

SMT solver & program verification

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Credits:

Thanks to Yu-Chia Chen for making the slides.

The contents are based on the Slides of Ming-Hsien Tsai, Anthony Lin and David Mantre



First-order logic

Limitations of propositional logic

- Consider the following classical argument:

(1) All men are mortal

(2) Socrates is a man

Therefore: Socrates is mortal

- Can you express this in propositional logic?

Limitations of propositional logic

- Here is an attempt:

(1) All men are mortal

$Man(Socrates) \rightarrow Mortal(Socrates)$

$Man(Plato) \rightarrow Mortal(Plato)$

...

(2) Socrates is a man

$Man(Socrates)$

Therefore: Socrates is mortal

$Mortal(Socrates)$

Problem:
How big is
this formula?

A better solution

- Extend the logic to easily refer to “all men”

$$\forall x. \textit{Man}(x) \rightarrow \textit{Mortal}(x)$$

quantifier

- Read (verbose): “*For all x , if x is a man, then x is mortal*”
- Note: Proposition are now “**predicates**” which depend on x
- Observation: two lines vs. billions of line

What else can you say in FOL?

- There is a man who is not married

$$\exists x. \textit{man}(x) \wedge \neg \textit{married}(x)$$

- Every person has a mother

$$\forall x. \textit{person}(x) \rightarrow (\exists y. \textit{motherOf}(y, x))$$

- Some person have two mobile phones

$$\exists x \exists y \exists z. \textit{person}(x) \wedge \textit{mp}(y, x) \wedge \textit{mp}(z, x) \wedge z \neq y$$

So, is it true that ...?

- Q: FOL is just PL with quantifiers and more complex “propositions”?
- A: Yes, pretty much. But this is much more complex in fact!

Ponderables

- Quantifiers “quantify” over what?
- Which of the following sentence are “true”?

$$\exists x. \textit{man}(x) \wedge \neg \textit{married}(x)$$

$$(\exists x. \textit{man}(x)) \rightarrow (\forall y. \textit{man}(x))$$

$$(\forall x. \textit{man}(x)) \rightarrow (\exists y. \textit{man}(x))$$

$$\forall x. \textit{man}(x) \rightarrow \textit{max}(x)$$

First-order logic (FOL) syntax



“Atoms” (simplified)

- Examples of “atomic formulas” (“atoms”) in FOL:

$man(x)$
variable

$even(1)$
Constant

$mp(y, x)$
Predicate
/Relation

- Relations have arities (# arguments):
 - $man, even$ have arity 1
 - mp has arity 2
- Relation with arity 0 is a proposition, e.g. $man(“John”)$

“Atoms” (simplified)

- Variables: x, y, \dots
- Function symbols (with arities): $f/2, +/2, \sin/1, \pi/0, \dots$
- Constants (0-ary function): $0, 1, \pi, \text{“John”}, \dots$
- Terms: variables/constants/functions-over-terms
- Relation symbols (with arities): $man/1, mp/2, =/2$
- Definition: If R/i is a relation symbol with arity i and each of t_1, t_2, \dots, t_i is a term, then $R(t_1, t_2, \dots, t_i)$ is an **atomic formula**

“Formulas”

- As in boolean logic, build formulas from propositions with:

$\neg, \wedge, \vee, \rightarrow, \leftrightarrow$

- In addition, formulas can be “quantified”:
If F is a formula and x is a variable, then

$\forall x. F$ Is a formula

$\exists x. F$ Is a formula

Exercise

- How do you build the following formulas?

$$\exists x. \textit{man}(x) \wedge \neg \textit{married}(x)$$

$$(\exists x. \textit{man}(x)) \rightarrow (\forall y. \textit{man}(x))$$

$$(\forall x. \textit{man}(x)) \rightarrow (\exists y. \textit{man}(x))$$

Exercise

Which are FOL formulas?

- $\exists y \forall x. (R(z) \rightarrow R(x))$
- $1 + 3 \times 20$ or $+(1, \times(3, 20))$
- $pow(x, n) + pow(y, n) = pow(z, n)$
- $\forall x. \neg pow(x, n) \leftrightarrow n = 1$
- $\exists x \exists f. f(x) = 0$

“ = ” is a relation symbol

Exercise

Which are FOL formulas?

- $\exists y \forall x. (R(z) \rightarrow R(x))$

- $1 + 3 \times 20$ **✗**

“ = ” is a relation symbol

- $pow(x, n) + pow(y, n) = pow(z, n)$

- $\forall x. \neg pow(x, n) \leftrightarrow n = 1$ **✗**

- $\exists x \exists f. f(x) = 0$ **✗**

More exercise

- Give a definition of FOL formulas by induction/grammar

More exercise

- $F ::= R(t_1, \dots t_n)$
| $\neg F$ | $F \wedge F$ | $F \vee F$ | $F \rightarrow F$ | $F \leftrightarrow F$
| $\exists x.F$ | $\forall x.F$
- $t ::= f(t_1, \dots t_n)$
| x x is a variable



Semantics of FOL

Interpretations

What do the quantifiers quantify over?

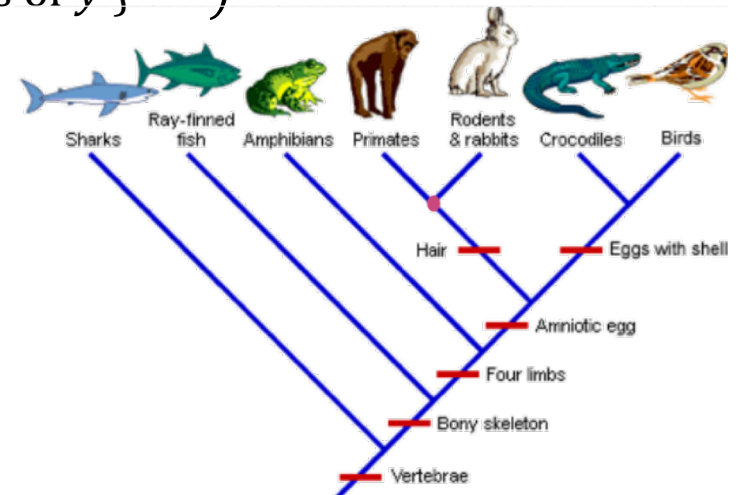
- Domains D (a.k.a. universe)
- An assignment function I mapping:
 - Each variable x to an element in D
 - Each **function symbol** f/n to a n -arity function
$$\overbrace{D \times \cdots \times D}^n \rightarrow D$$
 - Each **relation symbol** R/n to a n -arity relation
$$\overbrace{D \times \cdots \times D}^n \rightarrow \mathbb{B}$$

Example: phylogeny tree

- Relation symbols: $\leq/2$, *extant/1*, *extinct/1*
- Assignment:

$$D = \{Sharks, Birds, \dots\}$$

$$I = \left\{ \begin{array}{l} \textit{extant/1} \mapsto \{Sharks \mapsto T, Birds \mapsto T \dots\} \\ \textit{extinct/1} \mapsto \{Sharks \mapsto F, Birds \mapsto F \dots\} \\ \leq/2 \mapsto \{(x, y) \mid x \text{ is subclass of } y\} \end{array} \right\}$$



Example: Integer Linear Arithmetic (N,+)

- Function symbol: $+/2, 0/0, 1/0, \dots$
- Predicate symbol: $=/2$
- Assignment:

$$D = \{0,1,2,3, \dots\}$$

$$I(0) = \{() \mapsto 0\}, \quad I(1) = \{() \mapsto 1\}, \dots$$

$$I(+) = \{(0,0) \mapsto 0, (0,1) \mapsto 1 \dots\}$$

$$I(=) = \{(0,0) \mapsto T, (0,1) \mapsto F \dots\}$$

Truth depends on interpretations

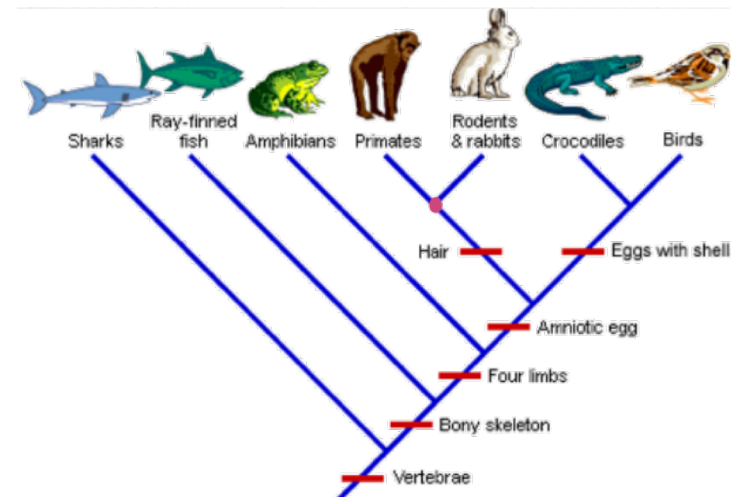
- The truth/falsehood of an FOL formula depends on interpretations (just as in PL).
- Need to define whether P is true in I ($I \models P$, or $I(P) = T$) by induction on P :
 - Atom: $I \models R(x, y)$ iff $(I(x), I(y)) \mapsto T$ is in $I(R)$
 - AND: $I \models P \wedge Q$ iff $I \models P$ and $I \models Q$
 - OR: $I \models P \vee Q$ iff $I \models P$ or $I \models Q$
 - NOT: $I \models \neg P$ iff $I \not\models P$
- Note: $I(f(t_1, \dots, t_n)) = I(f)(I(t_1), \dots, I(t_n))$

Example 1

$$F: z \leq x \wedge z \leq y$$

Interpretation:

- $x = \text{"Primates"}$
- $y = \text{"Rodent"}$
- $z = \cdot$



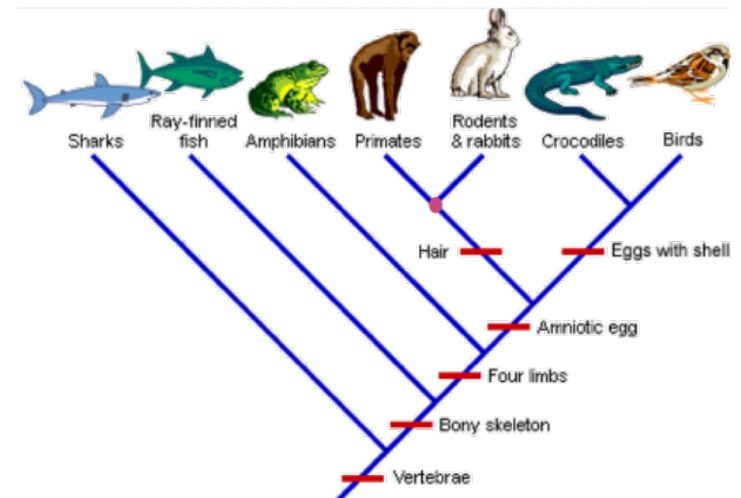
- Is F true in this interpretation?

