

Elementary Logic

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Outline

- 1 Sentential Logic
- 2 First-Order Language
- 3 Truth and Models
- 4 A Deductive Calculus
- 5 Soundness and Completeness Theorems

The Language

- The following symbols are used in sentential logic

Symbol	Name	Remark
(left parenthesis	punctuation
)	right parenthesis	punctuation
\neg	negation symbol	not
\wedge	conjunction symbol	and
\vee	disjunction symbol	or (inclusive)
\rightarrow	condition symbol	if __, then __
\leftrightarrow	biconditional symbol	if and only if
A_1	first sentence symbol	
A_2	second sentence symbol	
...		
A_n	n th sentence symbol	
...		

- The set of sentence symbols will be denoted by \mathcal{S}

Well-Formed Formulae (wff's)

- A set S of expressions is **inductive** if it has the following properties.
- A **well-formed formula (wff)** is defined as follows:
 - ▶ every sentence symbol is a wff;
 - ▶ if expressions α and β are wff's, then so are $(\neg\alpha)$, $(\alpha \wedge \beta)$, $(\alpha \vee \beta)$, $(\alpha \rightarrow \beta)$, and $(\alpha \leftrightarrow \beta)$.
- The set of wffs generated from \mathcal{S} is denoted by $\overline{\mathcal{S}}$

Truth Assignments

- Fix a set $\{T, F\}$ of **truth values**
- A **truth assignment** is a function

$$\nu : \mathcal{S} \rightarrow \{T, F\}$$

Extended Truth Assignment

- Define the extension $\bar{\nu} : \overline{\mathcal{S}} \rightarrow \{T, F\}$ by

$$\bar{\nu}(A) = \nu(A)$$

$$\bar{\nu}(\neg\alpha) = \begin{cases} T & \text{if } \bar{\nu}(\alpha) = F \\ F & \text{otherwise} \end{cases}$$

$$\bar{\nu}(\alpha \wedge \beta) = \begin{cases} T & \text{if } \bar{\nu}(\alpha) = T \text{ and } \bar{\nu}(\beta) = T \\ F & \text{otherwise} \end{cases}$$

$$\bar{\nu}(\alpha \vee \beta) = \begin{cases} T & \text{if } \bar{\nu}(\alpha) = T \text{ or } \bar{\nu}(\beta) = T \\ F & \text{otherwise} \end{cases}$$

$$\bar{\nu}(\alpha \rightarrow \beta) = \begin{cases} F & \text{if } \bar{\nu}(\alpha) = T \text{ and } \bar{\nu}(\beta) = F \\ T & \text{otherwise} \end{cases}$$

$$\bar{\nu}(\alpha \leftrightarrow \beta) = \begin{cases} T & \text{if } \bar{\nu}(\alpha) = \bar{\nu}(\beta) \\ F & \text{otherwise} \end{cases}$$

Tautology

- A truth assignment ν **satisfies** a wff ϕ if $\bar{\nu}(\phi) = \text{T}$
- Let Σ be a set of wffs and ϕ a wff. Σ **tautologically implies** ϕ ($\Sigma \models \phi$) if every truth assignment satisfies every member of Σ also satisfies ϕ
- ϕ is a **tautology** if $\emptyset \models \phi$
- If $\sigma \models \tau$ and $\tau \models \sigma$, we say σ and τ are **tautologically equivalent** ($\sigma \models \tau$)
 - ▶ $\sigma \models \tau$ stands for $\{\sigma\} \models \tau$

Omitting Parentheses

To reduce the number of parentheses, we use the following convention:

- The outmost parentheses need not be explicitly mentioned. “ $A \wedge B$ ” means $(A \wedge B)$
- The negation symbol applies to as little as possible. “ $\neg A \wedge B$ ” means $(\neg A) \wedge B$
- The conjunction and disjunction symbols also apply to as little as possible. “ $A \wedge B \rightarrow \neg C \vee D$ ” means $(A \wedge B) \rightarrow ((\neg C) \vee D)$
- Where one connective symbol is used repeatedly, grouping to the right. “ $A \rightarrow B \rightarrow C$ ” means $A \rightarrow (B \rightarrow C)$

Boolean Functions

- A **k -place Boolean function** is a function from $\{T, F\}^k$ into $\{T, F\}$
- Suppose a wff α has sentence symbols among A_1, \dots, A_n . The Boolean function B_α^n **realized** by α is defined by

$$B_\alpha^n(X_1, \dots, X_n) = \bar{\nu}(\alpha)$$

where $\nu(A_i) = X_i \in \{T, F\}$ for each $i = 1, \dots, n$

Facts about B_α^n

Theorem

Let α and β be wffs whose sentence symbols are among A_1, \dots, A_n .

- 1 $\alpha \models \beta$ iff for all $\vec{X} \in \{T, F\}^n$, $B_\alpha^n(\vec{X}) = T$ implies $B_\beta^n(\vec{X}) = T$
- 2 $\alpha \models \beta$ iff $B_\alpha^n = B_\beta^n$
- 3 $\models \alpha$ iff $\text{ran } B_\alpha^n = \{T\}$

Proof.

Observe that $\alpha \models \beta$ iff for all 2^n truth assignments ν , $\bar{\nu}(\alpha) = T$ implies $\bar{\nu}(\beta) = T$. □

Completeness of Connectives

Theorem

Let G be an n -place Boolean function with $n \geq 1$. There is a wff α such that $G = B_{\alpha}^n$

Proof.

If $\text{ran } G = \{F\}$, let $\alpha = A_1 \wedge \neg A_1$.

Otherwise, let G have the value T at $\vec{X}_i = \langle X_{i1}, X_{i2}, \dots, X_{in} \rangle$ for $i = 1, \dots, k$. Define

$$\beta_{ij} = \begin{cases} A_j & \text{if } X_{ij} = T \\ \neg A_j & \text{if } X_{ij} = F \end{cases}$$

$$\gamma_i = \beta_{i1} \wedge \dots \wedge \beta_{in}$$

$$\alpha = \gamma_1 \vee \dots \vee \gamma_k$$

It is straightforward to show $G = B_{\alpha}^n$



Disjunctive Normal Form

- A **literal** is either a sentence symbol A or its negation $\neg A$
- A wff α is in **disjunctive normal form** if

$$\alpha = \gamma_1 \vee \gamma_2 \vee \cdots \vee \gamma_k$$

where

$$\gamma_i = \beta_{i1} \wedge \beta_{i2} \wedge \cdots \wedge \beta_{in_i}$$

and β_{ij} is a literal

Corollary

For any wff ϕ , there is a tautologically equivalent wff α in disjunctive normal form

Compactness

- A set Σ of wffs is **satisfiable** if there is a truth assignment which satisfies every member of Σ
- Σ is **finitely satisfiable** if every finite subset of Σ is satisfiable
- In mathematics, compactness relates finite and infinite features
 - A set is **compact** if any open cover has a finite subcover
 - ★ bounded closed sets are compact; bounded open sets are not.

Proof of Compactness

Theorem

A set Σ of wffs is satisfiable iff it is finitely satisfiable

Proof.

Let $\alpha_0, \alpha_1, \dots$ be an enumeration of wffs. Define

$$\begin{aligned}\Delta_0 &= \Sigma \\ \Delta_{n+1} &= \begin{cases} \Delta_n \cup \{\alpha_{n+1}\} & \text{if this is finitely satisfiable} \\ \Delta_n \cup \{\neg\alpha_{n+1}\} & \text{otherwise} \end{cases}\end{aligned}$$

Let $\Delta = \cup_n \Delta_n$. Then (1) $\Sigma \subseteq \Delta$; (2) for any wff α , either $\alpha \in \Delta$ or $\neg\alpha \in \Delta$; and (3) Δ is finitely satisfiable.

Define a truth assignment ν by $\nu(A) = \text{T}$ if $A \in \Delta$ for every sentence symbol A . Then ν satisfies ϕ iff $\phi \in \Delta$. Since $\Sigma \subseteq \Delta$, ν satisfies every member of Σ . □

Applications of Compactness

Corollary

If $\Sigma \models \tau$, there is a finite $\Sigma_0 \subseteq \Sigma$ such that $\Sigma_0 \models \tau$

Proof.

