}{T'_{A}\vdash \mathbf{0}+x\doteq x\rightarrow \mathbf{0}+s(x)\doteq s(x), \forall x.\mathbf{0}+x= x\\ \stackrel{)}{T'_{A}\vdash \forall x.\mathbf{0}+x\doteq x\rightarrow \mathbf{0}+s(x)\doteq s(x), \forall x.\mathbf{0}+x= x\\ \stackrel{)}{T'_{A}, (\forall x.\mathbf{0}+x\doteq x\rightarrow \mathbf{0}+s(x)\doteq s(x))\rightarrow (\forall x.\mathbf{0}+x\doteq x)\vdash \forall x.\mathbf{0}+x= x\\ \stackrel{)}{T'_{A}, (\forall x.\mathbf{0}+x\doteq x\rightarrow \mathbf{0}+s(x)=s(x))\rightarrow (\forall x.\mathbf{0}+x= x)\vdash \forall x.\mathbf{0}+x= x\\ \hline \end{array}$$

$$T'_A \vdash \forall x.\mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x$$

$$(\forall \mathbf{R}) \ \frac{T'_{A} \vdash \mathbf{0} + x \doteq x \to \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x}{T'_{A} \vdash \forall x.\mathbf{0} + x \doteq x \to \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x}$$

$$\begin{array}{c} (\rightarrow \mathbf{R}) \\ (\forall \mathbf{R}) \end{array} \\ \hline \begin{array}{c} T'_{A}, \mathbf{0} + x \doteq x \vdash \mathbf{0} + \mathfrak{s}(x) \doteq \mathfrak{s}(x), \forall x.\mathbf{0} + x \doteq x \\ \hline T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + \mathfrak{s}(x) \doteq \mathfrak{s}(x), \forall x.\mathbf{0} + x \doteq x \\ \hline T'_{A} \vdash \forall x.\mathbf{0} + x \doteq x \rightarrow \mathbf{0} + \mathfrak{s}(x) \doteq \mathfrak{s}(x), \forall x.\mathbf{0} + x \doteq x \\ \hline \end{array}$$

$$\begin{array}{l} \text{(SUBST)} & \frac{T_A', \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \forall x.\mathbf{0} + x \doteq x}{T_A', \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x} \\ \text{(\forall R)} & \frac{T_A', \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x}{T_A' \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x} \end{array}$$

$$(\forall \mathbf{L}) \quad \frac{T'_{A}, \forall y.\mathbf{0} + \mathbf{s}(y) \doteq \mathbf{s}(\mathbf{0} + y), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{(\mathsf{SUBST})} \\ \frac{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{\mathbf{0} + \mathbf{1} + \mathbf{1}$$

$$(\forall L) \frac{T'_{A}, \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \forall \mathbf{y}.\mathbf{0} + \mathbf{s}(\mathbf{y}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{y}), \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{(\mathsf{SUBST})} \frac{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{0} + \mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{(\mathsf{\forall}R)} \frac{T'_{A}, \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \vdash \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}{T'_{A} \vdash \mathbf{0} + \mathbf{x} \doteq \mathbf{x} \rightarrow \mathbf{0} + \mathbf{s}(\mathbf{x}) \doteq \mathbf{s}(\mathbf{x}), \forall \mathbf{x}.\mathbf{0} + \mathbf{x} \doteq \mathbf{x}}}$$

$$\begin{array}{l} \text{(SUBST)} & \frac{T'_{A}, \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq \mathbf{0} + s(x), \forall x.\mathbf{0} + x \doteq x}{T'_{A}, \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \forall x.\mathbf{0} + x \doteq x} \\ \text{(\forall L)} & \frac{T'_{A}, \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \forall x.\mathbf{0} + x \doteq x}{T'_{A}, \forall y.\mathbf{0} + s(y) \doteq s(\mathbf{0} + y), \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \forall x.\mathbf{0} + x \doteq x} \\ \text{(SUBST)} & \frac{T'_{A}, \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(\mathbf{0} + x), \forall x.\mathbf{0} + x \doteq x}{T'_{A}, \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \equiv x} \\ \text{(\forall R)} & \frac{T'_{A}, \mathbf{0} + x \doteq x \vdash \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x}{T'_{A} \vdash \mathbf{0} + x \doteq x \rightarrow \mathbf{0} + s(x) \doteq s(x), \forall x.\mathbf{0} + x \doteq x} \end{array}$$