Example 2

$$F: z \leq x \wedge z \leq y$$

Interpretation:

- $x = \text{"Primates"}$
- $y = \text{"Rodent"}$
- $z = \text{"Crocodiles"}$



- Is F true in this interpretation?

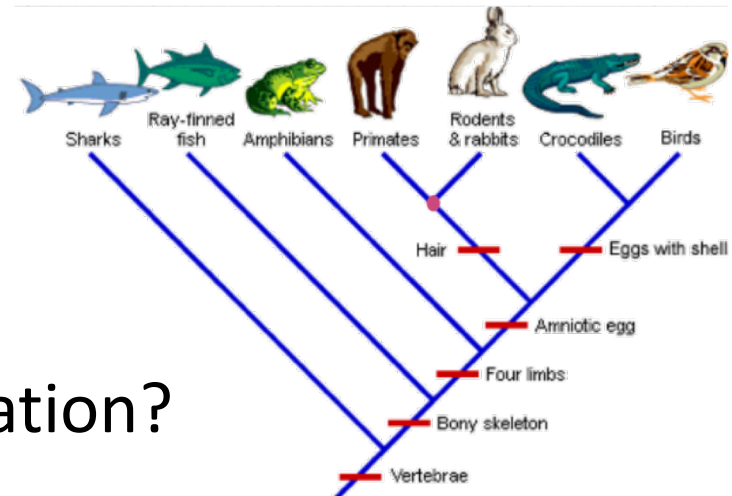
Semantics of \forall and \exists

Extending $I(P)$ to formulas with quantifiers:

- For all: $I \models \forall x.P$ iff $I[a/x](P) = T$ for all a in D
- Exists: $I \models \exists x.P$ iff $I[a/x](P) = T$ for some a in D
- Note: $I[a/x] = \{x \mapsto a \dots\}$

Example 1

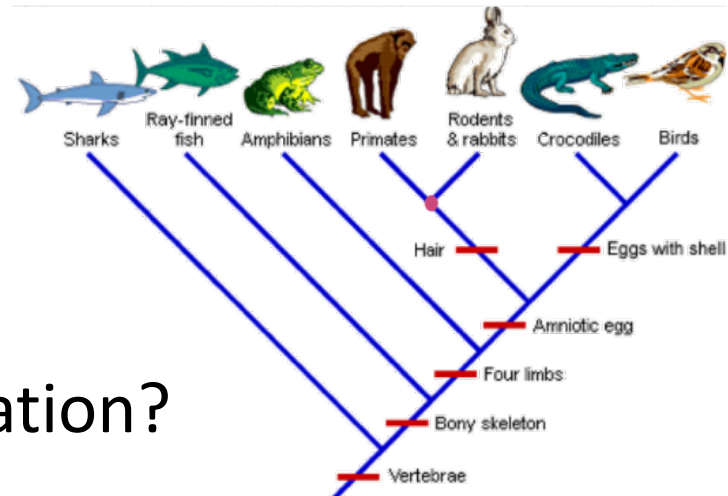
- $F: \exists x, y, z. (z \leq x \wedge z \leq y)$



- Is F true in this interpretation?

Example 2

- $F: \forall x, y, z. (x \leq y \wedge y \leq z \rightarrow x \leq z)$



- Is F true in this interpretation?

Exercise 1

- Formally express that every two species have a common ancestor.
- Show that this is true in the phylogeny tree interpretation.

Exercise 2

Consider the following interpretation (social network):

- Relations: *Friends/2*
- $D = \{people\}$
- $I(Friends) = \{ (x, y) : x \text{ is a friend of } y \}$

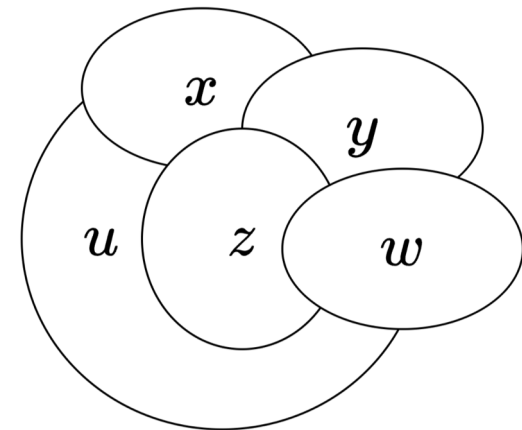
Express (the famous) six-degree of separation:

“The distance between any two people in this graph is six or less”

Exercise 3

Show that it is possible to have 3-coloring for this graph

- Relation: $=/2$
- Variables: u, w, x, y, z
- $D = \{R, G, B\}$



Exercise 4

In the linear arithmetic $(N, +)$ model, argue the following formulas are true:

- $\forall x \exists y. y > x$
- $\forall x \exists y. y + y = x \vee y + y + 1 = x$

Exercise 5

Consider the interpretation:

$$D = \{ 0, 1, \dots, 8 \}$$

$$I(R) = \{ (x, y) \mid y = x - z, z = 1, 2, 3 \}$$

Prove that the formula is false:

$$\forall x_1 \exists y_1 \forall x_2 \exists y_2. (R(x_1, y_1) \wedge R(y_1, x_2) \wedge R(x_2, y_2) \\ \wedge R(y_2, 0))$$

Try SMT solver

```
(set-logic LIA)

(define-fun R ((x Int) (y Int)) Bool
  (or (= y (- x 1)) (= y (- x 2)) (= y (- x 3)))
)

(assert
  (forall ((x1 Int))
    (exists ((y1 Int))
      (forall ((x2 Int))
        (exists ((y2 Int))
          (and
            (R x1 y1)
            (R y1 x2)
            (R x2 y2)
            (R y2 0)
          )
        )
      )
    )
  )
)

(check-sat)
```

Exercise 6

Consider the interpretation:

$$D = \{integer\}$$

$$I(R) = \{ (x, y) \mid y = x - z, z = 1, 2, 3 \}$$

Prove that the formula below is true:

$$\forall x. \left((\exists w. 4w = x) \rightarrow \forall z \exists y. (R(x, z) \rightarrow R(z, y) \wedge (\exists w. 4w = y)) \right)$$

Note: $4w$ is a “macro” for $w + w + w + w$ (even this is a macro)

Satisfiability / Validity / Equivalence

TAIWAN



Satisfiability/validity/ (semantic) equivalence

- A formula is **satisfiable** if it is true in some interpretation
- A formula is **valid** if it is true in all interpretations
- Two formulas are **equivalent** if their truth values are the same under all interpretations

Exercises

Show that all the following examples are satisfiable!

$$\exists x. \textit{man}(x) \wedge \neg \textit{married}(x)$$

$$(\exists x. \textit{man}(x)) \rightarrow (\forall y. \textit{man}(x))$$

$$(\forall x. \textit{man}(x)) \rightarrow (\exists y. \textit{man}(x))$$

$$\forall x. \textit{man}(x) \rightarrow \textit{max}(x)$$

Exercises

Point out valid and invalid formulas!

$$\exists x. \textit{man}(x) \wedge \neg \textit{married}(x)$$

$$(\exists x. \textit{man}(x)) \rightarrow (\forall y. \textit{man}(x))$$

$$(\forall x. \textit{man}(x)) \rightarrow (\exists y. \textit{man}(x))$$

$$\forall x. \textit{man}(x) \rightarrow \textit{max}(x)$$

More exercises

- Prove that the following formulas are valid

$$\forall x. (Man(x) \rightarrow Mortal(x)) \wedge Man(Socrates) \\ \rightarrow Mortal(Socrates)$$

- Prove that the following formula is not valid

$$(\exists x. P(x) \wedge \exists x. R(x)) \rightarrow (\exists x. P(x) \wedge R(x))$$