Suppose $\Sigma_0 \not\models \tau$ for every finite $\Sigma_0 \subseteq \Sigma$. Then $\Sigma_0 \cup \{\tau\}$ is not satisfiable for any finite $\Sigma_0 \subseteq \Sigma$. Hence $\Sigma \cup \{\tau\}$ is not finitely satisfiable. Thus $\Sigma \cup \{\tau\}$ is not satisfiable. Therefore $\Sigma \not\models \tau$. □

The Language

- Logical symbols

- ▶ parentheses: $(,)$
- ▶ sentential connectives: \rightarrow, \neg
- ▶ variables: v_1, v_2, \dots
- ▶ equality symbol (optional): \approx

- Parameters

- ▶ quantifier symbol: \forall
- ▶ predicate symbols: n -place predicate symbols
- ▶ constant symbols (or 0-place function symbols)
- ▶ function symbols: n -place function symbols

Examples of First-Order Language

- Pure predicate language

- ▶ equality: no
- ▶ n -place predicate symbols: A_1^n, A_2^n, \dots
- ▶ constant symbols: a_1, a_2, \dots
- ▶ n -place function symbols ($n > 0$): none

- Language of set theory

- ▶ equality: yes
- ▶ predicate parameters: \in
- ▶ constant symbols: \emptyset (sometimes)
- ▶ function symbols: none

- Language of elementary number theory

- ▶ equality: yes
- ▶ predicate parameters: $<$
- ▶ constant symbols: 0
- ▶ 1-place function symbols: S
- ▶ 2-place function symbols: $+$, \times , and E

Examples

- “There is no set of which every set is a member.”

$$\neg(\neg\forall v_1(\neg\forall v_2 \in v_2 v_1))$$

$$\text{or } \neg(\exists v_1(\forall v_2 \in v_2 v_1))$$

- “For any two sets, there is a set whose members are exactly the two given sets.”

$$\forall v_1 v_2 \exists v_3 \forall v_4 (\in v_4 v_3 \leftrightarrow \approx v_4 v_1 \vee \approx v_4 v_2)$$

- “Any nonzero natural number is the successor of some number.”

$$\forall v_1 (\neg \approx v_1 \mathbf{0} \rightarrow \exists v_2 \approx v_1 \mathbf{S}v_2)$$

Terms

- **Terms** are generated by variables, constant symbols, and function symbols
- Examples:

$$\begin{array}{lll} +v_2S0 & \text{informally,} & v_2 + 1 \\ SSSS0 & \text{informally,} & 4 \\ +Ev_1SS0Ev_2SSS0 & \text{informally,} & v_1^2 + v_2^3 \end{array}$$

Atomic Formulae

- An **atomic formula** is an expression of the form

$$Pt_1 \cdots t_n$$

where P is an n -place predicate symbol (or equality), and t_1, \dots, t_n are terms

- Examples:

$$\approx v_1 S 0 \quad \text{informally,} \quad v_1 = 1$$

$$\in v_2 v_3 \quad \text{informally,} \quad v_2 \in v_3$$

Well-Formed Formulae

- The set of **well-formed formulae** (**wff**, or **formulae**) is generated from the atomic formulae by connective symbols (\neg , \rightarrow) and the quantifier symbol (\forall)
 - ▶ $\neg\gamma$, $\gamma \rightarrow \delta$, $\forall v_i \gamma$ are wffs provided γ, δ are
- Example:

$$\forall v_1((\neg \forall v_3(\neg \in v_3 v_1)) \rightarrow (\neg \forall v_2(\in v_2 v_1) \rightarrow (\neg \forall v_4(\in v_4 v_2 \rightarrow (\neg \in v_4 v_1)))))$$

informally

$$\forall v_1((\exists v_3 v_3 \in v_1) \rightarrow (\neg \forall v_2 v_2 \in v_1 \rightarrow (\neg \forall v_4 v_4 \in v_2 \rightarrow v_4 \notin v_1)))$$

- Nonexample: $\neg v_5$

Free Variables

- Let x be a variable and α a wff
- We say x occurs free in α if
 - ▶ x is a symbol in α when α is atomic
 - ▶ x occurs free in β when α is $\neg\beta$
 - ▶ x occurs free in β or in γ when α is $\beta \rightarrow \gamma$
 - ▶ x occurs free in β and $x \neq v_i$ when α is $\forall v_i\beta$
- If no variable occurs free in the wff α , we say α is a **sentence**
- Examples:
 - ▶ $\forall v_2(Av_2 \rightarrow Bv_2)$ and $\forall v_3(Pv_3 \rightarrow \forall v_3Qv_3)$ are sentences
 - ▶ v_1 occurs free in $(\forall v_1Av_1) \rightarrow Bv_1$

Abbreviations

- Let α and β be formulae and x a variable
- $(\alpha \vee \beta)$ abbreviates $((\neg\alpha) \rightarrow \beta)$
- $(\alpha \wedge \beta)$ abbreviates $(\neg(\alpha \rightarrow (\neg\beta)))$
- $(\alpha \leftrightarrow \beta)$ abbreviates $((\alpha \rightarrow \beta) \wedge (\beta \rightarrow \alpha))$; that is,

$$(\neg((\alpha \rightarrow \beta) \rightarrow (\neg(\beta \rightarrow \alpha))))$$

- $\exists x\alpha$ abbreviates $(\neg\forall x(\neg\alpha))$
- $u \approx t$ abbreviates $\approx ut$ (and similarly for other 2-place predicate symbols)
- $u \not\approx t$ abbreviates $(\neg \approx ut)$ (and similarly for other 2-place predicate symbols)

Precedences

- Outermost parentheses may be dropped.
 - $\forall x\alpha \rightarrow \beta$ is $(\forall x\alpha \rightarrow \beta)$
- \neg , \forall , and \exists apply to as little as possible.
 - $\neg\alpha \wedge \beta$ is $((\neg\alpha) \wedge \beta)$
 - $\forall x\alpha \rightarrow \beta$ is $((\forall x\alpha) \rightarrow \beta)$
- \wedge and \vee apply to as little as possible, subject to above
 - $\neg\alpha \wedge \beta \rightarrow \gamma$ is $((\neg\alpha) \wedge \beta) \rightarrow \gamma$
- When connective is used repeatedly, group them to the right
 - $\alpha \rightarrow \beta \rightarrow \gamma$ is $\alpha \rightarrow (\beta \rightarrow \gamma)$

Notation Conventions

- Predicate symbols: A, B, C , etc. Also $\in, <$
- Variables: v_i, u, x, y , etc.
- Function symbols: f, g, h , etc. Also $S, +$, etc.
- Constant symbols: a, b, c , etc. Also 0
- Terms: u, t
- Formulae: α, β, γ , etc.
- Sentences: σ, τ , etc.
- Set of formulae: Σ, Δ, Γ , etc.
- Structures: $\mathfrak{U}, \mathfrak{B}$, etc.

Structures

- A **structure** \mathfrak{A} for a first-order language is a function whose domain is the set of parameters such that
 - ① \mathfrak{A} assigns to \forall a nonempty set $|\mathfrak{A}|$, called the **universe** of \mathfrak{A}
 - ② \mathfrak{A} assigns to each n -place predicate symbol P an n -ary relation $P^{\mathfrak{A}} \subseteq |\mathfrak{A}|^n$
 - ③ \mathfrak{A} assigns to each constant symbol c a member $c^{\mathfrak{A}} \in |\mathfrak{A}|$
 - ④ \mathfrak{A} assigns to each n -place function symbol f an n -ary function $f^{\mathfrak{A}} : |\mathfrak{A}|^n \rightarrow |\mathfrak{A}|$
- Note that $|\mathfrak{A}|$ is nonempty and $f^{\mathfrak{A}}$ is not a partially-defined function

Examples of Structures

- In the language for set theory. Define
 - ▶ $|\mathfrak{U}|$ = the set of natural numbers
 - ▶ $\in^{\mathfrak{U}} = \{\langle m, n \rangle : m < n\}$
- Consider $\exists x \forall y \neg y \in x$
 - ▶ there is a natural number such that no natural number is smaller
- Informally, we would like to say $\exists x \forall y \neg y \in x$ is true in \mathfrak{U} or \mathfrak{U} is a model of the sentence

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Satisfaction $\models_{\mathfrak{U}} \phi[s]$ I

Let ϕ be a wff, \mathfrak{U} a structure, and $s : V \rightarrow |\mathfrak{U}|$ from the set V of variables to the universe of \mathfrak{U}

- *Terms.* Define the extension $\bar{s} : T \rightarrow |\mathfrak{U}|$ from terms to the universe by
 - 1 for variable x , $\bar{s}(x) = s(x)$
 - 2 for constant symbol c , $\bar{s}(c) = c^{\mathfrak{U}}$
 - 3 if t_1, \dots, t_n are terms and f is an n -place function symbol,
 $\bar{s}(ft_1 \cdots t_n) = f^{\mathfrak{U}}(\bar{s}(t_1), \dots, \bar{s}(t_n))$
- *Atomic formulae.* Define
 - 1 $\models_{\mathfrak{U}} t_1 t_2[s]$ if $\bar{s}(t_1) = \bar{s}(t_2)$
 - 2 for n -place predicate parameter P , $\models_{\mathfrak{U}} Pt_1 \cdots t_n[s]$ if $\langle \bar{s}(t_1), \dots, \bar{s}(t_n) \rangle \in P^{\mathfrak{U}}$

Satisfaction $\models_{\mathcal{M}} \phi[s]$ II

- *Other wffs.* Define

- ① $\models_{\mathcal{M}} \neg\phi[s]$ if $\not\models_{\mathcal{M}} \phi[s]$
- ② $\models_{\mathcal{M}} (\phi \rightarrow \psi)[s]$ if $\not\models_{\mathcal{M}} \phi[s]$ or $\models_{\mathcal{M}} \psi[s]$
- ③ $\models_{\mathcal{M}} \forall x\phi[s]$ if for every $d \in |\mathcal{M}|$, we have $\models_{\mathcal{M}} \phi[s(x|d)]$ where

$$s(x|d)(y) = \begin{cases} s(y) & \text{if } y \neq x \\ d & \text{if } y = x \end{cases}$$

Logical Implication

Definition

Let Γ be a set of wffs, ϕ a wff. Γ **logically implies** ϕ ($\Gamma \models \phi$) if for every structure \mathfrak{A} and every function $s : V \rightarrow |\mathfrak{A}|$ such that \mathfrak{A} satisfies every member of Γ with s , \mathfrak{A} also satisfies ϕ with s

- ϕ and ψ are **logically equivalent** ($\phi \models \psi$ and $\psi \models \phi$) if $\phi \models \psi$ and $\psi \models \phi$
- A wff ϕ is **valid** if $\emptyset \models \phi$ (or just $\models \phi$)

Relevant Valuation

Theorem

Assume $s_1, s_2 : V \rightarrow |\mathfrak{U}|$ such that s_1 and s_2 agree at all variables occurring free in ϕ . Then $\models_{\mathfrak{U}} \phi[s_1]$ iff $\models_{\mathfrak{U}} \psi[s_2]$.

