

Boolean Satisfiability and Its Applications to Synthesis & Verification

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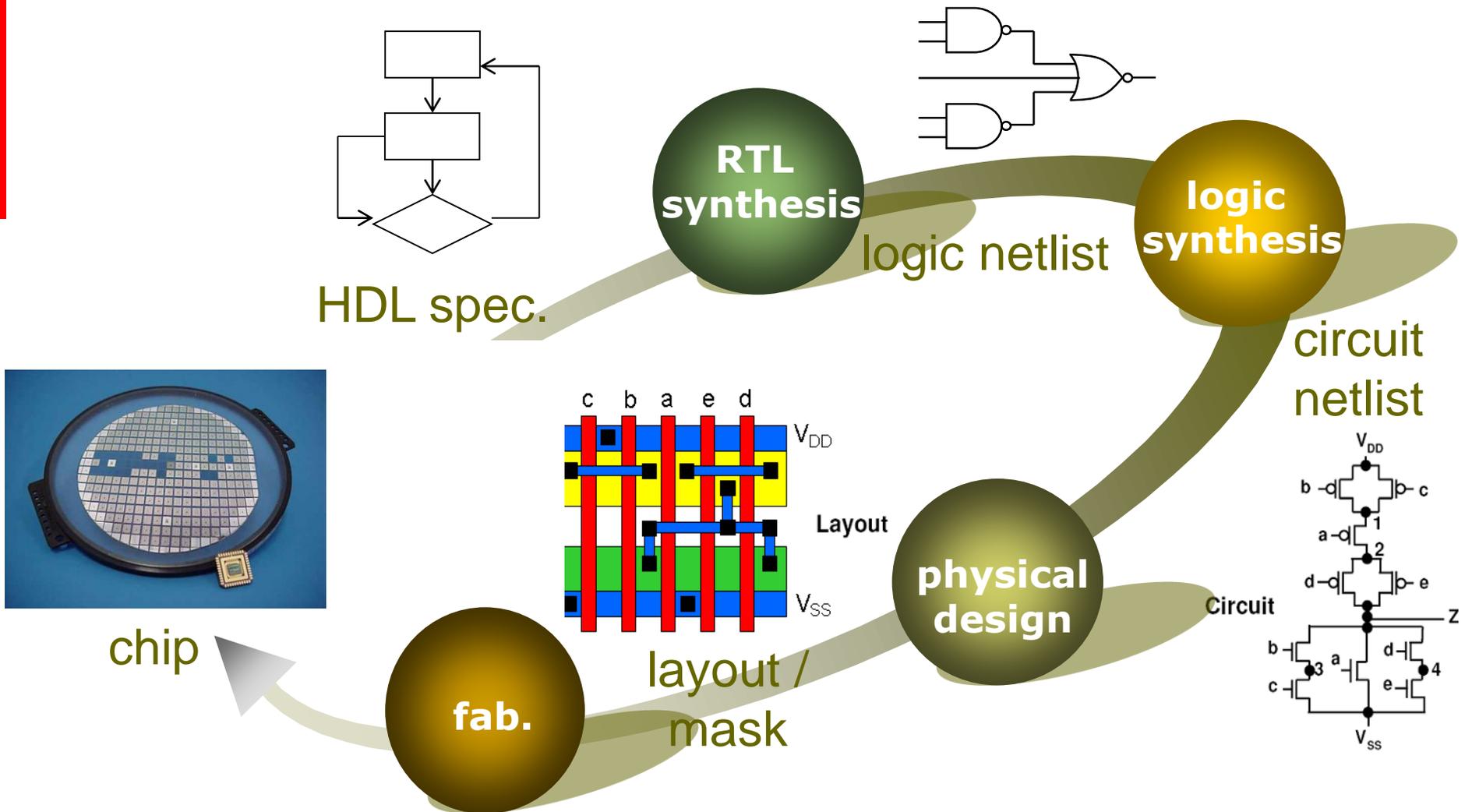
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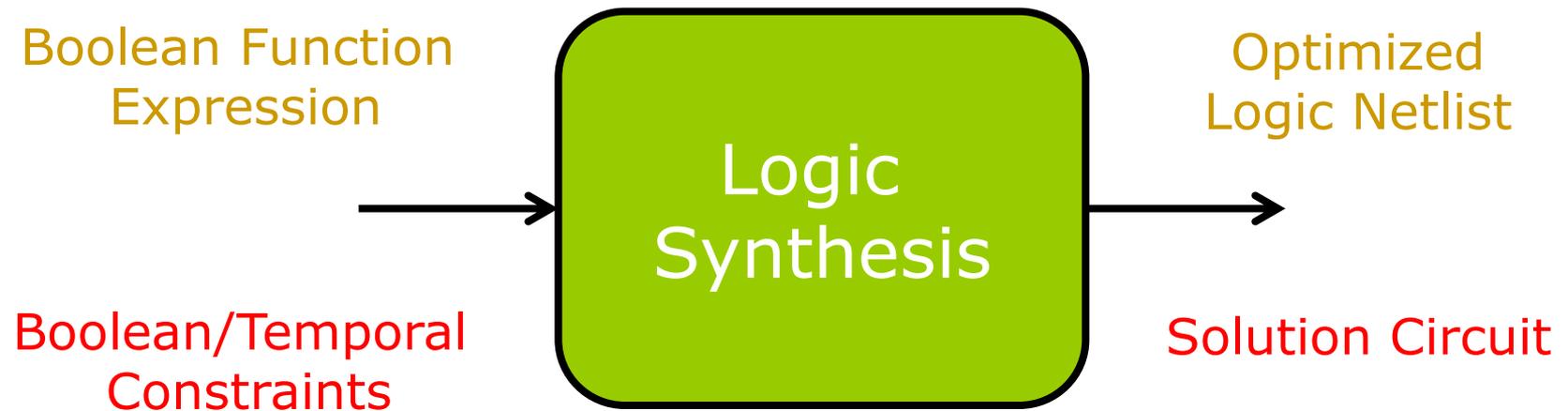
Outline

- Logic synthesis & verification
- Boolean function representation
- Propositional satisfiability & applications
- Quantified Boolean satisfiability & applications
- Stochastic Boolean satisfiability & applications