Some equivalences

- Equivalences from boolean logic carry over to FOL
- New ones, e.g. De Morgan's Laws for FOL:

$$\neg \exists x. \neg F \equiv \forall x. F$$

$$\neg \forall x. \neg F \equiv \exists x. F$$

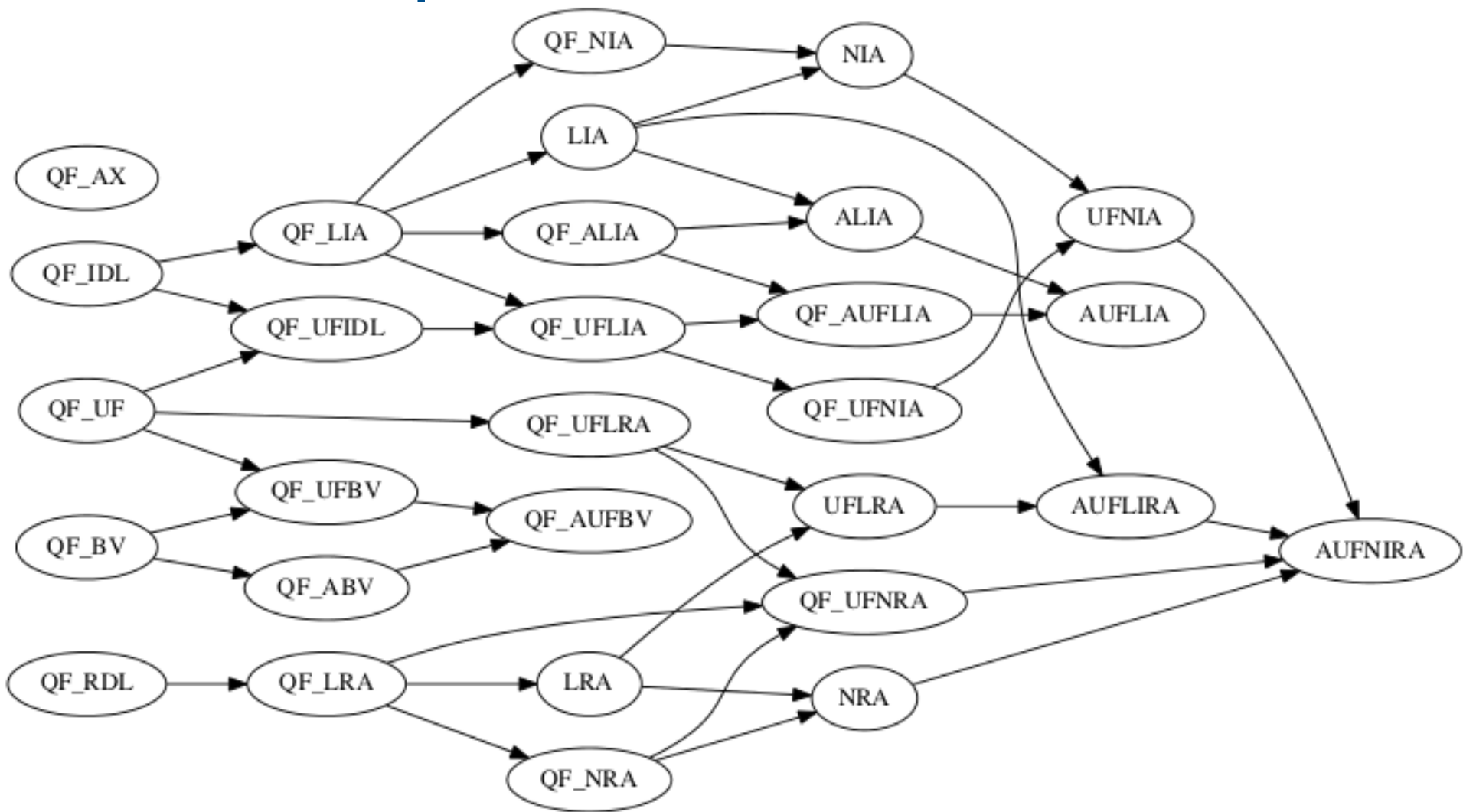
Exercise

Prove De Morgan's Laws!

Ponderables

- What's the connection between satisfiability/validity/equivalence?
- Could you give an algorithm for checking satisfiability/validity/equivalence?
- What about the same problem over “finite interpretations”? Over “finite interpretations of size k ”?

Roadmap for FOL after this



Some more tutorial questions



Free variables

Define this by induction on formula F :

- $free(R(x, y)) = \{x, y\}$
- $free(F \wedge F') = free(F) \cup free(F')$
- $free(\neg F) = free(F)$
- $free(\forall x. F) = free(F) \setminus \{x\}$
- $free(\exists x. F) = free(F) \setminus \{x\}$

Exercises

What are the free variables of the formulas:

$$\exists x. \text{even}(x)$$

$$(\forall x. R(x)) \wedge Z(x)$$

More equivalences

If x is not free in the formula G , then:

$$(\forall x. F) \wedge G \equiv \forall x. (F \wedge G)$$

$$(\exists x. F) \wedge G \equiv \exists x. (F \wedge G)$$



Software Verification

Outline

- What is program verification
- Hoare logic
- Weakest precondition
- Other ways to verify program
 - Static single assignment form
 - Symbolic execution

Assertions

- A time snapshot of a program execution is a **state**, which maps program variables to their values at that time.
- A program execution is an evolution of states.
- An **assertion** is a statement about states of a program.

$$x < 2^{51} \wedge y < 2^{15}$$

$$res \equiv (x \cdot y) \pmod{2^{255-19}}$$

- Most interesting assertions can be expressed in FOL.

Program verification

- Prove program property by formulating:
 - Assertions as pre-/post-conditions in FOL
 - Program variables as FOL variables

Pre- and post-conditions

- Put an assertion at the entry point of a program to specify the requirements of inputs: **pre-condition**
- Put an assertion at the exit point of a program to specify the guarantees of outputs: **post-condition**

Hoare logic

- **Hoare logic** is an axiomatic approach to program correctness
- Properties of programs can be verified in a **deductive** manner: applying **inference rules** to a set of axioms
- Different program languages may need different inference rules
- It is possible to automate the deductive verification

Hoare triples

- A program C annotated with pre-condition P and post-condition Q is a **Hoare triple**: $\{ P \} C \{ Q \}$
- Validity of a Hoare triple
 - **Partial correctness**: If the program starts with a state satisfying P and terminates at a final state, then the final state satisfies Q
 - **Total correctness**: If the program starts with a state satisfying P , then the program must terminate at a final state and the final state satisfies Q
- If a Hoare triple is interpreted as total correctness, it is sometimes written as $\langle P \rangle C \langle Q \rangle$

Specifications

- A program specification can be written as a Hoare triple, plus assertions inserted in the program
- If the Hoare triple can be shown to be valid, then the program satisfies the specification
- For a function that returns a result, we use the variable *res* to represent the returned result.

Examples

- $\{y \neq 0\} \text{ div}(x, y) \{res = x / y\}$
- $\{size(ls) = n\} \text{ sort}(ls, n) \{sorted(ls) \wedge size(ls) = n\}$
 - size and sorted are first-order functions
- $\{x < y \wedge y < z \wedge z < w \wedge w + x = y + z \wedge x + y = z + w\} C \{Q\}$
 - always valid for integer variables $x, y, z,$ and w

Be careful of writing specifications

Exercise

- Let `max` be a function that returns the maximal number between two input numbers. Write a specification of `max` as precise as possible.
 - $\{ ? \} \text{max}(x, y) \{ ? \}$
- Write the specification of a function that concatenates two integer lists. You may define other functions of list and use them in the specification.
 - `list ::= nil | cons(Int, list)`

Assignment

$$x := e$$

- Assume that the evaluation of e does not cause any **side-effect**
- $P[e/x]$: change x to e in P
- Which one is correct?

• $\{P\} x := e \{P[e/x]\}$  $\{x > 0\} x := 2 \{2 > 0\}$

• $\{Q[e/x]\} x := e \{Q\}$  $\{2 > 0\} x := 2 \{x > 0\}$

Assignment – more examples

- $\{x - 1 \geq 0\} x := x - 1 \{x \geq 0\}$
- $\{x < x + y\} z := x \{z < z + y\}$
- $\{x \geq x\} z := x \{z \geq x\}$

Assignment – axiom

$$\frac{}{\{Q[e/x]\} x := e \{Q\}} \text{Assign}$$

- No side-effect: only x is changed
- x in post-condition has a new value same as e to satisfy Q
- What if x does not have value same as e ?
 - Change x to e would satisfy Q

Multiple assignment

$$x_1, x_2, \dots, x_n := e_1, e_2, \dots, e_n$$

where x 's are distinct variables

$$\frac{}{\{ Q[e_1, e_2, \dots, e_n/x_1, x_2, \dots, x_n] \} \quad x_1, x_2, \dots, x_n := e_1, e_2, \dots, e_n \quad \{ Q \}} \text{MultiAssign}$$

- $Q[e_1, e_2, \dots, e_n/x_1, x_2, \dots, x_n]$ is the result of simultaneous substitution
- $(x < y)[y, x/x, y] = (y < x)$

Proof rules

$$\begin{array}{c}
 \frac{}{\{ Q[e/x] \} x := e \{ Q \}} \text{Assign} \\
 \\
 \frac{\{ P \wedge B \} S_1 \{ Q \} \quad \{ P \wedge \neg B \} S_2 \{ Q \}}{\{ P \} \text{ If } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{ Q \}} \text{Conditional} \\
 \\
 \frac{}{\{ Q \} \text{ skip } \{ Q \}} \text{Skip} \\
 \\
 \frac{\{ P \wedge B \} S \{ Q \} \quad P \wedge \neg B \rightarrow Q}{\{ P \} \text{ If } B \text{ then } S \text{ fi } \{ Q \}} \text{If-Then} \\
 \\
 \frac{\{ P \} S_1 \{ Q \} \quad \{ Q \} S_2 \{ R \}}{\{ P \} S_1; S_2 \{ R \}} \text{Sequence} \\
 \\
 \frac{\{ P \wedge B \} S \{ P \}}{\{ P \} \text{ while } B \text{ do } S \text{ od } \{ P \wedge \neg B \}} \text{While} \\
 \\
 \frac{P \rightarrow P' \quad \{ P' \} S \{ Q' \} \quad Q' \rightarrow Q}{\{ P \} S \{ Q \}} \text{Consequence}
 \end{array}$$