Proof.

By induction.

- $\phi = Pt_1 \cdots t_n$. Observe $\overline{s_1}(t) = \overline{s_2}(t)$ for any term t occurring in ϕ (why?)
- $\phi = \neg\alpha$ or $\alpha \rightarrow \beta$. By inductive hypothesis
- $\phi = \forall x\psi$. Then free variables in ϕ are free variables in ψ except x . Thus $s_1(x|d)$ and $s_2(x|d)$ agree at free variables in ψ for any $d \in |\mathfrak{U}|$. By inductive hypothesis, $\models_{\mathfrak{U}} \psi[s_1(x|d)]$ iff $\models_{\mathfrak{U}} \psi[s_2(x|d)]$ for any $d \in |\mathfrak{U}|$.



Truth and Models

Corollary

For a sentence σ , either

- (a) \mathfrak{U} satisfies σ with every function s ; or*
- (b) \mathfrak{U} does not satisfy σ with any such function*

- If (a) holds, we say σ is **true** in \mathfrak{U} or \mathfrak{U} is a **model** of σ
- If (b) holds, we say σ is **false** in \mathfrak{U}
- \mathfrak{U} is a **model** of a set Σ of sentences iff it is a model of every member of Σ

Corollary

For a set $\Sigma; \tau$ of sentences. $\Sigma \models \tau$ iff every model of Σ is a model of τ

Logical and Tautological Implications

- Consider the problem of determining $\models \phi$ when
 - ▶ ϕ is in sentential logic; and
 - ▶ ϕ is in first-order logic
- For sentential logic, there is an effective procedure
 - ▶ by truth table
- For first-order logic, we have to consider all structures
 - ▶ there are infinitely many structures!
 - ▶ the validity problem is in fact undecidable

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Notational Convention

- By our notational convention, the following statements can be proved
 - ▶ $\models_{\mathcal{M}} (\alpha \wedge \beta)[s]$ iff $\models_{\mathcal{M}} \alpha[s]$ and $\models_{\mathcal{M}} \beta[s]$; similarly for \vee and \leftrightarrow
 - ▶ $\models_{\mathcal{M}} \exists x \alpha[s]$ iff there is some $d \in |\mathcal{M}|$ such that $\models_{\mathcal{M}} \alpha[s(x|d)]$

Definability of Structures

- Let Σ be a set of sentences. $\text{Mod}(\Sigma)$ denotes the class of all models of Σ . That is

$$\text{Mod}(\Sigma) = \{\mathfrak{M} : \mathfrak{M} \models \sigma \text{ for all } \sigma \in \Sigma\}$$

- A class \mathcal{K} of structures is an **elementary class (EC)** if $\mathcal{K} = \text{Mod}(\tau)$ for some sentence τ . \mathcal{K} is an **elementary class in the wider sense (EC $_{\Delta}$)** if $\mathcal{K} = \text{Mod}(\Sigma)$ for some set Σ of sentences

Examples

- A structure (A, R) with $R \subseteq A \times A$ is an **ordered set** if R is transitive and satisfies trichotomy condition
 - that is, exactly one of $\langle a, b \rangle \in R$, $a = b$, $\langle b, a \rangle \in R$ holds
- The class of nonempty ordered sets is an elementary class

$$\begin{aligned}\tau &= \forall x \forall y \forall z (xRy \rightarrow yRz \rightarrow xRz) \wedge \\ &\quad \forall x \forall y (xRy \vee x \approx y \vee yRx) \wedge \\ &\quad \forall x \forall y (xRy \rightarrow \neg yRx)\end{aligned}$$

- The class of infinite sets is EC_{Δ}

$$\begin{aligned}\lambda_2 &= \exists x \exists y x \not\approx y \\ \lambda_3 &= \exists x \exists y \exists z (x \not\approx y \wedge x \not\approx z \wedge y \not\approx z) \\ &\dots \\ \Sigma &= \{\lambda_2, \lambda_3, \dots, \}\end{aligned}$$

Definability within a Structure

- Fix a structure \mathfrak{U}
- Let ϕ be a formula with free variables v_1, \dots, v_k
- For $a_1, \dots, a_k \in |\mathfrak{U}|$, $\models_{\mathfrak{U}} \phi[a_1, \dots, a_k]$ means that \mathfrak{U} satisfies ϕ with some $s : V \rightarrow |\mathfrak{U}|$ where $s(v_i) = a_i$ for $1 \leq i \leq k$
- The k -ary relation **defined** by ϕ is the relation
$$\{\langle a_1, \dots, a_k \rangle : \models_{\mathfrak{U}} \phi[a_1, \dots, a_k]\}$$
- A k -ary relation on $|\mathfrak{U}|$ is **definable** if there is a formula defining it

Examples

- Consider the language of number theory with the intended structure $\mathfrak{N} = (\mathbb{N}, 0, S, +, -, \cdot)$
- The ordering relation $\{\langle m, n \rangle : m < n\}$ is defined by $\exists v_3 v_1 + Sv_3 \approx v_2$
- For any $n \in \mathbb{N}$, $\{n\}$ is definable. For instance, $\{2\}$ is defined by $v_1 \approx SS0$
 - ▶ we hence say n is a **definable element** in \mathfrak{N}
- The set of primes is definable. Consider

$$\exists v_3 SS0 + Sv_3 \approx v_1 \wedge$$

$$\forall v_2 \forall v_3 (v_1 \approx v_2 \cdot v_3 \rightarrow v_2 \approx SS0 \vee v_3 \approx SS0)$$

Homomorphisms

- Let \mathfrak{U} and \mathfrak{B} be structures. A mapping $h: |\mathfrak{U}| \rightarrow |\mathfrak{B}|$ is a **homomorphism** if
 - For each n -place predicate symbol P and n -tuple $\langle a_1, \dots, a_n \rangle \in |\mathfrak{U}|^n$,
$$\langle a_1, \dots, a_n \rangle \in P^{\mathfrak{U}} \text{ iff } \langle h(a_1), \dots, h(a_n) \rangle \in P^{\mathfrak{B}}$$
 - For each n -place function symbol f and n -tuple $\langle a_1, \dots, a_n \rangle \in |\mathfrak{U}|^n$,
$$h(f^{\mathfrak{U}}(a_1, \dots, a_n)) = f^{\mathfrak{B}}(h(a_1), \dots, h(a_n))$$
- If h is one-to-one, it is called an **isomorphism**
- If there is an isomorphism of \mathfrak{U} onto \mathfrak{B} , we say \mathfrak{U} and \mathfrak{B} are **isomorphic** (in notation, $\mathfrak{U} \cong \mathfrak{B}$)

Examples

- Consider $(\mathbb{Z}^+, <_{\mathbb{Z}}^+)$ and $(\mathbb{N}, <_{\mathbb{N}})$. The function $h(n) = n - 1$ is an isomorphism from $(\mathbb{Z}^+, <_{\mathbb{Z}}^+)$ onto $(\mathbb{N}, <_{\mathbb{N}})$
- Consider two structures \mathfrak{U} and \mathfrak{B} with $|\mathfrak{U}| \subseteq |\mathfrak{B}|$. The identity map $(i(n) = n)$ is an isomorphism of \mathfrak{U} into \mathfrak{B} iff
 - ▶ $P^{\mathfrak{U}}$ is the restriction of $P^{\mathfrak{B}}$ to $|\mathfrak{U}|$ for every predicate symbol P ; and
 - ▶ $f^{\mathfrak{U}}$ is the restriction of $f^{\mathfrak{B}}$ to $|\mathfrak{U}|$ for every function symbol f
- In this case, we say \mathfrak{U} is a **substructure** of \mathfrak{B} , and \mathfrak{B} is an **extension** of \mathfrak{U}
- $(\mathbb{Z}^+, <_{\mathbb{Z}}^+)$ is a **substructure** of $(\mathbb{N}, <_{\mathbb{N}})$

Homomorphism Theorem

Theorem

Let h be a homomorphism of \mathfrak{A} into \mathfrak{B} , and $s : V \rightarrow |\mathfrak{A}|$.

- 1 For any term t , $h(\overline{s}(t)) = \overline{h \circ s}(t)$;
- 2 For any quantifier-free formula α without equality symbol, $\models_{\mathfrak{A}} \alpha[s]$ iff $\models_{\mathfrak{B}} \alpha[h \circ s]$;
- 3 If h is one-to-one, then 2 holds even when α contains equality symbol;
- 4 If h is onto, then 2 holds even when α has quantifiers.

Proof of Homomorphism Theorem I

- 1 By induction on t .
- 2 For atomic formula such as Pt , we have

$$\begin{aligned}\models_{\mathcal{U}} Pt[s] &\Leftrightarrow \bar{s}(t) \in P^{\mathcal{U}} \\ &\Leftrightarrow h(\bar{s}(t)) \in P^{\mathcal{B}} \\ &\Leftrightarrow \overline{h \circ s}(t) \in P^{\mathcal{B}} \\ &\Leftrightarrow \models_{\mathcal{B}} Pt[h \circ s].\end{aligned}$$

Other quantifier-free formulae without equality symbols can be proved by induction.