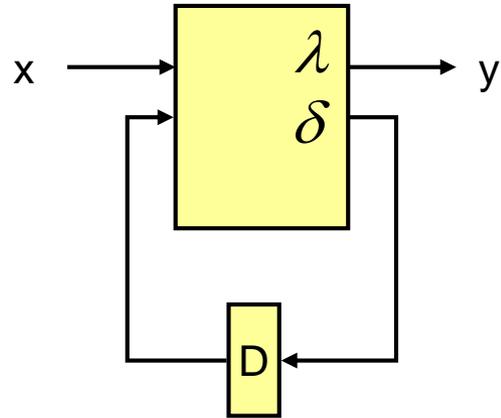
IC Design Flow



Logic Synthesis



Logic Synthesis



Given: Functional description of finite-state machine $F(Q, X, Y, \delta, \lambda)$ where:

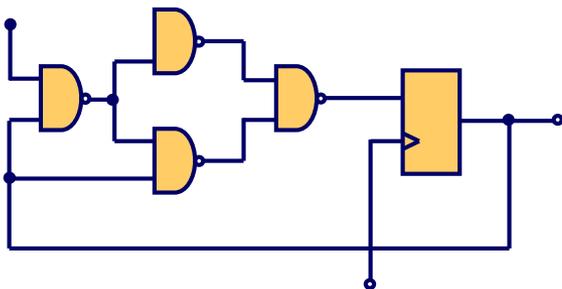
Q : Set of internal states

X : Input alphabet

Y : Output alphabet

δ : $X \times Q \rightarrow Q$ (next state *function*)

λ : $X \times Q \rightarrow Y$ (output *function*)



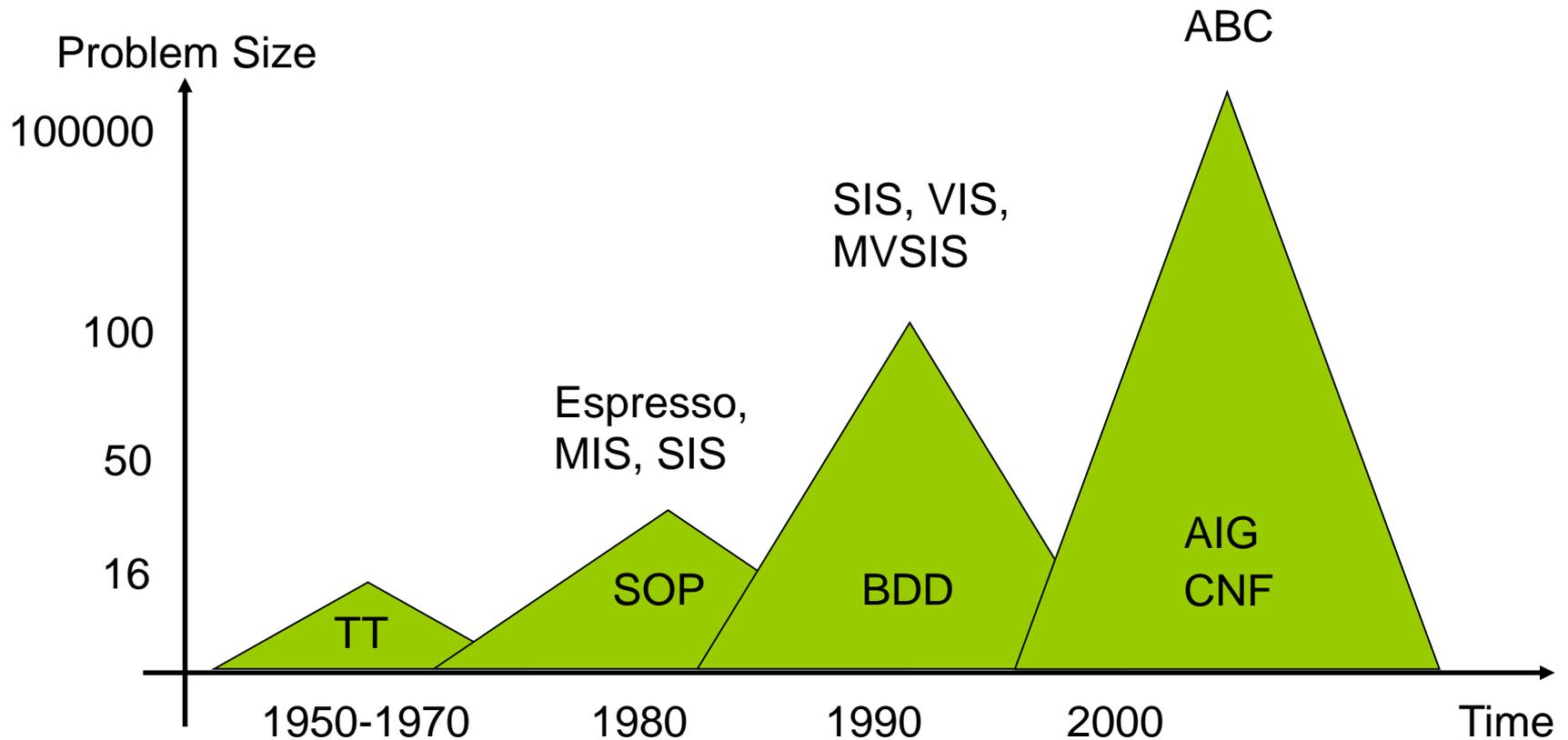
Target: Circuit $C(G, W)$ where:

G : set of circuit components $g \in \{\text{gates, FFs, etc.}\}$

W : set of wires connecting G

Backgrounds

- Historic evolution of data structures and tools in logic synthesis and verification



Boolean Function Representation

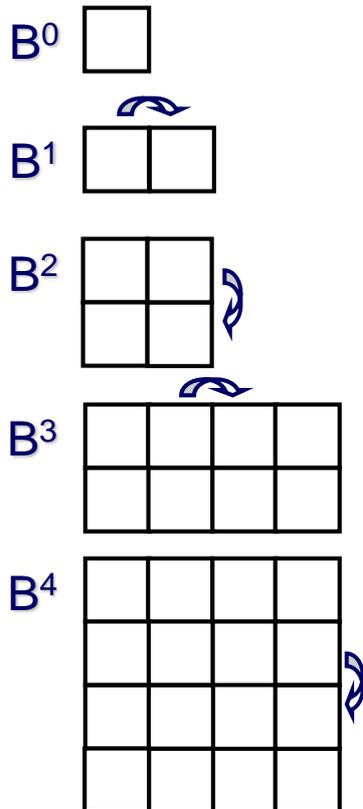
- Logic synthesis translates **Boolean functions** into **circuits**
- We need representations of Boolean functions for two reasons:
 - to represent and manipulate the actual circuit that we are implementing
 - to facilitate *Boolean reasoning*