Conditional

{T}

If $x < y$ **then**

$res := y$

else

$res := x$

fi

{ $res \geq x \wedge res \geq y$ }

Conditional

$$\frac{}{\{Q[e/x]\} x := e \{Q\}} \text{Assign}$$

{T}

If $x < y$ then

$res := y$

else

$res := x$

fi

$\{res \geq x \wedge res \geq y\}$

{T}

If $x < y$ then

$\{y \geq x \wedge y \geq y\}$

$res := y$

$\{res \geq x \wedge res \geq y\}$

Assign

else

$\{x \geq x \wedge x \geq y\}$

$res := x$

$\{res \geq x \wedge res \geq y\}$

Assign

fi

$\{res \geq x \wedge res \geq y\}$

Conditional

$$\frac{P \rightarrow P' \quad \{P'\} S \{Q'\} \quad Q' \rightarrow Q}{\{P\} S \{Q\}} \text{Consequence}$$

{T}

If $x < y$ then

$res := y$

else

$res := x$

fi

$\{res \geq x \wedge res \geq y\}$

{T}

If $x < y$ then

$\{T \wedge x < y\}$

$\{y \geq x \wedge y \geq y\}$

$res := y$

$\{res \geq x \wedge res \geq y\}$

Consequence

else

$\{T \wedge x \geq y\}$

$\{x \geq x \wedge x \geq y\}$

$res := x$

$\{res \geq x \wedge res \geq y\}$

Consequence

fi

$\{res \geq x \wedge res \geq y\}$

Conditional

$$\frac{\{P \wedge B\} S_1 \{Q\} \quad \{P \wedge \neg B\} S_2 \{Q\}}{\{P\} \text{If } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{Q\}} \text{Conditional}$$

{T}

If $x < y$ then

$res := y$

else

$res := x$

fi

$\{res \geq x \wedge res \geq y\}$

{T}

If $x < y$ then

$\{T \wedge x < y\}$

$\{y \geq x \wedge y \geq y\}$

$res := y$

$\{res \geq x \wedge res \geq y\}$

else

$\{T \wedge x \geq y\}$

$\{x \geq x \wedge x \geq y\}$

$res := x$

$\{res \geq x \wedge res \geq y\}$

fi

$\{res \geq x \wedge res \geq y\}$

Conditional

While

$$\frac{\{P \wedge B\} S \{P\}}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{ While}$$

- P in the While rule is a *loop invariant*
- Invariant: an assertion that always holds whenever the program reaches it
- Loop invariants are usually specified manually
- For some classes of assertions, loop invariants can be synthesized

While – example

$\frac{}{\{Q[e/x]\} x := e \{Q\}}$ Assign

$\{s = ""\}$

while $|s| < 10$ **do**

$s := \text{concat}("a", s, "b")$

od

$\{s \notin L((a + b)^*ba(a + b)^*)\}$

$\{s = ""\}$

while $|s| < 10$ **do**

$\{\text{concat}("a", s, "b") \in L(a^*b^*)\}$

$s := \text{concat}("a", s, "b")$

$\{s \in L(a^*b^*)\}$

od

$\{s \notin L((a + b)^*ba(a + b)^*)\}$

While – example

$$\frac{P \rightarrow P' \quad \{P'\} S \{Q'\} \quad Q' \rightarrow Q}{\{P\} S \{Q\}} \text{Consequence}$$

$\{s = ""\}$

while $|s| < 10$ **do**

$s := \text{concat}("a", s, "b")$

od

$\{s \notin L((a + b)^*ba(a + b)^*)\}$

$\{s = ""\}$

while $|s| < 10$ **do**

$\{s \in L(a^*b^*) \wedge |s| < 10\}$

$\{\text{concat}("a", s, "b") \in L(a^*b^*)\}$

$s := \text{concat}("a", s, "b")$

$\{s \in L(a^*b^*)\}$

od

$\{s \notin L((a + b)^*ba(a + b)^*)\}$

While – example

$$\frac{\{P \wedge B\} S \{P\}}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While}$$

$\{s = ""\}$

while $|s| < 10$ **do**

$s := \text{concat}("a", s, "b")$

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$\{s \notin L((a + b)^*ba(a + b)^*)\}$

$\{s = ""\}$

$\{s \in L(a^*b^*)\}$

while $|s| < 10$ **do**

$\{s \in L(a^*b^*) \wedge |s| < 10\}$

$\{\text{concat}("a", s, "b") \in L(a^*b^*)\}$

$s := \text{concat}("a", s, "b")$

$\{s \in L(a^*b^*)\}$

od

$\{s \in L(a^*b^*) \wedge |s| \geq 10\}$

$\{s \notin L((a + b)^*ba(a + b)^*)\}$

While – example

$$\frac{P \rightarrow P' \quad \{P'\} S \{Q'\} \quad Q' \rightarrow Q}{\{P\} S \{Q\}} \text{Consequence}$$

$\{s = ""\}$

while $|s| < 10$ **do**

$s := \text{concat}("a", s, "b")$

od

$\{s \notin L((a + b)^*ba(a + b)^*)\}$

$\{s = ""\}$

$\{s \in L(a^*b^*)\}$

while $|s| < 10$ **do**

$\{s \in L(a^*b^*) \wedge |s| < 10\}$

$\{\text{concat}("a", s, "b") \in L(a^*b^*)\}$

$s := \text{concat}("a", s, "b")$

$\{s \in L(a^*b^*)\}$

od

$\{s \in L(a^*b^*) \wedge |s| \geq 10\}$

$\{s \notin L((a + b)^*ba(a + b)^*)\}$

Try SMT solver

```
(set-logic QF_SLIA)
(set-option :incremental true)

(declare-fun s () String)
(define-const a RegLan (str.to_re "a"))
(define-const b RegLan (str.to_re "b"))
;; anbm := L(a*b*)
(define-const anbm RegLan
  (re.++ (re.* a) (re.* b))
)
```

```
;; s="" => s in L(a*b*)
(assert
  (and
    (= s "")
    (not (str.in_re s anbm))
  )
)
(check-sat)
;; s in L(a*b*) AND |s| < 10 => "a"+s+"b" in L(a*b*)
(assert
  (and
    (str.in_re s anbm)
    (< (str.len s) 10)
    (not (str.in_re (str.++ "a" s "b") anbm))
  )
)
(check-sat)
;; s in L(a*b*) AND |s| >= 10 => s not in
L([ab]*ba[ab]*)
(assert
  (and
    (str.in_re s anbm)
    (>= (str.len s) 10)
    (not(not (str.in_re s
      (re.++ (re.union a b) b a (re.union a b)
    )))
  )
)
(check-sat)
```

Exercise

- Complete the proof outline.

$\{x \geq 0 \wedge y \geq 0 \wedge \text{gcd}(x, y) = \text{gcd}(m, n)\}$

while $x \neq 0 \wedge y \neq 0$ **do**

if $x < y$ **then**

$x, y := y, x$

fi;

$x := x - y$

od

$\left\{ \begin{array}{l} (x = 0 \wedge y \geq 0 \wedge y = \text{gcd}(x, y) = \text{gcd}(m, n)) \\ \vee (x \geq 0 \wedge y = 0 \wedge x = \text{gcd}(x, y) = \text{gcd}(m, n)) \end{array} \right\}$

While – total correctness

- For total correctness, loops must terminate
- How to ensure this in annotations?
 - specify a rank function that decreases after every loop body

$$\frac{\{P \wedge B\} S \{P\} \quad \{P \wedge B \wedge t = Z\} S \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While Total}$$

t is a rank function

Rank function – example

$$\frac{\{P \wedge B\} S \{P\} \quad \{P \wedge B \wedge t = Z\} S \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While Total}$$

- What is the rank function?

$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y}\}$

while $x \geq y$ **do**

$x := x - y$

od

$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x < y\}$

Rank function – example

$$\frac{\{P \wedge B\} S \{P\} \quad \{P \wedge B \wedge t = Z\} S \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While Total}$$

- What is the rank function?

```

{x ≥ 0 ∧ y > 0 ∧ x ≡ m (mod y)}
while x ≥ y do
  {x ≥ 0 ∧ y > 0 ∧ x ≡ m(mod y) ∧ x ≥ y
  {x - y ≥ 0 ∧ y > 0 ∧ x - y ≡ m(mod y)}
  x := x - y
  {x ≥ 0 ∧ y > 0 ∧ x ≡ m(mod y)}
od
{x ≥ 0 ∧ y > 0 ∧ x ≡ m(mod y) ∧ x < y}
  
```

Assign

Rank function – example

$$\frac{\{P \wedge B\} S \{P\}^{\checkmark} \quad \{P \wedge B \wedge t = Z\} S \{t < Z\}^{\checkmark} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While Total}$$

- What is the rank function?

```

{x ≥ 0 ∧ y > 0 ∧ x ≡ m (mod y)}
while x ≥ y do
  {x ≥ 0 ∧ y > 0 ∧ x ≡ m(mod y) ∧ x ≥ y ∧ x - y = Z}
  {y > 0 ∧ x - y = Z}
  x := x - y
  {y > 0 ∧ x = Z}
  {x - y < Z}
od
{x ≥ 0 ∧ y > 0 ∧ x ≡ m(mod y) ∧ x < y}
  
```

} Assign

Rank function – example

$$\frac{\{P \wedge B\} S \{P\}^{\checkmark} \quad \{P \wedge B \wedge t = Z\} S \{t < Z\}^{\checkmark} \quad P \wedge B \rightarrow t \geq 0^{\checkmark}}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While Total}$$

- What is the rank function?