Proof of Homomorphism Theorem II

③ If h is one-to-one, we have

$$\begin{aligned}\models_{\mathcal{U}} u \approx t[s] &\Leftrightarrow \bar{s}(u) = \bar{s}(t) \\ &\Leftrightarrow h(\bar{s}(u)) = h(\bar{s}(t)) \\ &\Leftrightarrow \overline{h \circ s}(u) = \overline{h \circ s}(t) \\ &\Leftrightarrow \models_{\mathfrak{B}} u \approx t[h \circ s].\end{aligned}$$

Other cases are proved by induction.

④ By induction hypothesis, $\models_{\mathcal{U}} \phi[s] \Leftrightarrow \models_{\mathfrak{B}} \phi[h \circ s]$ for any s .

$$\begin{aligned}\models_{\mathfrak{B}} \forall x \phi[h \circ s] &\Leftrightarrow \models_{\mathfrak{B}} \phi[(h \circ s)(x|b)] \text{ for every } b \in |\mathfrak{B}| \\ &\Leftrightarrow \models_{\mathfrak{B}} \phi[(h \circ s)(x|h(a))] \text{ for every } a \in |\mathcal{U}| \\ &\Leftrightarrow \models_{\mathfrak{B}} \phi[h \circ (s(x|a))] \text{ for every } a \in |\mathcal{U}| \\ &\Leftrightarrow \models_{\mathcal{U}} \phi[s(x|a)] \text{ for every } a \in |\mathcal{U}| \\ &\Leftrightarrow \models_{\mathcal{U}} \forall x \phi[s].\end{aligned}$$

Elementary Equivalence

- Two structures \mathfrak{A} and \mathfrak{B} are **elementarily equivalent** ($\mathfrak{A} \equiv \mathfrak{B}$) if for every sentence σ ,

$$\models_{\mathfrak{A}} \sigma \iff \models_{\mathfrak{B}} \sigma.$$

- By Homomorphism Theorem, two isomorphic structures are elementarily equivalent
 - but two elementarily equivalent structures are not necessarily isomorphic, e.g. $(\mathbb{R}, <_{\mathbb{R}})$ and $(\mathbb{Q}, <_{\mathbb{Q}})$
- The identity map from $(\mathbb{Z}^+, <_{\mathbb{Z}}^+)$ into $(\mathbb{N}, <_{\mathbb{N}})$ is an isomorphism. We have

$$\models_{(\mathbb{Z}^+, <_{\mathbb{Z}}^+)} \forall v_2 (v_1 \neq v_2 \rightarrow v_1 < v_2) [v_1 \mapsto 1]$$

but

$$\not\models_{(\mathbb{N}, <_{\mathbb{N}})} \forall v_2 (v_1 \neq v_2 \rightarrow v_1 < v_2) [v_1 \mapsto 1]$$

Generalization and Substitution

- A wff ϕ is a **generalization** of ψ if for some $n \geq 0$ and variables x_1, \dots, x_n , $\phi = \forall x_1 \dots \forall x_n \psi$
- For variable x and term t , write α_t^x for the formula obtained by replacing x with t . Formally,
 - 1 for atomic α , α_t^x is obtained by α by replacing the variable x by t ;
 - 2 $(\neg\alpha)_t^x = (\neg\alpha_t^x)$;
 - 3 $(\alpha \rightarrow \beta)_t^x = (\alpha_t^x \rightarrow \beta_t^x)$;
 - 4 $(\forall y\alpha)_t^x = \begin{cases} \forall y\alpha & \text{if } x = y \\ \forall y(\alpha_t^x) & \text{if } x \neq y \end{cases}$
- t is **substitutable** for x in α if
 - 1 for atomic α , t is always substitutable for x in α ;
 - 2 t is substitutable for x in $(\neg\alpha)$ if it is substitutable for x in α ; t is substitutable for x in $(\alpha \rightarrow \beta)$ if it is substitutable for x in both α and β ;
 - 3 t is substitutable for x in $\forall y\alpha$ if
 - 1 x does not occur free in $\forall y\alpha$; or
 - 2 y does not occur in t and t is substitutable for x in α

More about Substitution

- Consider $\gamma = \forall v_2 Bv_1v_2$
- Then $\gamma_{v_2}^{v_1} = \forall v_2 Bv_2v_2$
 - however, v_2 is not substitutable for v_1 in γ (why?)
- When an axiom of the form $\forall x\alpha$ is instantiated, we have α_t^x for some term t
- But the substitution cannot be performed arbitrarily
 - thus we have to check whether t is substitutable for x in α

Logical Axioms Λ

The **logical axioms Λ** are generalizations of wffs of the following forms:

- 1 tautologies;
- 2 $\forall x\alpha \rightarrow \alpha_t^x$ where t is substitutable for x in α ;
- 3 $\forall x(\alpha \rightarrow \beta) \rightarrow (\forall x\alpha \rightarrow \forall x\beta)$;
- 4 $\alpha \rightarrow \forall x\alpha$ where x does not occur free in α ;
- 5 $x \approx x$;
- 6 $x \approx y \rightarrow (\alpha \rightarrow \alpha')$ where α is atomic and α' is obtained from α by replacing x in zero or more places by y

Modus Ponens

- (Modus ponens) From α and $\alpha \rightarrow \beta$, we may infer β :

$$\frac{\alpha, \alpha \rightarrow \beta}{\beta}$$

- A set Δ of formulae is **closed under modus ponens** if whenever α and $\alpha \rightarrow \beta$ are in Δ , then β is in Δ
- ϕ is a **theorem** of Γ ($\Gamma \vdash \phi$) if ϕ belongs to the set generated from $\Gamma \cup \Lambda$ by modus ponens

Definition

A **deduction of ϕ from Γ** is a sequence $\langle \alpha_0, \dots, \alpha_n \rangle$ of formulae such that $\alpha_n = \phi$ and for each $i \leq n$,

- $\alpha_i \in \Gamma \cup \Lambda$; or
- for some $j, k < i$, α_i is obtained by modus ponens from α_j and $\alpha_k (= \alpha_j \rightarrow \alpha_i)$

Theorem and Deduction

Theorem

There exists a deduction of α from Γ iff α is a theorem of Γ .

Proof.

If there is a deduction $\langle a_0, \dots, a_n \rangle$, then each α_i belongs to the set generated from $\Gamma \cup \Lambda$ by modus ponens. Hence $\Gamma \vdash \alpha_n (= \phi)$.

Conversely, every formula in $\Gamma \cup \Lambda$ has a deduction. Moreover, every formula obtained from $\Gamma \cup \Lambda$ by modus ponens has a deduction. Hence, every formula generated from $\Gamma \cup \Lambda$ by modus ponens has a deduction. Particularly, the theorem ϕ of Γ has a deduction. □

We therefore say ϕ is **deducible** from Γ if $\Gamma \vdash \phi$.

Tautologies

- A **tautology** in first-order logic is a wff obtained from a tautology in sentential logic by replacing each sentence symbol with a wff of first-order language

$$\forall x[(\forall y\neg Py \rightarrow \neg Px) \rightarrow (Px \rightarrow \neg\forall y\neg Py)]$$

is obtained from

$$(A \rightarrow \neg B) \rightarrow (B \rightarrow \neg A)$$

by generalization

More about Tautologies

- Divide wffs in first-order language in two groups
 - 1 **Prime** formulae are the atomic formulae and those of the form $\forall x\alpha$
 - 2 Non-prime formulae are those of the form $\neg\alpha$ or $\alpha \rightarrow \beta$
- Now take prime formulae as sentence symbols. Any tautology of the (new) sentential logic is a tautology in first-order language
- Consider $(\forall y\neg Py \rightarrow \neg Px) \rightarrow (Px \rightarrow \neg\forall\neg Px)$
 - ▶ there are two prime formulae: $\forall y\neg Py$ and Px
 - ▶ it remains to check whether $(A \rightarrow \neg B) \rightarrow (B \rightarrow \neg A)$ is a tautology
- By taking prime formulae as sentence symbols, first-order formulae are also wffs of sentential logic. Concepts for sentential logic are applicable.
 - ▶ it makes sense, for instance, to say “tautologically implies” in first-order language.

Deduction and Tautologically Implication

Theorem

$\Gamma \vdash \phi$ iff $\Gamma \cup \Lambda$ tautologically implies ϕ

Proof.

Observe that $\{\alpha, \alpha \rightarrow \beta\}$ tautologically implies β . Now suppose there is a truth assignment ν satisfying $\Gamma \cup \Lambda$. We can prove ν satisfies any theorem of Γ by induction on the length of deduction. The inductive step uses the observation.

Conversely, assume $\Gamma \cup \Lambda$ tautologically implies ϕ . By compactness theorem (for sentential logic), there is a finite subset $\{\gamma_1, \dots, \gamma_m, \lambda_1, \dots, \lambda_n\}$ tautologically implying ϕ . Hence,

$$\gamma_1 \rightarrow \dots \rightarrow \gamma_m \rightarrow \lambda_1 \rightarrow \dots \rightarrow \lambda_n \rightarrow \phi$$

is a tautology (why?) and hence in Λ . Applying modus ponens, we have ϕ . □

Examples of Theorems

• $\vdash Px \rightarrow \exists yPy$

$$\frac{\forall y \neg Py \rightarrow \neg Px \quad (\forall y \neg Py \rightarrow \neg Px) \rightarrow (Px \rightarrow \neg \forall y \neg Py)}{Px \rightarrow \neg \forall y \neg Py}$$

• $\vdash \forall x(Px \rightarrow \exists yPy)$

$$\frac{\forall x(\forall y \neg Py \rightarrow \neg Px) \quad \frac{\forall x[(\forall y \neg Py \rightarrow \neg Px) \rightarrow (Px \rightarrow \neg \forall y \neg Py)] \quad \forall x(\alpha \rightarrow \beta) \rightarrow (\forall x\alpha \rightarrow \forall x\beta)}{\forall x(\forall y \neg Py \rightarrow \neg Px) \rightarrow \forall x(Px \rightarrow \neg \forall y \neg Py)}}{\forall x(Px \rightarrow \neg \forall y \neg Py)}$$

where α is $\forall y \neg Py \rightarrow \neg Px$ and β is $Px \rightarrow \neg \forall y \neg Py$.