Boolean Space

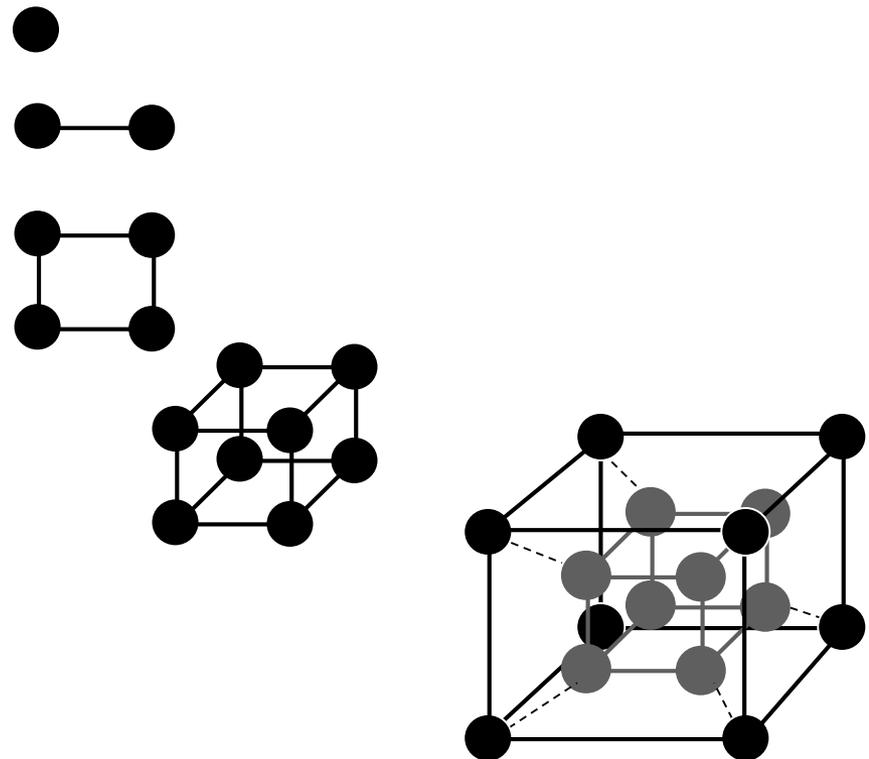
□ $B = \{0,1\}$

□ $B^2 = \{0,1\} \times \{0,1\} = \{00, 01, 10, 11\}$

Karnaugh Maps:



Boolean Lattices:



Boolean Function

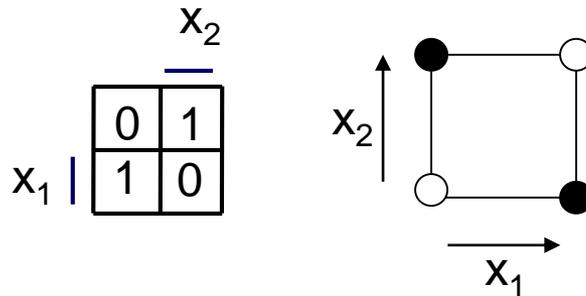
- A Boolean function f over input variables: x_1, x_2, \dots, x_m , is a mapping $f: \mathbf{B}^m \rightarrow Y$, where $\mathbf{B} = \{0,1\}$ and $Y = \{0,1,d\}$
 - E.g.
 - The output value of $f(x_1, x_2, x_3)$, say, partitions \mathbf{B}^m into three sets:
 - **on-set** ($f=1$)
 - E.g. $\{010, 011, 110, 111\}$ (characteristic function $f^1 = x_2$)
 - **off-set** ($f=0$)
 - E.g. $\{100, 101\}$ (characteristic function $f^0 = x_1 \neg x_2$)
 - **don't-care set** ($f=d$)
 - E.g. $\{000, 001\}$ (characteristic function $f^d = \neg x_1 \neg x_2$)
- f is an **incompletely specified function** if the don't-care set is nonempty. Otherwise, f is a **completely specified function**
 - Unless otherwise said, a Boolean function is meant to be completely specified

Boolean Function

- A Boolean function $f: \mathbf{B}^n \rightarrow \mathbf{B}$ over variables x_1, \dots, x_n maps each Boolean valuation (truth assignment) in \mathbf{B}^n to 0 or 1

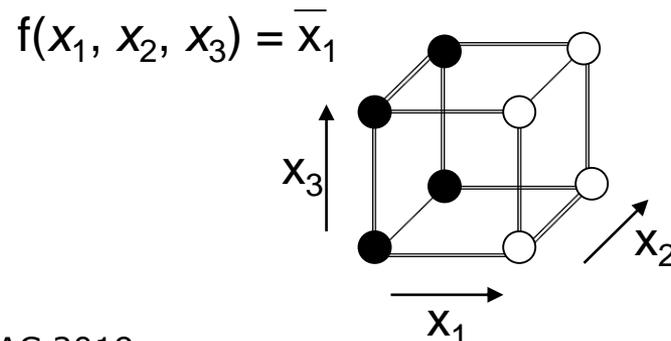
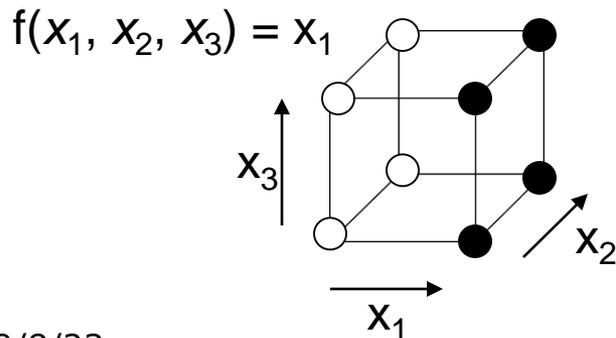
Example

$f(x_1, x_2)$ with $f(0,0) = 0$, $f(0,1) = 1$, $f(1,0) = 1$,
 $f(1,1) = 0$



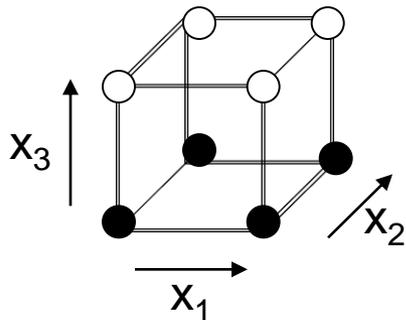
Boolean Function

- **Onset** of f , denoted as f^1 , is $f^1 = \{v \in \mathbf{B}^n \mid f(v)=1\}$
 - If $f^1 = \mathbf{B}^n$, f is a **tautology**
- **Offset** of f , denoted as f^0 , is $f^0 = \{v \in \mathbf{B}^n \mid f(v)=0\}$
 - If $f^0 = \mathbf{B}^n$, f is **unsatisfiable**. Otherwise, f is **satisfiable**.
- f^1 and f^0 are sets, not functions!
- Boolean functions f and g are **equivalent** if $\forall v \in \mathbf{B}^n. f(v) = g(v)$ where v is a truth assignment or Boolean valuation
- A **literal** is a Boolean variable x or its negation x' (or $x, \neg x$) in a Boolean formula