#### What Have We Proved?

- we have shown that  $T'_A \vdash_{\mathrm{LK}} \forall x.\mathbf{0} + x \doteq x$
- so by soundness  $T'_A \models \forall x . \mathbf{0} + x \doteq x$
- also  $T_A \models \forall x.\mathbf{0} + x \doteq x$ :
  - assume  $\mathcal{M}, \sigma \models T_A$
  - then  $\mathcal{M}, \sigma \models \varphi$  for every  $\varphi \in \mathcal{T}_A$
  - but  $T'_{\mathcal{A}} \subseteq T_{\mathcal{A}}$ , so  $\mathcal{M}, \sigma \models \varphi$  for every  $\varphi \in T'_{\mathcal{A}}$
  - hence  $\mathcal{M}, \sigma \models T'_A$
  - by the above, this means  $\mathcal{M}, \sigma \models \forall x.\mathbf{0} + x \doteq x$

### Proving Commutativity

- this is just the first step towards proving  $T_A \models \forall x. \forall y. x + y \doteq y + x$
- the whole proof can be done in sequent calculus, but it is very long and tedious
- many other laws about + and  $\times$  can be proved as well

#### Beyond Addition and Multiplication

- $T_A$  contains no axioms for exponentiation
- we could add them, yielding  $T_A^{exp}$ :

• 
$$\forall x.x^{\mathbf{0}} \doteq s(\mathbf{0})$$

• 
$$\forall x. \forall y. x^{s(y)} \doteq x^y \times x$$

but we do not need to do that:

Expressing Exponentiation in 
$$T_A$$
  
For every formula  $\varphi$  using exponentiation,  $v$ 

For every formula  $\varphi$  using exponentiation, we can find a formula  $\varphi'$  not using exponentiation such that  $T_A^{\exp} \models \varphi$  iff  $T_A \models \varphi'$ !

• in fact, we can express any computable function in  $T_A$ 

# Limits of First-order Logic

#### Compactness Theorem

#### Compactness Theorem

A set of formulas  $\Gamma$  is satisfiable iff every finite subset of  $\Gamma$  is satisfiable.

- left-to-right direction is easy:
- right-to-left direction is easy if Γ is finite:
- otherwise, this direction is quite hard to prove

#### Compactness Theorem

A set of formulas  $\Gamma$  is satisfiable iff every finite subset of  $\Gamma$  is satisfiable.

#### • left-to-right direction is easy:

- right-to-left direction is easy if Γ is finite:
- otherwise, this direction is quite hard to prove

#### Compactness Theorem

- left-to-right direction is easy:
  - assume  $\Gamma$  is satisfiable; then we have  $\mathcal{M}, \sigma$  such that  $\mathcal{M}, \sigma \models \gamma$  for every  $\gamma \in \Gamma$
  - let  $\Gamma' \subseteq \Gamma$ ; then for any  $\gamma' \in \Gamma'$  we have  $\gamma' \in \Gamma'$ , so  $\mathcal{M}, \sigma \models \gamma'$ ; hence  $\mathcal{M}, \sigma \models \Gamma'$
- right-to-left direction is easy if Γ is finite:
- otherwise, this direction is quite hard to prove

#### Compactness Theorem

- left-to-right direction is easy:
- right-to-left direction is easy if Γ is finite:
- otherwise, this direction is quite hard to prove

#### Compactness Theorem

- left-to-right direction is easy:
- right-to-left direction is easy if Γ is finite:
   Γ is a finite subset of itself
- otherwise, this direction is quite hard to prove

#### Compactness Theorem

- left-to-right direction is easy:
- right-to-left direction is easy if Γ is finite:
- otherwise, this direction is quite hard to prove

#### Compactness Theorem

A set of formulas  $\Gamma$  is satisfiable iff every finite subset of  $\Gamma$  is satisfiable.