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x \geq y \rightarrow x - y \geq 0\}$$

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y}\}$$

while $x \geq y$ do

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x \geq y \wedge x - y = Z\}$$

$$\{y > 0 \wedge x - y = Z\}$$

$x := x - y$

$$\{y > 0 \wedge x = Z\}$$

$$\{x - y < Z\}$$

od

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x < y\}$$

Weakest precondition

- Weakest precondition: the weakest precondition that guarantees termination of the program in a state satisfying the postcondition
- $wp(S, Q)$ is the weakest precondition of a program S and a postcondition Q
- $wp(S, \cdot)$ is a predicate transformer that transforms a postcondition to a weakest precondition
- $wp(S, \cdot)$ can be seen as the semantics of S

Hoare triple as wp

- When total correctness is meant, $\{P\} S \{Q\}$ is another notation for $P \rightarrow wp(S, Q)$
- $P \rightarrow wp(S, Q)$: P entails $wp(S, Q)$

Properties of wp

- Axioms:

- Law of the Excluded Miracle: $wp(S, false) \equiv false$

- Distributivity of Conjunction: $wp(S, Q_1) \wedge wp(S, Q_2) \equiv wp(S, Q_1 \wedge Q_2)$

- Distributivity of Disjunction for deterministic S : $wp(S, Q_1) \vee wp(S, Q_2) \equiv wp(S, Q_1 \vee Q_2)$

- Derived:

- Law of Monotonicity: if $Q_1 \rightarrow Q_2$, then $wp(S, Q_1) \rightarrow wp(S, Q_2)$

- Distributivity of Disjunction for nondeterministic S :
 $wp(S, Q_1) \vee wp(S, Q_2) \equiv wp(S, Q_1 \vee Q_2)$

wp: Skip and abort

- $wp(\mathbf{skip}, Q) = Q$
- $wp(\mathbf{abort}, Q) = \mathit{false}$

wp: Assignment and sequence

- $wp(x := e, Q) = Q[e/x]$
- $wp(S_1; S_2, Q) = wp(S_1, wp(S_2, Q))$

Example

$$\begin{aligned} & wp(x := x - 5; x := x * 2, x > 20) \\ = & wp(x := x - 5, wp(x := x * 2, x > 20)) \\ = & wp(x := x - 5, x * 2 > 20) \\ = & (x - 5) * 2 > 20 \\ = & x > 15 \end{aligned}$$

wp: Conditional

- $wp(\text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, Q)$
 $= (B \wedge wp(S_1, Q)) \vee (\neg B \wedge wp(S_2, Q))$
- $wp(\text{if } B \text{ then } S \text{ fi}, Q)$
 $= (B \wedge wp(S, Q)) \vee (\neg B \wedge Q)$

Example

$$\begin{aligned} & wp(\text{if } x < y \text{ then } x := y \text{ fi}, x \geq y) \\ &= (x < y \wedge wp(x := y, x \geq y)) \vee (\neg(x < y) \wedge x \geq y) \\ &= (x < y \wedge y \geq y) \vee (\neg(x < y) \wedge x \geq y) \\ &\Leftrightarrow \top \end{aligned}$$

wp: While

- while B do S od is equivalent to
 - if B then (S ; if B then (S ; if B then(...) fi) fi) fi
- Thus, $wp(\mathbf{while\ } B \mathbf{\ do\ } S \mathbf{\ od}, Q) = (\neg B \wedge Q) \vee B \wedge wp(S, (\neg B \wedge Q)) \vee B \wedge wp(S, B \wedge wp(S, (\neg B \wedge Q))) \dots$
- Define
 - $H(Q, 0) \equiv \neg B \wedge Q$
 - $H(Q, k) \equiv B \wedge wp(S, H(Q, k - 1))$
- $wp(\mathbf{while\ } B \mathbf{\ do\ } S \mathbf{\ od}, Q) = \exists k. 0 \leq k \wedge H(Q, k)$

wp: Theorem of while

$$\frac{\{P \wedge B\} S \{P\} \quad \{P \wedge B \wedge t = Z\} S \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While Total}$$

Suppose there exist an invariant P and an integer-valued expression t such that

- $P \wedge B \rightarrow wp(S, P)$,
- $P \wedge B \rightarrow (t \geq 0)$, and
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$, where Z is a rigid variable.

Then $P \rightarrow wp(\text{while } B \text{ do } S \text{ od}, P \wedge \neg B)$

Verification condition generation

$\{ P \}$

S_1
 $\{ R \}$

S_2

S_3
 $\{ Q \}$

Verification condition:

Verification condition generation

$\{ P \}$

S_1

$\{ R \}$

$\{ wp(S_2, wp(S_3, Q)) \}$

S_2

$\{ wp(S_3, Q) \}$

S_3

$\{ Q \}$

Verification condition:

1. $R \rightarrow wp(S_2, wp(S_3, Q))$

Verification condition generation

$\{ P \}$

$\{ wp(S_1, R) \}$

S_1

$\{ R \}$

$\{ wp(S_2, wp(S_3, Q)) \}$

S_2

$\{ wp(S_3, Q) \}$

S_3

$\{ Q \}$

Verification condition:

1. $R \rightarrow wp(S_2, wp(S_3, Q))$
2. $P \rightarrow wp(S_1, R)$

Verification condition generation

$\{ P \}$
 $\{ wp(S_1, R$
 $\wedge wp(S_2, wp(S_3, Q))) \}$
 S_1
 $\{ R \}$
 $\{ wp(S_2, wp(S_3, Q)) \}$
 S_2
 $\{ wp(S_3, Q) \}$
 S_3
 $\{ Q \}$

Verification condition:

1. $P \rightarrow wp(S_1, R \wedge wp(S_2, wp(S_3, Q)))$

Exercise

- Compute $wp(x := x + 2; y := y - 2, x + y = 0)$
- Compute $wp(\text{If } x < y \text{ then } res := y \text{ else } res := x \text{ fi}, res \geq x \wedge res \geq y)$

Example wp

$\{x > y\}$

$\{x \geq y\}$

$t := x$

$\cdot [x/t]$

$\{t \geq y\}$

$x := y$

$\cdot [y/x]$

$\{t \geq x\}$

$y := t$

$\cdot [t/y]$

$\{y \geq x\}$

$x > y \rightarrow x \geq y$

Example *wp*

- $P \wedge B \rightarrow wp(S, P)$
- $P \wedge B \rightarrow (t \geq 0)$
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$

$$wp(x := e, P) = P[e/x]$$

$\{x \geq 100 \wedge y \geq 10\}$

while $x \geq y$ **do**

$x := x - y$

od

$\{y > x \wedge x \geq 0\}$

Decide:

$$P: x \geq 0 \wedge y > 0, \quad t: x$$

while $x \geq y$ **do**

$\{x - y \geq 0 \wedge y > 0\}$ $\{x - y < Z\}$

$x := x - y$

$\{x \geq 0 \wedge y > 0\}$ $\{x < Z\}$

od

wp

Example wp

- $P \wedge B \rightarrow wp(S, P)$
- $P \wedge B \rightarrow (t \geq 0)$
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$

$$wp(x := e, P) = P[e/x]$$

$\{x \geq 100 \wedge y \geq 10\}$

while $x \geq y$ **do**

$x := x - y$

od

$\{y > x \wedge x \geq 0\}$

Decide:

$$P: x \geq 0 \wedge y > 0, \quad t: x$$

while $x \geq y$ **do**

$$\{x - y \geq 0 \wedge y > 0\} \quad \{x - y < Z\}$$

$x := x - y$

$$\{x \geq 0 \wedge y > 0\} \quad \{x < Z\}$$

od

Verify followings are valid:

- $(x \geq 0 \wedge y > 0 \wedge x \geq y) \rightarrow (x - y \geq 0 \wedge y > 0)$
- $(x \geq 0 \wedge y > 0 \wedge x \geq y) \rightarrow x \geq 0$
- $(x \geq 0 \wedge y > 0 \wedge x \geq y \wedge x = Z) \rightarrow x - y < Z$

Example wp

- $P \wedge B \rightarrow wp(S, P)$
- $P \wedge B \rightarrow (t \geq 0)$
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$

$$P \rightarrow wp(\text{while } B \text{ do } S \text{ od}, P \wedge \neg B)$$

$$\{x \geq 100 \wedge y \geq 10\}$$

while $x \geq y$ **do**

$$x := x - y$$

od

$$\{y > x \wedge x \geq 0\}$$

Decide:

$$P: x \geq 0 \wedge y > 0, \quad t: x$$

while $x \geq y$ **do**

$$\{x - y \geq 0 \wedge y > 0\} \quad \{x - y < Z\}$$

$$x := x - y$$

$$\{x \geq 0 \wedge y > 0\} \quad \{x < Z\}$$

od

If followings are valid: ...

Then $x \geq 0 \wedge y > 0 \rightarrow wp(\text{while } \dots, x \geq 0 \wedge y > 0 \wedge x < y)$

Verify validity of:

- $x \geq 100 \wedge y \geq 10 \rightarrow x \geq 0 \wedge y > 0$
- $x \geq 0 \wedge y > 0 \wedge x < y \rightarrow y > x \wedge x \geq 0$

Static single assignment form

$\{ x > y \}$
 $t := x$
 $x := y$
 $y := t$
 $\{ y \geq x \}$

$F:$
 $x_0 > y_0 \wedge$
 $t_1 = x_0 \wedge$
 $x_1 = y_0 \wedge$
 $y_1 = t_1 \wedge$
 $y_1 \not\geq x_1$

Verify satisfiability of F

Example SSA

Decide:

Inv: $x \geq 0 \wedge y > 0$

$\{y \geq 100 \wedge x \geq 10\}$

$x, y := y, x$

$\{x \geq 0 \wedge y > 0\}$

while $x \geq y$ **do**

$x := x - y$

od

$\{x \geq 0 \wedge y > 0 \wedge x < y\}$

$\{y > x \wedge x \geq 0\}$

pre-condition-check: $(y_0 \geq 100 \wedge x_0 \geq 10) \wedge (x_1 = y_0) \wedge (y_1 = x_0) \wedge \neg(x_1 \geq 0 \wedge y_1 > 0)$