Boolean Function

- There are 2^n vertices in \mathbf{B}^n
- There are 2^{2^n} distinct Boolean functions
 - Each subset $f^1 \subseteq \mathbf{B}^n$ of vertices in \mathbf{B}^n forms a distinct Boolean function f with onset f^1



| $x_1x_2x_3$ | f |
|-------------|-----|
| 0 0 0 | 1 |
| 0 0 1 | 0 |
| 0 1 0 | 1 |
| 0 1 1 | 0 |
| 1 0 0 | 1 |
| 1 0 1 | 0 |
| 1 1 0 | 1 |
| 1 1 1 | 0 |

Boolean Operations

Given two Boolean functions:

$$f : \mathbf{B}^n \rightarrow \mathbf{B}$$

$$g : \mathbf{B}^n \rightarrow \mathbf{B}$$

□ $h = f \wedge g$ from **AND** operation is defined as

$$h^1 = f^1 \cap g^1; h^0 = \mathbf{B}^n \setminus h^1$$

□ $h = f \vee g$ from **OR** operation is defined as

$$h^1 = f^1 \cup g^1; h^0 = \mathbf{B}^n \setminus h^1$$

□ $h = \neg f$ from **COMPLEMENT** operation is defined as

$$h^1 = f^0; h^0 = f^1$$

Cofactor and Quantification

Given a Boolean function:

$f : \mathbf{B}^n \rightarrow \mathbf{B}$, with the input variable $(x_1, x_2, \dots, x_i, \dots, x_n)$

- **Positive cofactor on variable x_i**
 $h = f_{x_i}$ is defined as $h = f(x_1, x_2, \dots, 1, \dots, x_n)$
- **Negative cofactor on variable x_i**
 $h = f_{\neg x_i}$ is defined as $h = f(x_1, x_2, \dots, 0, \dots, x_n)$
- **Existential quantification over variable x_i**
 $h = \exists x_i. f$ is defined as $h = f(x_1, x_2, \dots, 0, \dots, x_n) \vee f(x_1, x_2, \dots, 1, \dots, x_n)$
- **Universal quantification over variable x_i**
 $h = \forall x_i. f$ is defined as $h = f(x_1, x_2, \dots, 0, \dots, x_n) \wedge f(x_1, x_2, \dots, 1, \dots, x_n)$
- **Boolean difference over variable x_i**
 $h = \partial f / \partial x_i$ is defined as $h = f(x_1, x_2, \dots, 0, \dots, x_n) \oplus f(x_1, x_2, \dots, 1, \dots, x_n)$

Boolean Function Representation

□ Some common representations:

- Truth table
- Boolean formula
 - SOP (sum-of-products, or called disjunctive normal form, DNF)
 - POS (product-of-sums, or called conjunctive normal form, CNF)
- BDD (binary decision diagram)
- Boolean network (consists of nodes and wires)
 - Generic Boolean network
 - Network of nodes with generic functional representations or even subcircuits
 - Specialized Boolean network
 - Network of nodes with SOPs (PLAs)
 - And-Inv Graph (AIG)

□ Why different representations?

- Different representations have their own strengths and weaknesses (no single data structure is best for all applications)

Boolean Function Representation

Truth Table

- Truth table (function table for multi-valued functions):

The **truth table** of a function $f : \mathbf{B}^n \rightarrow \mathbf{B}$ is a tabulation of its value at each of the 2^n vertices of \mathbf{B}^n .

In other words the truth table lists all **mintems**

Example: $f = a'b'c'd + a'b'cd + a'bc'd + ab'c'd + ab'cd + abc'd + abcd' + abcd$

The truth table representation is

- impractical for large n
- canonical

If two functions are the equal, then their **canonical** representations are isomorphic.

| | <u>abcd</u> | <u>f</u> | | <u>abcd</u> | <u>f</u> |
|---|-------------|----------|----|-------------|----------|
| 0 | 0000 | 0 | 8 | 1000 | 0 |
| 1 | 0001 | 1 | 9 | 1001 | 1 |
| 2 | 0010 | 0 | 10 | 1010 | 0 |
| 3 | 0011 | 1 | 11 | 1011 | 1 |
| 4 | 0100 | 0 | 12 | 1100 | 0 |
| 5 | 0101 | 1 | 13 | 1101 | 1 |
| 6 | 0110 | 0 | 14 | 1110 | 1 |
| 7 | 0111 | 0 | 15 | 1111 | 1 |

Boolean Function Representation

Boolean Formula

- A **Boolean formula** is defined inductively as an expression with the following formation rules (syntax):

| | | |
|-------------|---------------------|---------------------------------|
| formula ::= | ‘(formula)’ | |
| | Boolean constant | (true or false) |
| | <Boolean variable> | |
| | formula “+” formula | (OR operator) |
| | formula “.” formula | (AND operator) |
| | ¬ formula | (complement) |

Example

$$f = (x_1 \cdot x_2) + (x_3) + \neg(\neg(x_4 \cdot (\neg x_1)))$$

typically “.” is omitted and ‘(, ’) are omitted when the operator priority is clear, e.g., $f = x_1 x_2 + x_3 + x_4 \neg x_1$

Boolean Function Representation

Boolean Formula in SOP

- Any function can be represented as a **sum-of-products (SOP)**, also called **sum-of-cubes** (a **cube** is a product term), or **disjunctive normal form (DNF)**

Example

$$\varphi = ab + a'c + bc$$

Boolean Function Representation

Boolean Formula in POS

- Any function can be represented as a **product-of-sums (POS)**, also called **conjunctive normal form (CNF)**
 - Dual of the SOP representation