- left-to-right direction is easy:
- right-to-left direction is easy if Γ is finite:
- otherwise, this direction is quite hard to prove

To determine satisfiability of  $\Gamma$ , its infinite subsets are unimportant!

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

∃x.∃y.∃z.¬(x ≐ y) ∧ ¬(x ≐ z) ∧ ¬(y ≐ z)
 D has at least three elements

• 
$$(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$$
  
D is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

- $\exists x. \exists y. \exists z. \neg (x \doteq y) \land \neg (x \doteq z) \land \neg (y \doteq z)$ D has at least three elements
- ∀x.∀y.∀z.x ≐ y ∨ x ≐ z ∨ y ≐ z
   D has at most two elements
- $(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$ D is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

• 
$$\exists x. \exists y. \exists z. \neg (x \doteq y) \land \neg (x \doteq z) \land \neg (y \doteq z)$$
  
*D* has at least three elements

• 
$$(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$$
  
D is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

- ∃x.∃y.∃z.¬(x ≐ y) ∧ ¬(x ≐ z) ∧ ¬(y ≐ z)
   D has at least three elements
- ∀x.∀y.∀z.x ≐ y ∨ x ≐ z ∨ y ≐ z
   D has at most two elements
- $(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$ D is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

- ∃x.∃y.∃z.¬(x ≐ y) ∧ ¬(x ≐ z) ∧ ¬(y ≐ z)
   D has at least three elements
- $\forall x. \forall y. \forall z. x \doteq y \lor x \doteq z \lor y \doteq z$

D has at most two elements

•  $(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$ D is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

• 
$$\exists x. \exists y. \exists z. \neg (x \doteq y) \land \neg (x \doteq z) \land \neg (y \doteq z)$$
  
D has at least three elements

• 
$$(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$$
  
D is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

• 
$$\exists x. \exists y. \exists z. \neg (x \doteq y) \land \neg (x \doteq z) \land \neg (y \doteq z)$$
  
D has at least three elements

• 
$$(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$$
  
*D* is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

• 
$$(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$$
  
D is infinite

Assume  $\mathcal{M} \models \varphi$  for any of the following formulas  $\varphi$ ; what does this say about the domain D of  $\mathcal{M}$ ?

•  $\exists x. \exists y. \neg (x \doteq y)$ 

D has at least two elements

• 
$$(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \land \neg(\forall z.\exists x.f(x) \doteq z)$$
  
D is infinite

## **Defining Finiteness**

- *M* ⊨ (∀*x*.∀*y*.*f*(*x*) ≐ *f*(*y*) → *x* ≐ *y*) ∧ ¬(∀*z*.∃*x*.*f*(*x*) ≐ *z*) iff domain is infinite:
  - *[f ]*<sub>*M*</sub> is injective
  - $\llbracket f \rrbracket_{\mathcal{M}}$  is not surjective
- is it true that

 $\mathcal{M} \models \neg (\forall x. \forall y. f(x) \doteq f(y) \rightarrow x \doteq y) \lor (\forall z. \exists x. f(x) \doteq z)$  iff domain is finite?

No! This formula just says that  $\llbracket f \rrbracket_{\mathcal{M}}$  is either not injective or surjective. We can choose domain  $\mathbb{N}$  and  $\llbracket f \rrbracket_{\mathcal{M}}(n) := n$ .

## **Defining Finiteness**

- *M* ⊨ (∀*x*.∀*y*.*f*(*x*) ≐ *f*(*y*) → *x* ≐ *y*) ∧ ¬(∀*z*.∃*x*.*f*(*x*) ≐ *z*) iff domain is infinite:
  - $\llbracket f \rrbracket_{\mathcal{M}}$  is injective
  - $\llbracket f \rrbracket_{\mathcal{M}}$  is not surjective
- is it true that

 $\mathcal{M} \models \neg(\forall x.\forall y.f(x) \doteq f(y) \rightarrow x \doteq y) \lor (\forall z.\exists x.f(x) \doteq z)$  iff domain is finite?