Inv-check: $(x_0 \geq 0 \wedge y_0 > 0 \wedge x_0 \geq y_0) \wedge (x_1 = x_0 - y_0) \wedge \neg(x_1 \geq 0 \wedge y_0 \geq 0)$

post-condition-check: $(x_0 \geq 0 \wedge y_0 > 0 \wedge x_0 < y_0) \wedge \neg(y_0 > x_0 \wedge x_0 \geq 0)$

Symbolic execution

$\{ x > y \}$

$t := x$

$x := y$

$y := t$

$\{ y \geq x \}$

$x \mapsto a, y \mapsto b, t \mapsto c$

$x \mapsto a, y \mapsto b, t \mapsto a$

$x \mapsto b, y \mapsto b, t \mapsto a$

$x \mapsto b, y \mapsto a, t \mapsto a$

$a > b \rightarrow a \geq b$

Symbolic execution – example

Decide:

Inv: $x \geq 0 \wedge y > 0$

$\{y \geq 100 \wedge x \geq 10\}$ $x \mapsto a, y \mapsto b$

$x, y := y, x$ $x \mapsto b, y \mapsto a$

$\{x \geq 0 \wedge y > 0\}$ $x \mapsto a', y \mapsto b'$

while $x \geq y$ **do** $x \mapsto a', y \mapsto b', a' \geq b'$

$x := x - y$ $x \mapsto a' - b', y \mapsto b', a' \geq b'$

od

$\{x \geq 0 \wedge y > 0 \wedge x < y\}$ $x \mapsto a'', y \mapsto b''$

$\{y > x \wedge x \geq 0\}$ $x \mapsto b'', y \mapsto a''$

pre-condition-check: $(a \geq 100 \wedge b \geq 10)$

$\wedge \neg(b \geq 0 \wedge a > 0)$

Inv-check: $(a' \geq 0 \wedge b' > 0) \wedge$

$(a' \geq b') \wedge$

$\neg(a' - b' \geq 0 \wedge b' > 0)$

path-condition

post-condition-check: $(a'' \geq 0 \wedge b''$

$> 0 \wedge a'' < b'') \wedge \neg(b'' > a'' \wedge a'' \geq 0)$



frama-C

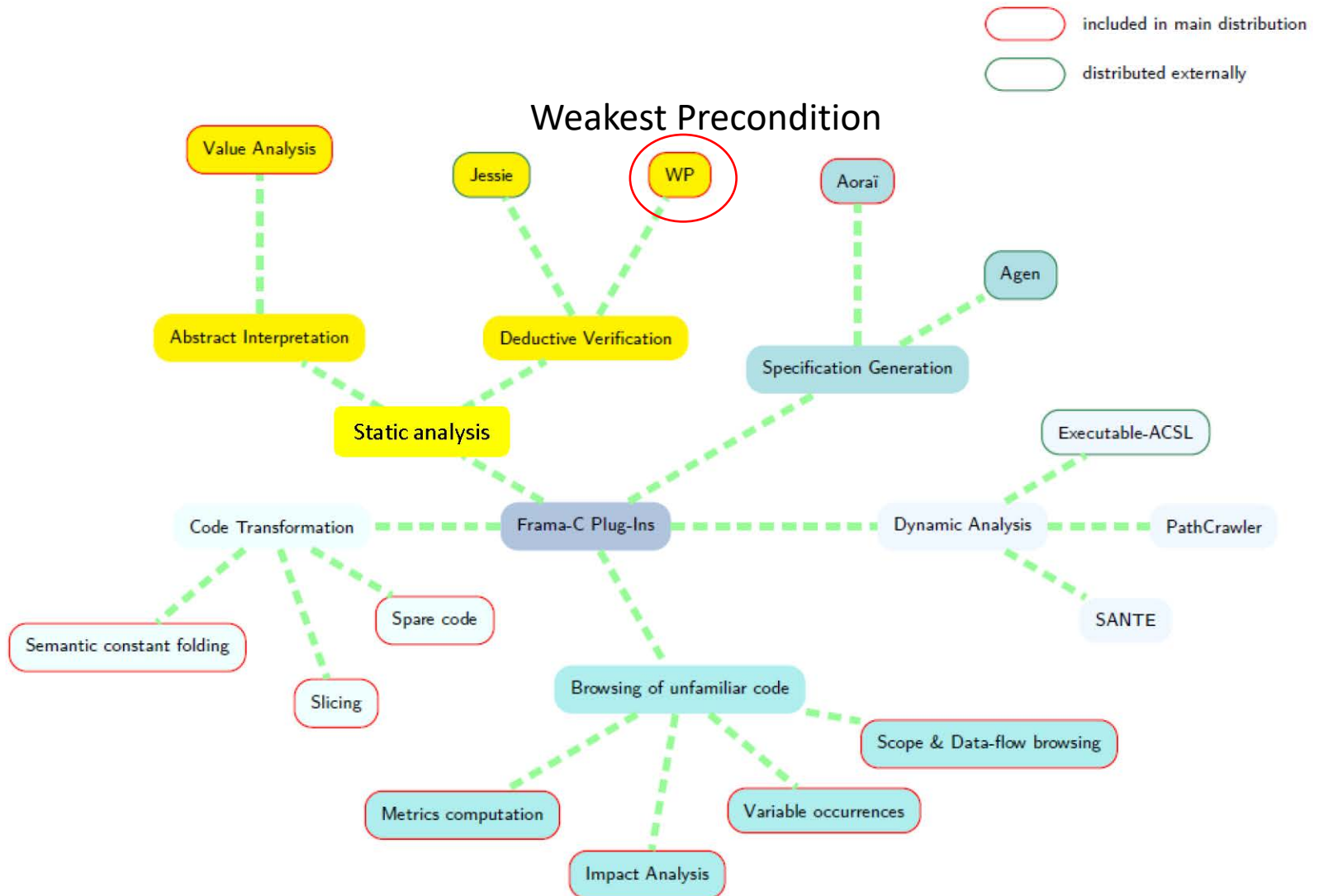
What is Frama-C?

- **Frama-C** is **FRAM**ework for St**A**tic of **C** language
- Build upon
 - A **core** to read C files and build **Abstract Syntax Trees**
 - A set of **plug-ins** to do **static analyses** and **annotate** those syntax trees
 - **Collaboration** of plug-ins
 - A plug-in can **use** the analysis of **another** plug-in
- Purposes
 - **Static analyses** of C code
 - **Transformation** of C code
 - Framework to **build tools** analyzing and manipulating C code
 - New plug-ins programmed in **OCaml** language



Software Analyzers

Frama-C plugins



■ INTERLUDE: WHY DOING FORMAL VERIFICATION?

Questions on a simple program

- What **does** the following program?
- Is it **correct**?

```
int abs(int x) {  
    if (x < 0)  
        return -x;  
    else  
        return x;  
}
```

Answers on a simple program

- The program computes the **absolute value** of x
- It is **buggy!**
 - If $x == -2^{31}$, 2^{31} cannot be represented in binary two's complement!
 - C's int goes from -2^{31} (-2147483648) to $2^{31}-1$ (2147483647)
- A formal tool (like Frama-C) can **catch** it
 - “**frama-c-gui** -wp -wp-rte abs.c”
 - **Systematically!!**
 - Of course a programmer **knows** about such issues...
 - ... but he might **forget** it while doing more complex things

Cannot be proved

```
int abs(int x)
{
    int __retres;
    if (x < 0) {
        /*@ assert rte: signed_overflow: -2147483647 ≤ x; */
        __retres = - x;
        goto return_label;
    }
    else {
        __retres = x;
        goto return_label;
    }
    return_label: /* internal */ return __retres;
}
```

■ THE NOTION OF “CONTRACT”

The notion of “contract”

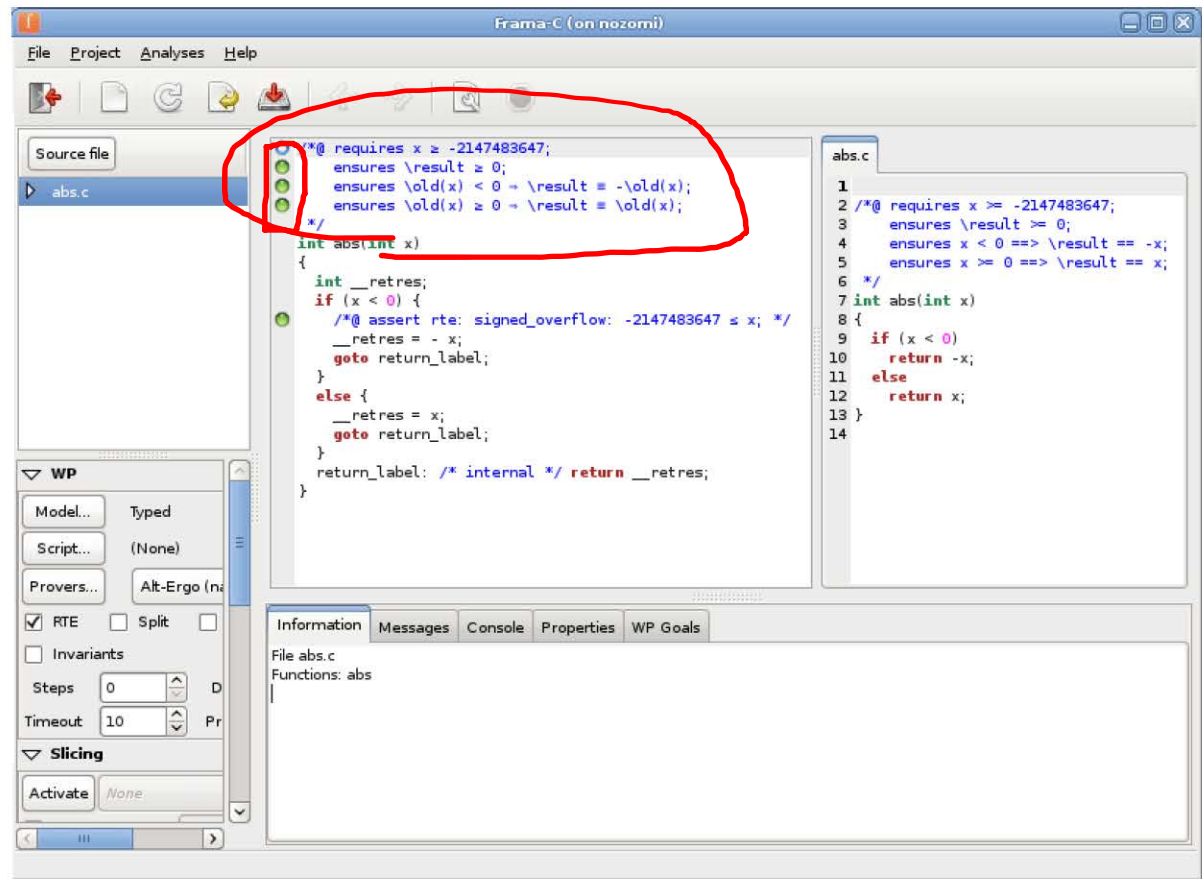
- **Contract** of a function defines
 - What the function **requires** from the outside world
 - What the function **ensures** to the outside world
 - Provided the “requires” part is fulfilled!
- Similar to **business** contract
- Going back to our **abs()** function
 - abs() requires that $x > -2^{31}$: **requires** `x >= - 2147483647;`
 - abs() ensures that
 - Its result is **positive**: **ensures** `\result >= 0;`
 - Its result is **-x if x is negative**, x otherwise:
 - **ensures** `x < 0 ==> \result == -x;`
 - **ensures** `x >= 0 ==> \result == x;`
 - “**\result**” denotes function result
 - Using Frama-C **notation**:

Formal annotation

```
/*@ requires x >= -2147483647;  
   ensures \result >= 0;  
   ensures x < 0 ==> \result == -x;  
   ensures x >= 0 ==> \result == x;  
*/
```

Use of Frama-C/ WP tool on abs()

- Call with “**frama-c-gui -wp -wp-rte** abs.c”
 - **-wp**: call WP plug-in
 - **-wp-rte**: call RTE plug-in that inserts additional checks for Run Time Errors



■ BASIC USE OF FRAMA-C/WP THROUGH EXAMPLES

Function call and contract

- A contract is an “**opaque**” specification of function behavior
 - Function **callers** only see the **contract**
 - Contract **considered correct** even if not proved
 - If **no** contract... unknown behavior! (default contract)
- **DEMO** on call.c: “frama-c-gui -wp -wp-rte call.c”
 - Initial state: **all** proved
 - Show fahrenheit_to_celsius() “**requires**” not fulfilled
 - fahrenheit_to_celsius() and main() “**ensures**” still **proved**
 - Show fahrenheit_to_celsius() “**ensures**” not fulfilled
 - main() “**ensures**” still **proved**
- **Everything** should be proved to guarantee the program correct !

– Initial state: **all** proved (call-proved.c)

```
/*@ requires 0 ≤ fahr ≤ 300;
   ensures \result ≡ (5 * (\old(fahr) - 32)) / 9;
 */
int fahrenheit_to_celsius(int fahr)
{
    int __retres;
    /*@ assert rte: signed_overflow: -2147483648 ≤ fahr - 32; */
    /*@ assert rte: signed_overflow: -2147483648 ≤ 5 * (int)(fahr - 32); */
    /*@ assert rte: signed_overflow: 5 * (int)(fahr - 32) ≤ 2147483647; */
    __retres = (5 * (fahr - 32)) / 9;
    return __retres;
}
```


– Initial state: **all** proved (call-proved.c)

```
/*@ ensures \result == -2000 ∨ (-18 ≤ \result ≤ 300); */
int main(int fahr)
{
    int __retres;
    if (fahr >= 0) {
        if (fahr <= 300) {
            int tmp;
            tmp = fahrenheit_to_celsius(fahr);
            {
                __retres = tmp;
                goto return_label;
            }
        }
        else {
            {
                __retres = -2000;
                goto return_label;
            }
        }
    }
    else {
        {
            __retres = -2000;
            goto return_label;
        }
    }
    return_label: return __retres;
}
```

- Show `fahrenheit_to_celsius()` “**requires**” not fulfilled (call-1.c)
- `fahrenheit_to_celsius()` and `main()` “**ensures**” still **proved**

```
/*@ ensures \result == -2000 ∨ (-18 ≤ \result ≤ 300); */
```

```
int main(int fahr)
{
    int __retres;
    if (fahr >= 0) {
        if (fahr <= 400) {
            int tmp;
            tmp = fahrenheit_to_celsius(fahr);
            {
                __retres = tmp;
                goto return_label;
            }
        }
        else {
            {
                __retres = -2000;
                goto return_label;
            }
        }
    }
    else {
        __retres = -
        goto return_label;
    }
}
return_label: re
}
```

```
/*@ requires 0 ≤ fahr ≤ 300;
```

```
    ensures \result == (5 * (\old(fahr) - 32)) / 9;
    */
```

```
int fahrenheit_to_celsius(int fahr)
```

```
{
    int __retres;
```

```
    /*@ assert rte: signed_overflow: -2147483648 ≤ fahr - 32; */
```

```
    /*@ assert rte: signed_overflow: -2147483648 ≤ 5 * (int)(fahr - 32); */
```

```
    /*@ assert rte: signed_overflow: 5 * (int)(fahr - 32) ≤ 2147483647; */
```

```
    __retres = (5 * (fahr - 32)) / 9;
```

```
    return __retres;
```

```
}
```

– Show `fahrenheit_to_celsius()` “**ensures**” not fulfilled (call-2.c)

- `main()` “**ensures**” still **proved**

```
/*@ ensures \result ≡ -2000 ∨ (-18 ≤ \result ≤ 300); */
```

```
int main(int fahr)
{
    int __retres;
    if (fahr >= 0) {
        if (fahr <= 300) {
            int tmp;
            tmp = fahrenheit_to_celsius(fahr);
            {
                __retres = tmp;
                goto return_label;
            }
        }
        else {
            __retres = -2000;
            goto return_label;
        }
    }
    else {
        __retres = -2000;
        goto return_label;
    }
}
return_label: return __retres;
}
```

```
/*@ requires 0 ≤ fahr ≤ 300;
```

```
    ensures \result ≡ (5 * (\old(fahr) - 32)) / 9;
```

```
*/
```

```
int fahrenheit_to_celsius(int fahr)
{
    int __retres;
    __retres = 0;
    return __retres;
}
```

Old and new values, pointers: swap()

- In a contract, need to express:
 - **Validity** of pointers
 - For a variable x, value of x at function **entrance** and **exit**
- **Informal** specification
 - “Exchange two integer values pointed by pointers”
 - **Prototype**: `void swap(int *a, int *b)`
- What is swap() **formal** specification?
 - **Requires**: the pointers need to be **valid**
 - “**\valid(a)**”: pointer a is valid
 - **Ensures**: the pointed values are **swapped**
 - “**\old(a)**”: value of a at function **entrance** (in function contract ensures)
 - “**a**”: value of a at function **exit**

swap() contract and code

- **Contract and code**

```
/*@ requires \valid(a) && \valid(b);
   ensures (*a == \old(*b) && *b == \old(*a));
*/
void swap(int *a, int *b) {
    int tmp;

    tmp = *a;
    *a = *b;
    *b = tmp;
}
```

- **DEMO:** “frama-c-gui -wp -wp-rte swap.c”

Side note: Frama-C operators in specification

- Several **operators** useful in specification
 - Similar to **C** notation

Operator	Informal meaning	Formal meaning (C notation)
<code>!p</code>	NOT p	<code>!p</code>
<code>p && q</code>	p AND q	<code>p && q</code>
<code>p q</code>	p OR q	<code>p q</code>
<code>p ==> q</code>	IF p THEN q	<code>(p ? q : 1)</code>
<code>p <==> q</code>	p IF AND ONLY IF q	<code>p == q</code>

- No logical “IF p THEN q1 **ELSE** q2”
 - Use “(p ==> q1) **&&** (!p ==> q2)” instead
 - Or more simply “p ? q1 : q2”

swap() variation: two elements in an array

- **Informal** specification
 - “In array `a[]` of size `n`, exchange array elements indexed by `n1` and `n2`”
- **Prototype:**
 - `void array_swap(int n, int a[], int n1, int n2)`
- What is its **formal** specification?
 - The indexes are within array **bounds**
 - **requires** `n >= 0 && 0 <= n1 < n && 0 <= n2 < n;`
 - The array `a[]` is **valid** memory area up to cell number `n`
 - **requires** `\valid(a+(0..n-1))`; (similar to `&a[0] valid, ..., &a[n] valid`)
 - The indexed values are **swapped**
 - **ensures** `(a[n1] == \old(a[n2]) && a[n2] == \old(a[n1]))`;

array_swap() contract and code

- **Contract and code**

```
/*@ requires n >= 0 && 0 <= n1 < n && 0 <= n2 < n;
    requires \valid(a+(0..n-1));
    ensures (a[n1] == \old(a[n2]) && a[n2] == \old(a[n1]));
*/
void array_swap(int n, int a[], int n1, int n2){
    int tmp;

    tmp = a[n1];
    a[n1] = a[n2];
    a[n2] = tmp;
}
```

- **DEMO:** “frama-c-gui -wp -wp-rte array_swap.c”

■ A MORE COMPLEX EXAMPLE WITH WP: FIND()

find() specification

- **Informal** specification
 - “Return the index of an occurrence of v in a[]”
 - “Array a[] is of size n, value v and n are integers”
- **Prototype:**

```
int find(int n, const int a[], int v)
```
- What is its **formal** specification?
 - We will elaborate it through some unit **tests**