Theorems and Metatheorems

- Note that the word “theorem” has two different meanings
- In $\Gamma \vdash \alpha$, we say α is a “theorem”
 - properties derived from Γ , at the **object** level
- We also say the following is a “theorem”

Theorem

$\Gamma \vdash \phi$ iff $\Gamma \cup \Lambda$ *tautologically implies* ϕ

- properties about arbitrary Γ , at the **meta** level

Theorems and Metatheorems

- Note that the word “theorem” has two different meanings
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Theorem

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- properties about arbitrary Γ , at the **meta** level

Generalization Theorem I

Theorem (generalization)

If $\Gamma \vdash \phi$ and x does not occur free in any formula in Γ , then $\Gamma \vdash \forall x\phi$

Generalization Theorem II

Proof.

Fix a set Γ and a variable x not free in Γ . If $\mathcal{T} = \{\phi : \Gamma \vdash \forall x\phi\}$ includes $\Gamma \cup \Lambda$ and is closed under modus ponens, then every theorem ϕ of Γ belongs to \mathcal{T} . Hence $\Gamma \vdash \forall x\phi$ for any theorem ϕ .

- $\psi \in \Lambda$. Hence $\forall x\psi \in \Lambda$. Thus $\Gamma \vdash \forall x\psi$ and $\psi \in \mathcal{T}$
- $\psi \in \Gamma$. Then x does not occur free in ψ . $\psi \rightarrow \forall x\psi \in \Lambda$ (axiom group 4). We have

$$\frac{\psi \quad \psi \rightarrow \forall x\psi}{\forall x\psi}$$

- Suppose ϕ and $\phi \rightarrow \psi$. By induction hypothesis, $\Gamma \vdash \forall x\phi$ and $\Gamma \vdash \forall x(\phi \rightarrow \psi)$. We have

$$\frac{\forall x\phi \quad \frac{\forall x(\phi \rightarrow \psi) \quad \forall x(\phi \rightarrow \psi) \rightarrow (\forall x\phi \rightarrow \forall x\psi)}{\forall x\phi \rightarrow \forall x\psi}}{\forall x\psi}$$



Remark

- Informally, when we prove $\text{_____}x\text{_____}$ from Γ and Γ does not restrict x , we should have $\forall x\text{_____}x\text{_____}$
 - this is exactly Generalization Theorem
- Axiom group 3 and 4 are crucial in the proof
- x must not occur free in Γ
 - $Px \not\equiv \forall xPx$, one should not have $Px \vdash \forall xPx$
- For applications, let us show $\forall x\forall y\alpha \vdash \forall y\forall x\alpha$
By axiom group 2 (twice) and $\forall x\forall y\alpha$, we have $\forall x\forall y\alpha \vdash \alpha$. By applying Generalization Theorem (twice), we have $\forall x\forall y\alpha \vdash \forall y\forall x\alpha$

Rule T

Lemma (Rule T)

If $\Gamma \vdash \alpha_1, \dots, \Gamma \vdash \alpha_n$ and $\{\alpha_1, \dots, \alpha_n\}$ tautologically implies β , then $\Gamma \vdash \beta$

Proof.

$\alpha_1 \rightarrow \dots \rightarrow \alpha_n \rightarrow \beta$ is a tautology and hence a logical axiom. Apply modus ponens. \square

Deduction Theorem I

Theorem

If $\Gamma \cup \{\gamma\} \vdash \phi$, $\Gamma \vdash \gamma \rightarrow \phi$

Proof.

(First proof)

$\Gamma \cup \{\gamma\} \vdash \phi$ iff $\Gamma \cup \{\gamma\} \cup \Lambda$ tautologically implies ϕ
iff $\Gamma \cup \Lambda$ tautologically implies $\gamma \rightarrow \phi$
iff $\Gamma \vdash \gamma \rightarrow \phi$



Deduction Theorem II

Proof.

(Second proof)

We show that $\Gamma \vdash \gamma \rightarrow \phi$ when $\Gamma \cup \{\gamma\} \vdash \phi$.

- $\phi = \gamma$. Clearly, $\Gamma \vdash \gamma \rightarrow \phi$
- $\phi \in \Lambda \cup \Gamma$. We have $\Gamma \vdash \phi$. Moreover, $\phi \rightarrow (\gamma \rightarrow \phi)$ is a tautology. (why?) By modus ponens, $\Gamma \vdash \gamma \rightarrow \phi$
- ϕ is obtained from ψ and $\psi \rightarrow \phi$ by modus ponens. By inductive hypothesis, $\Gamma \vdash \gamma \rightarrow \psi$ and $\Gamma \vdash \gamma \rightarrow (\psi \rightarrow \phi)$. Moreover, $\{\gamma \rightarrow \psi, \gamma \rightarrow (\psi \rightarrow \phi)\}$ tautologically implies $\gamma \rightarrow \phi$. By rule T, $\Gamma \vdash \gamma \rightarrow \phi$



Contraposition

Corollary (contraposition)

$\Gamma \cup \{\phi\} \vdash \neg\psi$ *iff* $\Gamma \cup \{\psi\} \vdash \neg\phi$

Proof.

$\Gamma \cup \{\phi\} \vdash \neg\psi \Rightarrow \Gamma \vdash \phi \rightarrow \neg\psi$ (Deduction Theorem)

$\Rightarrow \Gamma \vdash \psi \rightarrow \neg\phi$

($\phi \rightarrow \neg\psi$ tautologically implies $\psi \rightarrow \neg\phi$, Rule T)

$\Rightarrow \Gamma \cup \{\psi\} \vdash \neg\phi$ (modus ponens)

The converse is obtained by symmetry. □

Inconsistency

- A set Γ of formulae is **inconsistent** if both $\Gamma \vdash \beta$ and $\Gamma \vdash \neg\beta$ for some β
- In this case, $\Gamma \vdash \alpha$ for any formula α
 - $\beta \rightarrow \neg\beta \rightarrow \alpha$ is a tautology

Reductio ad Absurdum

Corollary (reductio ad absurdum)

If $\Gamma \cup \{\phi\}$ is inconsistent, $\Gamma \vdash \neg\phi$.

Proof.

By Deduction Theorem, $\Gamma \vdash \phi \rightarrow \beta$ and $\Gamma \vdash \phi \rightarrow \neg\beta$ for some β . Moreover, $\{\phi \rightarrow \beta, \phi \rightarrow \neg\beta\}$ tautologically implies $\neg\phi$. \square

Example

Example

Show $\vdash \exists x \forall y \phi \rightarrow \forall y \exists x \phi$

Proof.

$\vdash \exists x \forall y \phi \rightarrow \forall y \exists x \phi$

- if $\exists x \forall y \phi \vdash \forall y \exists x \phi$ (Deduction Theorem)
- if $\exists x \forall y \phi \vdash \exists x \phi$ (Generalization Theorem)
- if $\neg \forall x \neg \forall y \phi \vdash \neg \forall x \neg \phi$ (Definition)
- if $\forall x \neg \phi \vdash \forall x \neg \forall y \phi$ (contraposition)
- if $\forall x \neg \phi \vdash \neg \forall y \phi$ (Generalization Theorem)
- if $\{\forall x \neg \phi, \forall y \phi\}$ is inconsistent (reductio ad absurdum)
- if $\forall x \neg \phi \vdash \neg \phi$ and $\forall y \phi \vdash \phi$ (axiom group 2)



Deduction Strategy

Given $\Gamma \vdash \phi$, how to find a proof of it?

- $\phi = (\psi \rightarrow \theta)$. This is the same as $\Gamma \cup \{\phi\} \vdash \theta$ (Deduction Theorem)
- $\phi = \forall x\psi$. This is the same as $\Gamma \vdash \psi$ after variable renaming (Generalization Theorem)
- ϕ is a negation.
 - $\phi = \neg(\psi \rightarrow \theta)$. This is the same as $\Gamma \vdash \psi$ and $\Gamma \vdash \neg\theta$ (rule T)
 - $\phi = \neg\neg\psi$. This is the same as $\Gamma \vdash \psi$ (rule T)
 - $\phi = \neg\forall x\psi$. It suffices to show $\Gamma \vdash \neg\psi_t^x$ for some t substitutable for x in ϕ (reductio ad absurdum).
 - ★ but it is not always possible, e.g. $\vdash \neg\forall x\neg(Px \rightarrow \forall yPy)$
 - ★ this is case, we may use contraposition and reductio ad absurdum

Examples I

Example

If x does not occur free in α , show $\vdash (\alpha \rightarrow \forall x\beta) \leftrightarrow \forall x(\alpha \rightarrow \beta)$

Proof.