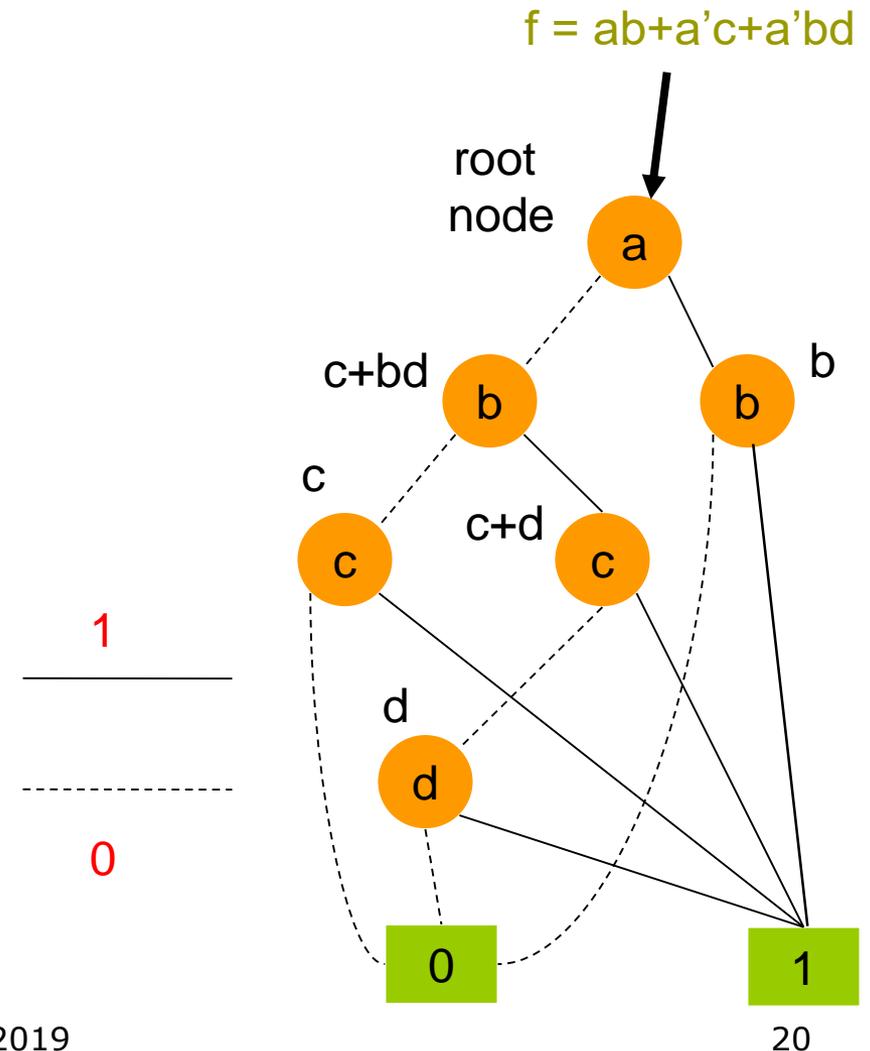
Example

- $\varphi = (a+b'+c) (a'+b+c) (a+b'+c') (a+b+c)$
- Exercise: Any Boolean function in POS can be converted to SOP using De Morgan's law and the distributive law, and vice versa

Boolean Function Representation

Binary Decision Diagram

- BDD – a graph representation of Boolean functions
 - A **leaf node** represents constant 0 or 1
 - A **non-leaf node** represents a decision node (multiplexer) controlled by some variable
 - Can make a BDD representation **canonical** by imposing the **variable ordering** and **reduction** criteria (ROBDD)



Boolean Function Representation

Binary Decision Diagram

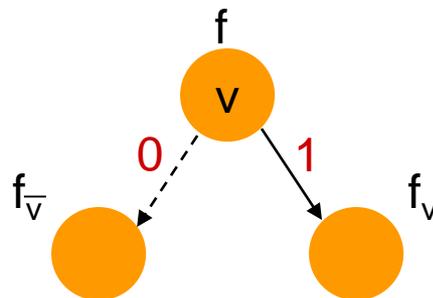
- Any Boolean function f can be written in term of **Shannon expansion**

$$f = v f_v + \neg v f_{\neg v}$$

- Positive cofactor: $f_{x_i} = f(x_1, \dots, x_i=1, \dots, x_n)$
- Negative cofactor: $f_{\neg x_i} = f(x_1, \dots, x_i=0, \dots, x_n)$

- BDD is a compressed Shannon cofactor tree:

- The two children of a node with function f controlled by variable v represent two sub-functions f_v and $f_{\neg v}$



Boolean Function Representation

Binary Decision Diagram

□ **Reduced** and **ordered** BDD (**ROBDD**) is a **canonical** Boolean function representation

■ **Ordered:**

□ cofactor variables are in the **same order along all paths**

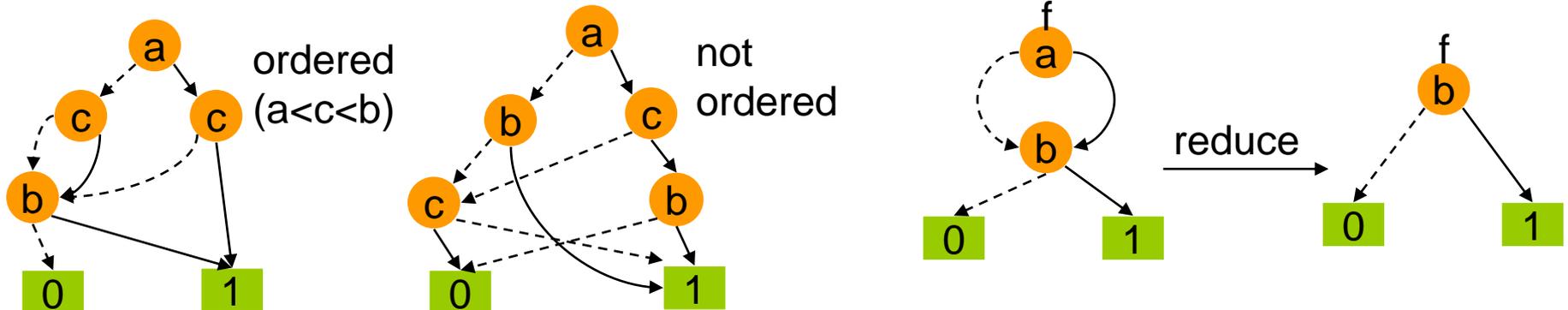
$$x_{i_1} < x_{i_2} < x_{i_3} < \dots < x_{i_n}$$