No! This formula just says that  $\llbracket f \rrbracket_{\mathcal{M}}$  is either not injective or surjective. We can choose domain  $\mathbb{N}$  and  $\llbracket f \rrbracket_{\mathcal{M}}(n) := n$ .

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- let  $\Lambda$  be the set of all  $\lambda_n$
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise S<sub>f</sub> = Λ' ∪ {φ<sub>f</sub>} for a finite Λ' ⊆ Λ, and it is still satisfiable
- so all finite subsets of  $\Lambda \cup \{\varphi_f\}$  are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

#### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- for every  $n \in \mathbb{N}$ , we can find a formula  $\lambda_n$  such that  $\mathcal{M} \models \lambda_n$  iff its domain has at least n elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise S<sub>f</sub> = Λ' ∪ {φ<sub>f</sub>} for a finite Λ' ⊆ Λ, and it is still satisfiable
- so all finite subsets of Λ ∪ {φ<sub>f</sub>} are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- $\mathcal{M} \models \lambda_n$  iff domain has at least *n* elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise S<sub>f</sub> = Λ' ∪ {φ<sub>f</sub>} for a finite Λ' ⊆ Λ, and it is still satisfiable
- so all finite subsets of  $\Lambda \cup \{\varphi_f\}$  are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- $\mathcal{M} \models \lambda_n$  iff domain has at least *n* elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- if  $\mathcal{M} \models \Lambda$  then the domain of  $\mathcal{M}$  must be infinite; so  $\Lambda \cup \{\varphi_f\}$  is unsatisfiable
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise S<sub>f</sub> = Λ' ∪ {φ<sub>f</sub>} for a finite Λ' ⊆ Λ, and it is still satisfiable
- so all finite subsets of Λ ∪ {φ<sub>f</sub>} are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- $\mathcal{M} \models \lambda_n$  iff domain has at least *n* elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- $\Lambda \cup \{\varphi_f\}$  is unsatisfiable
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise  $S_f = \Lambda' \cup \{\varphi_f\}$  for a finite  $\Lambda' \subseteq \Lambda$ , and it is still satisfiable
- so all finite subsets of Λ ∪ {φ<sub>f</sub>} are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- $\mathcal{M} \models \lambda_n$  iff domain has at least *n* elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- $\Lambda \cup \{\varphi_f\}$  is unsatisfiable
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise  $S_f = \Lambda' \cup \{\varphi_f\}$  for a finite  $\Lambda' \subseteq \Lambda$ , and it is still satisfiable
- so all finite subsets of Λ ∪ {φ<sub>f</sub>} are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- $\mathcal{M} \models \lambda_n$  iff domain has at least *n* elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- $\Lambda \cup \{\varphi_f\}$  is unsatisfiable
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise  $S_f = \Lambda' \cup \{\varphi_f\}$  for a finite  $\Lambda' \subseteq \Lambda$ , and it is still satisfiable
- so all finite subsets of Λ ∪ {φ<sub>f</sub>} are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- $\mathcal{M} \models \lambda_n$  iff domain has at least *n* elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- $\Lambda \cup \{\varphi_f\}$  is unsatisfiable
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise  $S_f = \Lambda' \cup \{\varphi_f\}$  for a finite  $\Lambda' \subseteq \Lambda$ , and it is still satisfiable
- so all finite subsets of  $\Lambda \cup \{\varphi_f\}$  are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

### Finiteness Is Not First-order Definable

- assume we had such a formula  $\varphi_f$
- $\mathcal{M} \models \lambda_n$  iff domain has at least *n* elements
- let  $\Lambda$  be the set of all  $\lambda_n$
- $\Lambda \cup \{\varphi_f\}$  is unsatisfiable
- consider finite subsets  $S_f$  of  $\Lambda \cup \{\varphi_f\}$ :
  - if  $S_f \subseteq \Lambda$ , it is satisfiable
  - otherwise  $S_f = \Lambda' \cup \{\varphi_f\}$  for a finite  $\Lambda' \subseteq \Lambda$ , and it is still satisfiable
- so all finite subsets of Λ ∪ {φ<sub>f</sub>} are satisfiable, but the set itself is not; this contradicts the Compactness Theorem
- hence such a  $\varphi$  cannot exist