It suffices to show $\vdash (\alpha \rightarrow \forall x\beta) \rightarrow \forall x(\alpha \rightarrow \beta)$ and $\vdash \forall x(\alpha \rightarrow \beta) \rightarrow (\alpha \rightarrow \forall x\beta)$ (rule T).

- $\vdash (\alpha \rightarrow \forall x\beta) \rightarrow \forall x(\alpha \rightarrow \beta)$. It suffices to show $\{\alpha \rightarrow \forall x\beta, \alpha\} \vdash \beta$ (Deduction and Generalization Theorems). But this follows by modus ponens and axiom group 2
- $\vdash \forall x(\alpha \rightarrow \beta) \rightarrow (\alpha \rightarrow \forall x\beta)$. By Deduction and Generalization Theorems, it suffices to show $\{\forall x(\alpha \rightarrow \beta), \alpha\} \vdash \beta$. But this follows by axiom group 2 and modus ponens.



Examples II

Example (Eq2)

Show $\vdash \forall x \forall y (x \approx y \rightarrow y \approx x)$

Proof.

- 1 $\vdash x \approx y \rightarrow x \approx x \rightarrow y \approx x$. Ax 6
- 2 $\vdash x \approx x$. Ax 5
- 3 $\vdash x \approx y \rightarrow y \approx x$. 1, 2, \top
- 4 $\vdash \forall x \forall y (x \approx y \rightarrow y \approx x)$. 3, gen



Note that this is not a formal proof of $\forall x \forall y (x \approx y \rightarrow y \approx x)$. This is an informal proof which shows that a formal proof exists

Examples III

Example

Show $\vdash x \approx y \rightarrow \forall z Pxz \rightarrow \forall z Pyz$

Proof.

- 1 $\vdash x \approx y \rightarrow Pxz \rightarrow Pyz$. Ax 6
- 2 $\vdash \forall z Pxz \rightarrow Pxz$. Ax 2
- 3 $\vdash x \approx y \rightarrow \forall z Pxz \rightarrow Pyz$. 1, 2, T
- 4 $\{x \approx y, \forall z Pxz\} \vdash Pyz$. 3, MP
- 5 $\{x \approx y, \forall z Pxz\} \vdash \forall z Pyz$. 4, gen
- 6 $\vdash x \approx y \rightarrow \forall z Pxz \rightarrow \forall z Pyz$ 5, ded



Generalization on Constants

Theorem

Assume that $\Gamma \vdash \phi$ and c is a constant symbol not in Γ . Then there is a variable y (not in ϕ) such that $\Gamma \vdash \forall y \phi_y^c$. Moreover, there is a deduction of $\forall y \phi_y^c$ from Γ where c does not appear.

Proof.

Let $\langle \alpha_0, \dots, \alpha_n \rangle$ be a deduction of ϕ from Γ . Let y be a variable not in any of α_i 's. We claim $\langle (\alpha_0)_y^c, \dots, (\alpha_n)_y^c \rangle$ is a deduction of ϕ_y^c .

- $\alpha_k \in \Gamma$. Then $(\alpha_k)_y^c = \alpha_k \in \Gamma$.
- α_k is a logical axiom. Then $(\alpha_k)_y^c$ is also a logical axiom.
- α_k is obtained from α_i and $\alpha_j = \alpha_i \rightarrow \alpha_k$. Then $(\alpha_k)_y^c$ is obtained by $(\alpha_i)_y^c$ and $(\alpha_j)_y^c = (\alpha_i)_y^c \rightarrow (\alpha_k)_y^c$.

Thus, $\Gamma \vdash \phi_y^c$. By Generalization Theorem, $\Gamma \vdash \forall y \phi_y^c$. Moreover, c does not appear in the deduction of $\forall y \phi_y^c$ from Γ . □

Applications I

Corollary

Assume $\Gamma \vdash \phi_c^x$ and c does not occur in Γ or ϕ . Then $\Gamma \vdash \forall x\phi$ and there is a deduction of $\forall x\phi$ where c does not occur.

Proof.

By the previous theorem, there is a deduction of $\forall y(\phi_c^x)_y^c$ without c . Since c does not occur in ϕ , $(\phi_c^x)_y^c = \phi_y^x$. Observe that $(\forall y\phi_y^x) \rightarrow (\phi_y^x)_x^y$ is an axiom (axiom group 2). Moreover, $(\phi_y^x)_x^y = \phi$ (by induction). Thus, $\forall y\phi_y^x \vdash \forall x\phi$ (Generalization Theorem). \square

Corollary (rule EI)

Assume c does not occur in ϕ , ψ , or Γ . If $\Gamma \cup \{\phi_c^x\} \vdash \psi$, then $\Gamma \cup \{\exists x\phi\} \vdash \psi$. Moreover, there is a deduction of ψ from $\Gamma \cup \{\exists x\phi\}$ without c .

Applications II

Proof.

By contraposition, we have $\Gamma \cup \{\neg\psi\} \vdash \neg\phi_c^x$. By the previous corollary, $\Gamma \cup \{\neg\psi\} \vdash \forall x\neg\phi$. Applying contraposition again, we have $\Gamma \cup \{\exists x\phi\} \vdash \psi$. □

“EI” stands for “existential instantiation.”

Example

Example

Show $\vdash \exists x \forall y \phi \rightarrow \forall y \exists x \phi$

Proof.

$\vdash \exists x \forall y \phi \rightarrow \forall y \exists x \phi$

if $\exists x \forall y \phi \vdash \forall y \exists x \phi$ (Deduction Theorem)

if $\forall y \phi_c^x \vdash \forall y \exists x \phi$ (rule EI)

if $\forall y \phi_c^x \vdash \exists x \phi$ (Generalization Theorem)

if $\phi_c^x \vdash \exists x \phi$ ($\forall y \phi_c^x \vdash \phi_c^x$ and rule T)

if $\forall x \neg \phi \vdash \neg \phi_c^x$ (contraposition)

if $\vdash \forall x \neg \phi \rightarrow \neg \phi_c^x$ and $\forall x \neg \phi \vdash \forall x \neg \phi$ (MP)



Alphabetic Variants

Theorem

Let ϕ be a formula, t a term, and x a variable. Then there is a formula ϕ' such that (1) $\phi \vdash \phi'$ and $\phi' \vdash \phi$; (2) t is substitutable for x in ϕ' .

Proof.

Fix x and t . Construct ϕ' as follows. If ϕ is atomic, $\phi' = \phi$; $(\neg\phi)' = \neg\phi'$; and $(\phi \rightarrow \psi)' = \phi' \rightarrow \psi'$. Finally, define $(\forall y\phi)' = \forall z(\phi')_z^y$ where z is a fresh variable not in ϕ' , t , or x . Note that t is substitutable for x in $(\phi')_z^y$ for z is fresh.

By inductive hypothesis, $\phi \vdash \phi'$. Thus $\forall y\phi \vdash \forall y\phi'$ (why?). Moreover, $\forall y\phi' \vdash (\phi')_z^y$. Hence $\forall y\phi' \vdash \forall z(\phi')_z^y$ by generalization. $\forall y\phi \vdash \forall z(\phi')_z^y$. Conversely, $\forall z(\phi')_z^y \vdash ((\phi')_z^y)_y^z$. Since $((\phi')_z^y)_y^z = \phi'$ and $\phi' \vdash \phi$ (inductive hypothesis), $\forall z(\phi')_z^y \vdash \phi$. Finally, $\forall z(\phi')_z^y \vdash \forall y\phi$. \square

Equality

Eq1 $\vdash \forall x x \approx x$

Eq2 $\vdash \forall x \forall y (x \approx y \rightarrow y \approx x)$

Eq3 $\vdash \forall x \forall y \forall z (x \approx y \rightarrow y \approx z \rightarrow x \approx z)$

Eq4 $\vdash \forall x_1 \forall x_2 \forall y_1 \forall y_2 (x_1 \approx y_1 \rightarrow x_2 \approx y_2 \rightarrow P_{x_1 x_2} \rightarrow P_{y_1 y_2})$. Similarly for n -place predicates

Eq5 $\vdash \forall x_1 \forall x_2 \forall y_1 \forall y_2 (x_1 \approx y_1 \rightarrow x_2 \approx y_2 \rightarrow f_{x_1 x_2} \approx f_{y_1 y_2})$. Similarly for n -place functions

Soundness and Completeness

- Soundness.

$$\Gamma \vdash \phi \Rightarrow \Gamma \models \phi$$

- Completeness.

$$\Gamma \models \phi \Rightarrow \Gamma \vdash \phi$$

Substitution Lemma I

Lemma (Substitution)

If t is substitutable for x in ϕ , then

$$\models_{\mathcal{M}} \phi_t^x[s] \text{ iff } \models_{\mathcal{M}} \phi[s(x|\bar{s}(t))].$$

Proof.

By induction on ϕ .

- ϕ is atomic. Consider, for instance,

$$\begin{aligned} \models_{\mathcal{M}} Pu_t^x[s] & \text{ iff } \bar{s}(u_t^x) \in P^{\mathcal{M}} \\ & \text{ iff } \overline{s(x|\bar{s}(t))}(u) \in P^{\mathcal{M}} \text{ (induction on term } u) \\ & \text{ iff } \models_{\mathcal{M}} Pu[s(x|\bar{s}(t))] \end{aligned}$$

Substitution Lemma II

Proof (cont'd).