■ **Reduced:**

□ any node with two identical children is removed

□ two nodes with isomorphic BDD's are merged

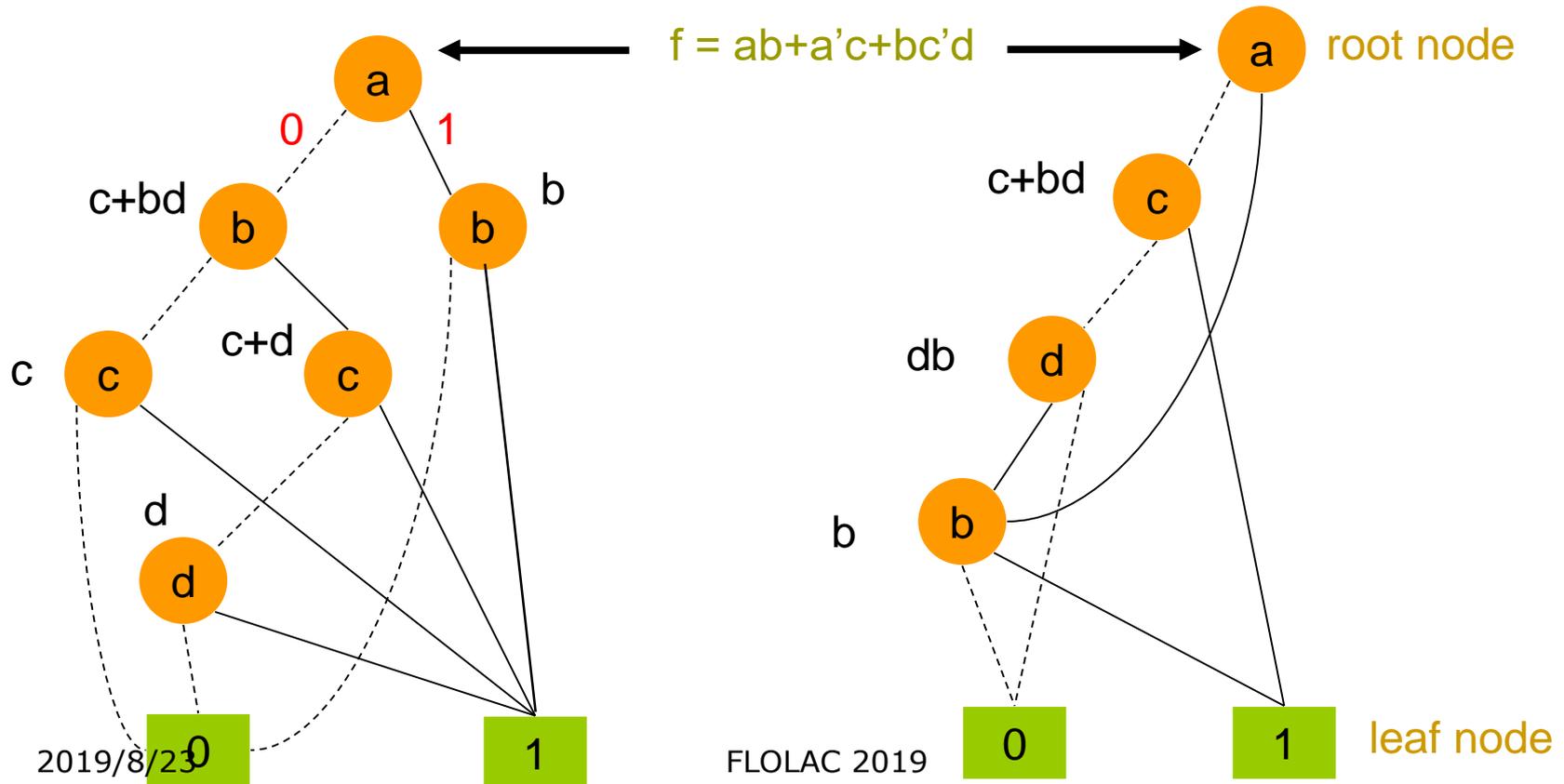
These two rules make any node in an ROBDD represent a distinct logic function



Boolean Function Representation

Binary Decision Diagram

- For a Boolean function,
 - ROBDD is unique with respect to a given variable ordering
 - Different orderings may result in different ROBDD structures



Boolean Function Representation

Boolean Network

- A **Boolean network** is a directed graph $C(G, N)$ where G are the gates and $N \subseteq (G \times G)$ are the directed edges (nets) connecting the gates.

Some of the vertices are designated:

Inputs: $I \subseteq G$

Outputs: $O \subseteq G$

$$I \cap O = \emptyset$$

Each gate g is assigned a Boolean function f_g which computes the output of the gate in terms of its inputs.