## Reflexive Transitive Closure

- assume our signature contains binary relation symbols r, s
- can you find a formula φ<sup>\*</sup> such that M ⊨ φ iff [[s]]<sub>M</sub> is the reflexive transitive closure of [[r]]<sub>M</sub>?
- no!
  - assume we had such a  $\varphi^*$
  - define, for every n ∈ N, a formula r<sub>n</sub>(x, y) with free variables x and y such that M, σ ⊨ r<sub>n</sub>(x, y) iff σ(y) is reachable from σ(x) through n iterations of [[r]]<sub>M</sub> for example, r<sub>3</sub>(x, y) := ∃z<sub>1</sub>.∃z<sub>2</sub>.r(x, z<sub>1</sub>) ∧ r(z<sub>1</sub>, z<sub>2</sub>) ∧ r(z<sub>2</sub>, y)
  - define, for every  $n \in \mathbb{N}$ , a formula  $\delta_n := s(x, y) \land \neg r_n(x, y)$
  - let  $\Delta$  be set of all these formulas
  - then  $\Delta \cup \{\varphi^*\}$  is unsatisfiable, but every finite subset is satisfiable
  - contradiction:  $\varphi^*$  cannot exist!

## Reflexive Transitive Closure

- assume our signature contains binary relation symbols r, s
- can you find a formula φ<sup>\*</sup> such that M ⊨ φ iff [[s]]<sub>M</sub> is the reflexive transitive closure of [[r]]<sub>M</sub>?
- no!
  - assume we had such a  $\varphi^*$
  - define, for every n ∈ N, a formula r<sub>n</sub>(x, y) with free variables x and y such that M, σ ⊨ r<sub>n</sub>(x, y) iff σ(y) is reachable from σ(x) through n iterations of [[r]]<sub>M</sub> for example, r<sub>3</sub>(x, y) := ∃z<sub>1</sub>.∃z<sub>2</sub>.r(x, z<sub>1</sub>) ∧ r(z<sub>1</sub>, z<sub>2</sub>) ∧ r(z<sub>2</sub>, y)
  - define, for every  $n \in \mathbb{N}$ , a formula  $\delta_n := s(x, y) \land \neg r_n(x, y)$
  - let  $\Delta$  be set of all these formulas
  - then  $\Delta \cup \{\varphi^*\}$  is unsatisfiable, but every finite subset is satisfiable
  - contradiction: φ<sup>\*</sup> cannot exist!

## **Fixpoints**

• one might think that the following formula should do the trick:

$$\varphi^* := \forall x. \forall y. s(x, y) \leftrightarrow x \doteq y \lor (\exists z. r(x, z) \land s(z, y))$$

- but consider the structure  $\mathcal{M} = \langle \mathbb{N}, \langle \llbracket \rrbracket_F, \llbracket \rrbracket_R \rangle \rangle$  with  $\llbracket r \rrbracket_{\mathcal{R}} := \{ (n, n) \mid n \in \mathbb{N} \}$  and  $\llbracket s \rrbracket_{\mathcal{R}} := \mathbb{N} \times \mathbb{N}$
- then M ⊨ φ<sup>\*</sup>, but [[s]]<sub>R</sub> is not the reflexive transitive closure of [[r]]<sub>R</sub>
- roughly, the reflexive transitive closure of *r* is the *least* fixpoint of the function

$$F(s) := \{(x, y) \mid x \doteq y \lor \exists z.r(x, z) \land s(z, y)\}$$

but  $\varphi^*$  only ensures that s is some fixpoint of  ${\it F}$ 

## Conclusion

- first-order logic is enough to formalise arithmetic
- large parts of mathematics can be done in first-order logic
- sequent calculus is a sound and complete deductive system for first-order logic
- for analysis, however, we need second-order logic
- in second-order logic we can quantify over propositions, so we can write the induction axiom as a single formula:

$$\forall P.P(\mathbf{0}) \rightarrow (\forall x.P(x) \rightarrow P(s(x))) \rightarrow (\forall x.P(x))$$

• but there are no complete deductive systems for second-order logic (Gödel's Incompleteness Theorem)