- $\phi = \neg\psi$ or $\psi \rightarrow \theta$. Follow by induction hypothesis.
- $\phi = \forall y\psi$ and x does not occur free in ϕ . Since ϕ_t^x is ϕ , the result follows.
- $\phi = \forall y\psi$ and x does occur free in ϕ . Since t is substitutable for x in ϕ , y does not occur in t . Hence $\bar{s}(t) = \overline{s(y|d)}(t)$ for any $d \in |\mathcal{U}|$.
Thus

$$\begin{aligned} \models_{\mathcal{U}} \phi_t^x[s] & \text{ iff for all } d, \models_{\mathcal{U}} \psi_t^x[s(y|d)] \\ & \text{ iff for all } d, \models_{\mathcal{U}} \psi[s(y|d)(x|\overline{s(y|d)}(t))] \text{ (I.H.)} \\ & \text{ iff for all } d, \models_{\mathcal{U}} \psi[s(y|d)(x|\bar{s}(t))] \\ & \quad (y \text{ does not occur in } t) \\ & \text{ iff } \models_{\mathcal{U}} \phi[s(x|\bar{s}(t))]. \end{aligned}$$



Soundness Theorem I

Lemma

Every logical axiom is valid.

Proof.

We examine each axiom group as follows.

- Let \mathfrak{U} be a structure and $s : V \rightarrow |\mathfrak{U}|$. Define a truth assignment ν on prime formulae γ by

$$\nu(\gamma) = \text{T iff } \models_{\mathfrak{U}} \gamma[s].$$

Then $\bar{\nu}(\alpha) = \text{T}$ iff $\models_{\mathfrak{U}} \alpha[s]$ for any formula α . Particularly, if \emptyset tautologically implies α , then $\models \alpha$.

Soundness Theorem II

Proof (cont'd).

- Consider, for example, $\forall x P_x \rightarrow Pt$. Assume $\models_{\mathcal{U}} \forall x P_x[s]$. We have $\models_{\mathcal{U}} P_x[s(x|d)]$ for any $d \in |\mathcal{U}|$. Particularly, $\models_{\mathcal{U}} P_x[s(x|\bar{s}(t))]$. By Substitution Lemma, $\models_{\mathcal{U}} Pt[s]$. Thus $\models_{\mathcal{U}} \forall x P_x \rightarrow Pt$.
- Assume $\models_{\mathcal{U}} \forall x(\alpha \rightarrow \beta)$ and $\models_{\mathcal{U}} \forall x \alpha$. For any $d \in |\mathcal{U}|$, $\models_{\mathcal{U}} \alpha \rightarrow \beta[s(x|d)]$ and $\models_{\mathcal{U}} \alpha[s(x|d)]$. Hence $\models_{\mathcal{U}} \beta[s(x|d)]$ as required.
- Assume x does not occur free in α and $\models_{\mathcal{U}} \alpha[s]$. Then $\models_{\mathcal{U}} \alpha[s(x|d)]$ as required.
- Trivial, for $\models_{\mathcal{U}} x \approx x[s]$ iff $s(x) = s(x)$.

Soundness Theorem III

Proof (cont'd).

- Assume α is atomic and α' is obtained from α by replacing x at some places by y . Suppose $\models_{\mathcal{M}} x \approx y[s]$ and $\models_{\mathcal{M}} \alpha[s]$. We have $s(x) = s(y)$. Hence for any term t and t' obtained from t by replacing x at some places by y , we have $\bar{s}(t) = \bar{s}(t')$ by induction on t . The result follows by case analysis on α .



Soundness Theorem

Theorem

If $\Gamma \vdash \phi$, $\Gamma \models \phi$.

Proof.

By induction on the deduction.

- ϕ is a logical axiom. Hence $\models \phi$. Thus $\Gamma \models \phi$.
- $\phi \in \Gamma$. Clearly, $\Gamma \models \phi$.
- ϕ is obtained from ψ and $\psi \rightarrow \phi$. By inductive hypothesis, $\Gamma \models \psi$ and $\Gamma \models \psi \rightarrow \phi$. Since $\{\psi, \psi \rightarrow \phi\}$ tautologically implies ϕ , we have $\Gamma \models \phi$.

□

Applications

Corollary

If $\vdash \phi \leftrightarrow \psi$, ϕ and ψ are logically equivalent.

Proof.

$\vdash \phi \rightarrow \psi$ implies $\phi \vdash \psi$ (modus ponens). Thus $\phi \vDash \psi$ (soundness). By symmetry, $\psi \vDash \phi$. □

Corollary

If ϕ' is an alphabetic variant of ϕ , ϕ and ϕ' are logically equivalent.

Proof.

By the definition of alphabetic variant. □

Satisfiability and Consistency

- We say Γ is **satisfiable** if there is some \mathfrak{U} and s such that \mathfrak{U} satisfies every member of Γ with s

Corollary

If Γ is satisfiable, Γ is consistent.

Proof.

Suppose Γ is inconsistent. Thus $\Gamma \vdash \phi$ and $\Gamma \vdash \neg\phi$ for some ϕ . By soundness theorem, $\Gamma \models \phi$ and $\Gamma \models \neg\phi$. Since Γ is satisfiable, $\models_{\mathfrak{U}} \phi[s]$ and $\models_{\mathfrak{U}} \neg\phi[s]$. □

Completeness Theorem

Lemma

The following are equivalent:

- *If $\Gamma \models \phi$, $\Gamma \vdash \phi$*
- *Any consistent set of formulae is satisfiable*

Proof.

Suppose Γ is a consistent set of formulae but Γ is not satisfiable. Since Γ is not satisfiable, we have $\Gamma \models \phi$ for any ϕ vacuously. Thus, $\Gamma \vdash \phi$ for any ϕ . Particularly, $\Gamma \vdash \phi$ and $\Gamma \vdash \neg\phi$. A contradiction.

Conversely, suppose $\Gamma \models \phi$. Then $\Gamma \cup \{\neg\phi\}$ is unsatisfiable and hence inconsistent. Thus $\Gamma \cup \{\neg\phi\} \vdash \psi$ and $\Gamma \cup \{\neg\phi\} \vdash \neg\psi$ for some ψ . We have $\Gamma \cup \{\neg\phi\} \vdash \psi \wedge \neg\psi$. By Deduction Theorem, $\Gamma \vdash \neg\phi \rightarrow (\psi \wedge \neg\psi)$. Note that $\vdash (\neg\phi \rightarrow (\psi \wedge \neg\psi)) \rightarrow \phi$ (why?). We have $\Gamma \vdash \phi$ by modus ponens. \square

Completeness Theorem I

Theorem (Gödel, 1930)

Any consistent set of formulae is satisfiable.

Sketch. (Step 1).

Let Γ be a consistent set of wffs in a countable language. Expand the language with a countably infinite set of new constant symbols. Then Γ remains consistent in the new language.

Details. (Step 1).

Otherwise, there is a β such that $\Gamma \vdash \beta \wedge \neg\beta$ in the new language. Since the deduction uses only finitely many new constants, we replace these new constants by variables (generalization on constants) and obtain β' . Then we have $\Gamma \vdash \beta' \wedge \neg\beta'$ in the original language. A contradiction.

Completeness Theorem I

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Completeness Theorem II

Sketch. (Step 2).

For each wff ϕ in the new language and each variable x , consider wffs of the form

$$\neg \forall x \phi \rightarrow \neg \phi_c^x$$

where c is a new constant. We can have consistent $\Gamma \cup \Theta$ for some set Θ of wffs in such form.

Completeness Theorem III

Details. (Step 2).

Let $\langle \phi_1, x_1 \rangle, \dots, \langle \phi_n, x_n \rangle, \dots$ be an enumeration. Define θ_n to be

$$\neg \forall x_n \phi_n \rightarrow \neg (\phi_n)_{c_n}^{x_n}$$

where c_n is the first new constant symbol not occurring in ϕ_n nor in θ_k for $k < n$. Let $\Theta = \{\theta_1, \dots, \theta_n, \dots\}$.

If $\Gamma \cup \Theta$ is inconsistent, there is a least $m \geq 0$ such that

$\Gamma \cup \{\theta_1, \dots, \theta_m, \theta_{m+1}\}$ is inconsistent (because deduction is finite). By RAA, $\Gamma \cup \{\theta_1, \dots, \theta_m\} \vdash \neg \theta_{m+1}$. Let $\theta_{m+1} = \neg \forall x \psi \rightarrow \neg \psi_c^x$. Then

$$\Gamma \cup \{\theta_1, \dots, \theta_m\} \vdash \neg \forall x \psi \quad \text{and} \quad \Gamma \cup \{\theta_1, \dots, \theta_m\} \vdash \psi_c^x$$

Since c does not occur in $\Gamma \cup \{\theta_1, \dots, \theta_m\}$, we have

$\Gamma \cup \{\theta_1, \dots, \theta_m\} \vdash \forall x \psi$ by generalization on constants. A contradiction to the minimality of m (or consistency of Γ).

Completeness Theorem IV

Sketch (Step 3).

We extend $\Gamma \cup \Theta$ to a maximal consistent set Δ such that for any wff ϕ either $\phi \in \Delta$ or $\neg\phi \in \Delta$. Observe that $\Delta \vdash \phi$ implies $\Delta \not\vdash \neg\phi$ (consistency). Hence $\neg\phi \notin \Delta$. Thus $\phi \in \Delta$ (maximality).

Details (Step 3).