Case 1: find() finds v in a[]

- **Informal** specification
 - “Return the index of an occurrence of v in a[]”
 - “Array a[] is of size n, value v and n are integers”

- **Prototype:**

```
int find(int n, const int a[], int v)
```

- find() **finds v** in a[]

```
int a[5] = { 9, 7, 8, 9, 6 };
```

```
int const f1 = find(5, a, 8);  
assert(f1 == 2);
```

- **Formally**

```
ensures 0 <= \result < n ==> a[\result] == v;
```

Case 2: find() does not find v in a[]

- **Informal** specification

- “Return the index of an occurrence of v in a[]”
- “Array a[] is of size n, value v and n are integers”
- **“Returns -1 if v is not found”**

- Prototype:

```
int find(int n, const int a[], int v)
```

- find() **does not find v** in a[]

```
int a[5] = { 9, 7, 8, 9, 6 };
```

```
int const f2 = find(5, a, 15);  
assert(f2 == -1);
```

- **Formally**

- If find() returns -1, then
 - for all index i, if i is in a[] bounds then a[i] != v

```
ensures \result == -1  
      ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
```

Side note: types used in ACSL annotations

- In ACSL, **distinction** between C program and mathematical **types**

C program type	Mathematical type
<code>int, short</code>	<code>integer (•)</code>
<code>float, double</code>	<code>real (•)</code>

- Usually one uses mathematical types for annotations
 - “`\forall integer i; ...`”
 - And not “`\forall int i; ...`”
 - It simplifies generated Verification Condition (not need to add restrictions on `int` range)

Case 3: find() does not modify a[]

- Would it be a **valid** find()?

```
int find(int n, int a[], int v) {
    if (n > 0) {
        a[0] = v;
        return 0;
    } else
        return -1;
}
```

- We can express it formally

- **assigns \nothing;**

- Note: “**const**” expressed it formally but Frama-C does **not understand** “const”

Case 4: valid input and returned values

- **Informal** specification
 - “Array `a[]` is of size `n`, value `v` and `n` are integers”
- **Formal** specification?
 - `requires 0 <= n && \valid(a+(0..n-1));`
- **Informal** specification
 - “`find()` result is between `-1` and `n` (excluded)”
- **Formal** specification?
 - `ensures -1 <= \result < n;`

Wrap-up: find() formal contract

```
/*@ requires 0 <= n && \valid(a+(0..n-1));  
   assigns \nothing;  
   ensures \result == -1  
           ==> (\forall integer i; 0 <= i < n ==> a[i] != v);  
   ensures 0 <= \result < n ==> a[\result] == v;  
   ensures -1 <= \result < n;  
*/
```


find() code

- **DEMO**: how to **prove** find() code?
 - “frama-c-gui -wp -wp-rte find.c”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
   assigns \nothing;
   ensures \result == -1
           ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
   ensures 0 <= \result < n ==> a[\result] == v;
   ensures -1 <= \result < n;
*/
int find(int n, const int a[], int v){
    int i;

    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

Loops: how to handle them?

- Main rule: **loops** are “**opaque**”
 - So one needs to **add** needed **annotations** to help automatic provers prove desired properties
 - loop **invariant**, loop **assigns**, loop **variant**
- Loop **invariant**: property always true in a loop
 - Should be **true** at loop **entry**
 - Should be **true** at each loop **iteration**
 - Even if **no** iterations are possible
 - Should be true at loop **exit**

Example of loop invariant (1/2)

- “Loop index is between **0** and **n** (inclusive)”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
   assigns \nothing;
   ensures \result == -1
           ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
   ensures 0 <= \result < n ==> a[\result] == v;
   ensures -1 <= \result < n;
*/

int find(int n, const int a[], int v){
    int i;

    /*@
       loop invariant 0 <= i <= n;

    */
    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

Example of loop invariant (2/2)

- “Up to index i , value v is still not found”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
    assigns \nothing;
    ensures \result == -1
           ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
    ensures 0 <= \result < n ==> a[\result] == v;
    ensures -1 <= \result < n;
*/
```


```
int find(int n, const int a[], int v){
    int i;
```

```
/*@
    loop invariant 0 <= i <= n;
    loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;
```

```
*/
    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

We build progressively
the desired property



Loop assigns and loop variant

- Loop **assigns**: what is assigned within the loop
- Loop **variant**: to prove **termination**
 - Show a metric **strictly decreasing** at each loop iteration and **bounded** by 0

```
int find(int n, const int a[], int v){
    int i;

    /*@ loop invariant 0 <= i <= n;
        loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;
        loop assigns i;
        loop variant n - i;
    */
    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;    }
    }

    return -1;
}
```

find() final proved code

- “frama-c-gui -wp -wp-rte find-proved.c”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
    assigns \nothing;
    ensures \result == -1
           ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
    ensures 0 <= \result < n ==> a[\result] == v;
    ensures -1 <= \result < n;
*/

int find(int n, const int a[], int v){
    int i;

    /*@ loop invariant 0 <= i <= n;
        loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;
        loop assigns i;
        loop variant n - i; */
    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

A note on proof with WP

- **More** annotations than code!
 - **8** lines of **code**
 - **10** lines of **annotations**
- Because what we prove is **complicated**
 - A loop, in **all** possible cases!
- It corresponds to **exhaustive** test!

```
/*@ requires 0 <= n && \valid(a+(0..n-1));  
   assigns \nothing;  
   ensures \result == -1  
          ==> (\forall integer i; 0 <= i < n ==> a[i] != v);  
   ensures 0 <= \result < n ==> a[\result] == v;  
   ensures -1 <= \result < n;  
*/
```

```
int find(int n, const int a[], int v){  
    int i;
```

```
    /*@ loop invariant 0 <= i <= n;  
       loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;  
       loop assigns i;  
       loop variant n - i; */  
    for (i=0; i < n; i++) {  
        if (a[i] == v) {  
            return i; }  
    }  
  
    return -1;  
}
```


■ BEHAVIORS: CLEAN CONTRACTS

find() contract using behaviors

- “frama-c-gui -wp -wp-rte find-behavior.c”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));  
    assigns \nothing;
```

```
behavior found: Array contains v at an index i  
    assumes \exists integer i; 0 <= i < n && a[i] == v;  
    ensures a[\result] == v; In that case return the correct index
```

```
behavior not_found: Array does not contain v for all possible indexes i  
    assumes \forall integer i; 0 <= i < n ==> a[i] != v;  
    ensures \result == -1; In that case return -1
```

```
complete behaviors; We cover all behaviors  
disjoint behaviors; All behaviors consider different cases
```

```
*/
```

How to write clean contracts?

- Important to write **clean** contracts
 - Improve **readability**: contract is a readable **specification**
 - Help **understand** the code (e.g. in code review)
 - But such specification can be **mechanically** checked!
 - **No** more out-dated comments
 - Help proofs
- “**Behaviors**” can be use to separate several cases
 - **Name** each behavior
 - Give a “**sub-contract**” for each behavior
 - assumes, requires, ensures
- **Bonus**: one can additionally **check** that all behaviors...
 - ...Cover **all** possible inputs (**complete** behaviors)
 - ...Cover **different** cases (**disjoint** behaviors)

Side note: \exists and \forall operators

- To express something over a **range** of values
- Examples

– `int a[5] = {1, 5, 3, 2, 1};`

– `\exists integer i; 0 <= i < 5 && a[i] == 1;`

i	-1	0	1	2	3	4	5
a[i]	?	1	5	3	2	1	?
$0 \leq i < 5$	x	✓	✓	✓	✓	✓	x
$a[i] == 1$	x	✓	x	x	x	✓	x

– `\forall integer i; 0 <= i < 5 ==> a[i] != 4;`

i	-1	0	1	2	3	4	5
a[i]	?	1	5	3	2	1	?
$0 \leq i < 5$	x	✓	✓	✓	✓	✓	x
$a[i] != 4$	x	✓	✓	✓	✓	✓	x

Side note: opposite expressions

- **Opposite** expressions: 1st example

- `int a[5] = {1, 5, 3, 2, 1};`

`\exists index i; a[i] == 1`

`\forall index i; a[i] != 1`

i	0	1	2	3	4
a[i]	1	5	3	2	1
a[i] == 1	✓	✗	✗	✗	✓
a[i] != 1	✗	✓	✗	✓	✗

True ✓

False ✗

- Still **opposite** expressions (with proper indexing)

- `\exists integer i; 0 <= i < n && a[i] == v;`

vs.

- `\forall integer i; 0 <= i < n ==> a[i] != v;`

Homework: LRU Cache (lruCache_0.c)

```
void enqueue(int* queue, int size, int page){
    int pos = -1; // position of page in queue
    int i = 0;

    for(; i<size; ++i){
        if(queue[i] == page){
            pos = i;
            break;
        }
    }

    int start = -1;

    if(pos!=-1){
        start = pos+1;
        queue[pos] = -1;
    }
    else{
        start = 1;
    }

    i = start;
    for(; i<size; ++i){
        queue[i-1] = queue[i];
        queue[i] = -1;
    }
    queue[size-1] = page;
}
```

```
/*@ predicate Unique{L}(int *a, integer size) =
    \forall integer i,j; 0 <= i < j < size && a[i]!=-1 &&
    a[j]!=-1 ==> a[i] != a[j] ;
```

requires $0 < \text{size} < 2147483645$ && $\text{page} \geq 0$;

requires $\text{valid}(\text{queue} + (0.. \text{size} - 1))$;

requires $\text{Unique}(\text{queue}, \text{size})$;

ensures $\text{queue}[\text{size} - 1] == \text{page}$;

ensures $\text{Unique}(\text{queue}, \text{size})$;

```
*/
```