Let Λ be the set of logical axioms in the new language. Since $\Gamma \cup \Theta$ is consistent, there is no β such that $\Gamma \cup \Theta \cup \Lambda$ tautologically implies both β and $\neg\beta$ (why?). There is a truth assignment ν for prime formulae which satisfies $\Gamma \cup \Theta \cup \Lambda$ (why?). Define $\Delta = \{\phi : \bar{\nu}(\phi) = \text{T}\}$. Then for any ϕ , either $\phi \in \Delta$ or $\neg\phi \in \Delta$. Moreover

$$\begin{aligned}\Delta \vdash \phi &\Rightarrow \Delta \cup \Lambda (= \Delta) \text{ tautologically implies } \phi \\ &\Rightarrow \bar{\nu}(\phi) = \text{T} \Rightarrow \phi \in \Delta.\end{aligned}$$

Δ cannot be inconsistent.

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Completeness Theorem V

Sketch (Step 4).

Define a structure \mathfrak{U} as follows

- $|\mathfrak{U}|$ = the set of all terms in the new language
- $\langle u, t \rangle \in E^{\mathfrak{U}}$ iff $u \approx t \in \Delta$
- For each n -place predicate symbol P , $\langle t_1, \dots, t_n \rangle \in P^{\mathfrak{U}}$ iff $Pt_1 \dots t_n \in \Delta$
- For each n -place function symbol f , define $f^{\mathfrak{U}}(t_1, \dots, t_n) = ft_1 \dots t_n$

Let $s : V \rightarrow |\mathfrak{U}|$ be the identity function. Then $\bar{s}(t) = t$ for all t . For any wff ϕ , let ϕ^* be the result of replacing all \approx in ϕ by E . We have $\models_{\mathfrak{U}} \phi^*[s]$ iff $\phi \in \Delta$.

Completeness Theorem VI

Details (Step 4).

We prove $\models_{\mathcal{U}} \phi^*[s]$ iff $\phi \in \Delta$ by induction. Difficult cases are:

- $\models_{\mathcal{U}} Pt[s]$ iff $\bar{s}(t) \in P^{\mathcal{U}}$ iff $t \in P^{\mathcal{U}}$ iff $Pt \in \Delta$
- $\models_{\mathcal{U}} (\neg\phi)^*[s]$ iff $\not\models_{\mathcal{U}} \phi^*[s]$ iff $\phi \notin \Delta$ (I.H.) iff $\neg\phi \in \Delta$ (maximality)
-

$$\begin{aligned}\models_{\mathcal{U}} (\phi \rightarrow \psi)^*[s] &\text{ iff } \not\models_{\mathcal{U}} \phi^*[s] \text{ or } \models_{\mathcal{U}} \psi^*[s] \\ &\text{ iff } \phi \notin \Delta \text{ or } \psi \in \Delta \text{ (I.H.)} \\ &\text{ iff } \neg\phi \in \Delta \text{ or } \psi \in \Delta \\ &\Rightarrow \Delta \vdash \phi \rightarrow \psi \text{ (rule T)} \\ &\Rightarrow \phi \notin \Delta \text{ or } [\phi \in \Delta \text{ and } \Delta \vdash \psi] \text{ (case analysis)} \\ &\Rightarrow \phi \notin \Delta \text{ or } \psi \in \Delta\end{aligned}$$

Completeness Theorem VII

Details (Step 4)(cont'd).

- Recall $\theta = \neg \forall x \phi \rightarrow \neg \phi_c^x \in \Delta$.

$$\begin{aligned} \models_{\mathcal{U}} \forall x \phi^*[s] &\Rightarrow \models_{\mathcal{U}} \phi^*[s(x|c)] \\ &\Rightarrow \models_{\mathcal{U}} (\phi^*)_c^x[s] \text{ (substitution lemma)} \\ &\Rightarrow \models_{\mathcal{U}} (\phi_c^x)^*[s] \Rightarrow \phi_c^x \in \Delta \Rightarrow \neg \phi_c^x \notin \Delta \\ &\Rightarrow \neg \forall x \phi \notin \Delta \text{ } (\theta \in \Delta) \Rightarrow \forall x \phi \in \Delta. \end{aligned}$$

$$\begin{aligned} \not\models_{\mathcal{U}} \forall x \phi^*[s] &\Rightarrow \not\models_{\mathcal{U}} \phi^*[s(x|t)] \text{ for some } t \\ &\Rightarrow \not\models_{\mathcal{U}} \psi^*[s(x|t)] \text{ for some alphabetic variant } \psi \\ &\Rightarrow \not\models_{\mathcal{U}} (\psi_t^x)^*[s] \text{ (substitution lemma)} \\ &\Rightarrow \psi_t^x \notin \Delta \Rightarrow \forall x \psi \notin \Delta \text{ } (\forall x \psi \rightarrow \psi_t^x \in \Delta) \\ &\Rightarrow \forall x \phi \notin \Delta. \end{aligned}$$

Completeness Theorem VIII

Sketch (Step 5).

If Γ contains equality, consider the **quotient structure** \mathfrak{U}/E :

- Define $[t] = \{s : \langle s, t \rangle \in E^{\mathfrak{U}}\}$. Observe that $E^{\mathfrak{U}}$ is a **congruence relation**:
 - ▶ $E^{\mathfrak{U}}$ is an equivalence relation on $|\mathfrak{U}|$
 - ▶ $\langle t_1, \dots, t_n \rangle \in P^{\mathfrak{U}}$ and $\langle t_i, t'_i \rangle \in E^{\mathfrak{U}}$ for $1 \leq i \leq n$, then $\langle t'_1, \dots, t'_n \rangle \in P^{\mathfrak{U}}$
 - ▶ $\langle t_i, t'_i \rangle \in E^{\mathfrak{U}}$ for $1 \leq i \leq n$, then $\langle f^{\mathfrak{U}}(t_1, \dots, t_n), f^{\mathfrak{U}}(t'_1, \dots, t'_n) \rangle \in E^{\mathfrak{U}}$
- $|\mathfrak{U}/E| = \{[t] : t \text{ a term}\}$
- $\langle [t_1], \dots, [t_n] \rangle \in P^{\mathfrak{U}/E}$ iff $\langle t_1, \dots, t_n \rangle \in P^{\mathfrak{U}}$
- $f^{\mathfrak{U}/E}([t_1], \dots, [t_n]) = [f^{\mathfrak{U}}(t_1, \dots, t_n)]$. Particularly, $c^{\mathfrak{U}/E} = [c^{\mathfrak{U}}]$

Let $h(t) = [t]$ be the natural map from $|\mathfrak{U}|$ to $|\mathfrak{U}/E|$. h is a homomorphism of \mathfrak{U} onto \mathfrak{U}/E . For any ϕ ,

$$\phi \in \Delta \quad \Leftrightarrow \quad \models_{\mathfrak{U}} \phi^*[s] \quad \Leftrightarrow \quad \models_{\mathfrak{U}/E} \phi^*[h \circ s] \quad \Leftrightarrow \quad \models_{\mathfrak{U}} \phi[h \circ s]$$

Completeness Theorem IX

Details (Step 5).

Recall $\langle t, t' \rangle \in E^{\mathfrak{U}}$ iff $t = t' \in \Delta$ iff $\Delta \vdash t = t'$. Hence $E^{\mathfrak{U}}$ is a congruence relation on \mathfrak{U} , and both $P^{\mathfrak{U}/E}$ and $f^{\mathfrak{U}/E}$ are well-defined.

Clearly, h is a homomorphism of \mathfrak{U} onto \mathfrak{U}/E . Moreover, $\langle [t], [t'] \rangle \in E^{\mathfrak{U}/E}$ iff $\langle t, t' \rangle \in E^{\mathfrak{U}}$ iff $[t] = [t']$. Thus

$$\begin{aligned}\phi \in \Delta &\Leftrightarrow \vDash_{\mathfrak{U}} \phi^*[s] \text{ (Step 4)} \\ &\Leftrightarrow \vDash_{\mathfrak{U}/E} \phi^*[h \circ s] \text{ (homomorphism theorem)} \\ &\Leftrightarrow \vDash_{\mathfrak{U}} \phi[h \circ s] \text{ (above)}\end{aligned}$$

Completeness Theorem X

Details (Step 6).

Restrict \mathfrak{U}/E to the original language. The restricted \mathfrak{U}/E satisfies every member of Γ with $h \circ s$. Γ is satisfiable. \square

- Remark. If the original language is uncountable, a modified proof still works. We only add sufficiently many new constant symbols

Compactness Theorem

Theorem (Compactness)

- 1 If $\Gamma \models \phi$, then $\Gamma_0 \models \phi$ for some finite $\Gamma_0 \subseteq \Gamma$;
- 2 If every finite subset Γ_0 of Γ is satisfiable, Γ is satisfiable.

Proof.

- 1 Observe $\Gamma \models \phi$ implies $\Gamma \vdash \phi$. Since deductions are finite, $\Gamma_0 \vdash \phi$ for some finite $\Gamma_0 \subseteq \Gamma$. Hence $\Gamma_0 \models \phi$ by soundness theorem.
- 2 Suppose every finite subset of Γ is satisfiable, every finite subset of Γ is consistent (soundness theorem). Since deductions are finite, Γ is consistent. By completeness theorem, Γ is satisfiable.



History

- Kurt Gödel's 1930 doctoral dissertation contains the completeness theorem for countable languages. Compactness theorem was a corollary.
- Anatolii Mal'cev showed the compactness theorem for uncountable languages in 1941.
- Our proof of completeness theorem is based on Leon Henkin's 1949 dissertation.

References

- 1 Enderton. A Mathematical Introduction to Logic.