Boolean Function Representation

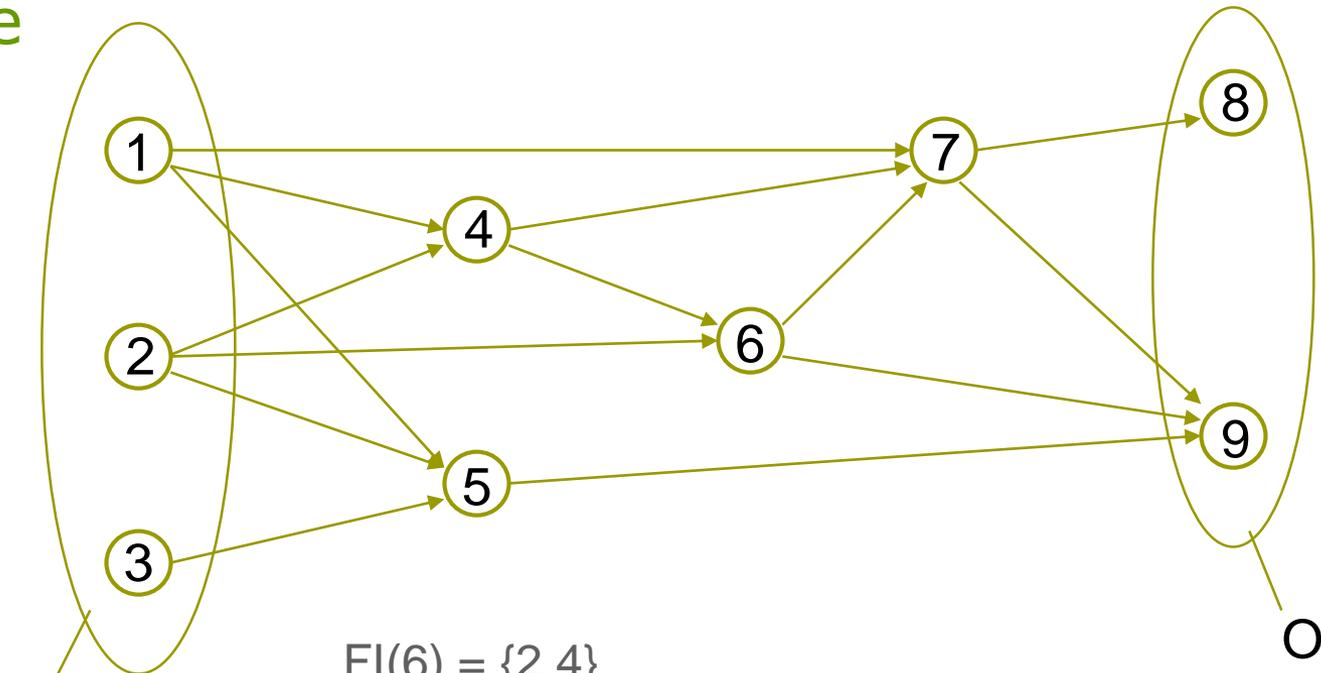
Boolean Network

- The **fanin** $FI(g)$ of a gate g are the predecessor gates of g :
 $FI(g) = \{g' \mid (g',g) \in N\}$ (N : the set of nets)
- The **fanout** $FO(g)$ of a gate g are the successor gates of g :
 $FO(g) = \{g' \mid (g,g') \in N\}$
- The **cone** $CONE(g)$ of a gate g is the **transitive fanin (TFI)** of g and g itself
- The **support** $SUPPORT(g)$ of a gate g are all inputs in its cone:
 $SUPPORT(g) = CONE(g) \cap I$

Boolean Function Representation

Boolean Network

Example



$$FI(6) = \{2,4\}$$

$$FO(6) = \{7,9\}$$

$$CONE(6) = \{1,2,4,6\}$$

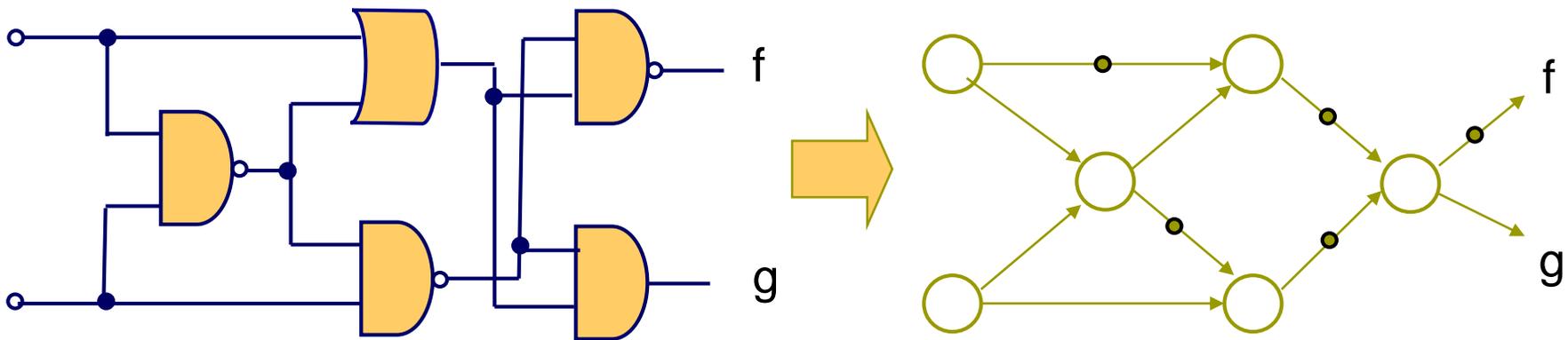
$$SUPPORT(6) = \{1,2\}$$

Every node may have its own function

Boolean Function Representation

And-Inverter Graph

- AND-INVERTER graphs (AIGs)
 - vertices: 2-input AND gates
 - edges: interconnects with (optional) dots representing INVs
- Hash table to identify and reuse structurally isomorphic circuits

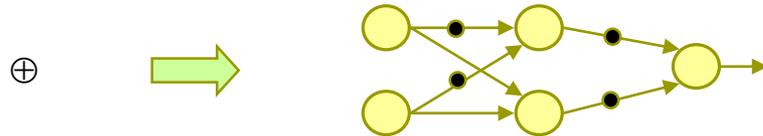


Boolean Function Representation

- Truth table
 - Canonical
 - Useful in representing small functions
- SOP
 - Useful in two-level logic optimization, and in representing local node functions in a Boolean network
- POS
 - Useful in SAT solving and Boolean reasoning
 - Rarely used in circuit synthesis (due to the asymmetric characteristics of NMOS and PMOS)
- ROBDD
 - Canonical
 - Useful in Boolean reasoning
- Boolean network
 - Useful in multi-level logic optimization
- AIG
 - Useful in multi-level logic optimization and Boolean reasoning

Circuit to CNF Conversion

- Naive conversion of circuit to CNF:
 - Multiply out expressions of circuit until two level structure
 - **Example:** $y = x_1 \oplus x_2 \oplus x_2 \oplus \dots \oplus x_n$ (Parity function)
 - circuit size is **linear in the number of variables**

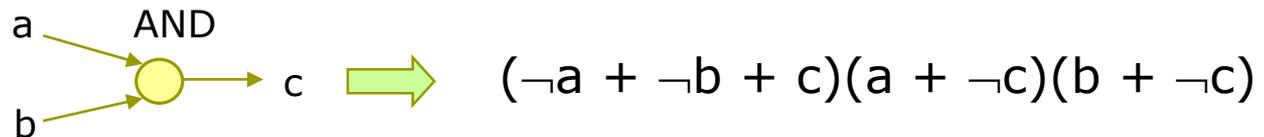


- generated chess-board Karnaugh map
 - CNF (or DNF) formula has 2^{n-1} terms (**exponential in #vars**)
- Better approach:
 - Introduce one variable per circuit vertex
 - Formulate the circuit as a conjunction of constraints imposed on the vertex values by the gates
 - Uses more variables but size of formula is linear in the size of the circuit

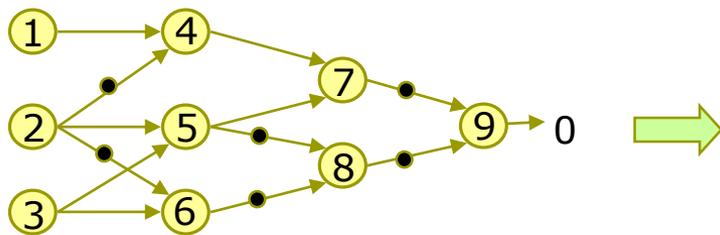
Circuit to CNF Conversion

Example

Single gate:



Circuit of connected gates:



Is output always 0 ?
Justify to "1"

$$\begin{aligned}
 &(\neg 1 + 2 + 4)(1 + \neg 4)(\neg 2 + \neg 4) \\
 &(\neg 2 + \neg 3 + 5)(2 + \neg 5)(3 + \neg 5) \\
 &(2 + \neg 3 + 6)(\neg 2 + \neg 6)(3 + \neg 6) \\
 &(\neg 4 + \neg 5 + 7)(4 + \neg 7)(5 + \neg 7) \\
 &(5 + 6 + 8)(\neg 5 + \neg 8)(\neg 6 + \neg 8) \\
 &(7 + 8 + 9)(\neg 7 + \neg 9)(\neg 8 + \neg 9) \\
 &(9)
 \end{aligned}$$

Circuit to CNF Conversion

- Circuit to CNF conversion
 - can be done in linear size (with respect to the circuit size) if intermediate variables can be introduced
 - may grow exponentially in size if no intermediate variables are allowed

Propositional Satisfiability



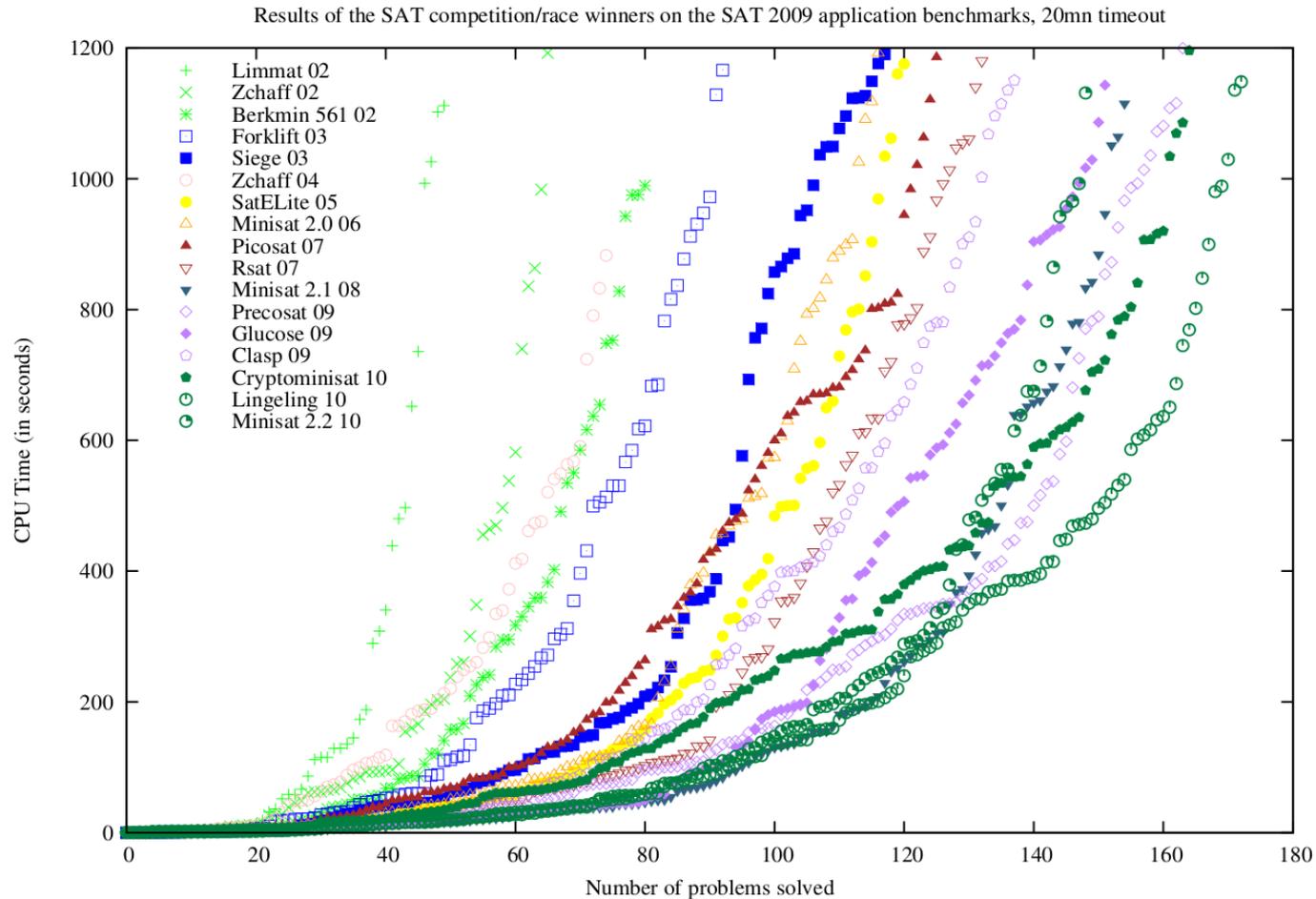
Normal Forms

- A **literal** is a variable or its negation
- A **clause** (**cube**) is a disjunction (conjunction) of literals
- A **conjunctive normal form** (CNF) is a conjunction of clauses; a **disjunctive normal form** (DNF) is a disjunction of cubes
 - E.g.,
CNF: $(a + \neg b + c)(a + \neg c)(b + d)(\neg a)$
 - $(\neg a)$ is a unit clause, d is a pure literal
 - DNF: $a\neg bc + a\neg c + bd + \neg a$

Satisfiability

- The **satisfiability** (SAT) problem asks whether a given CNF formula can be true under some assignment to the variables
- In theory, SAT is intractable
 - The first shown NP-complete problem [Cook, 1971]
- In practice, modern SAT solvers work ‘mysteriously’ well on application CNFs with $\sim 100,000$ variables and $\sim 1,000,000$ clauses
 - It enables various applications, and inspires QBF and SMT (Satisfiability Modulo Theories) solver development

SAT Competition



<http://www.satcompetition.org/PoS11/>

SAT Solving

- Ingredients of modern SAT solvers:
 - DPLL-style search
 - [Davis, Putnam, Logemann, Loveland, 1962]
 - Conflict-driven clause learning (CDCL)
 - [Marques-Silva, Sakallah, 1996 ([GRASP](#))]
 - Boolean constraint propagation (BCP) with two-literal watch
 - [Moskewicz, Modigan, Zhao, Zhang, Malik, 2001 ([Chaff](#))]
 - Decision heuristics using variable activity
 - [Moskewicz, Modigan, Zhao, Zhang, Malik, 2001 ([Chaff](#))]
 - Restart
 - Preprocessing
 - Support for incremental solving
 - [Een, Sorensson, 2003 ([MiniSat](#))]

Pre-Modern SAT Procedure

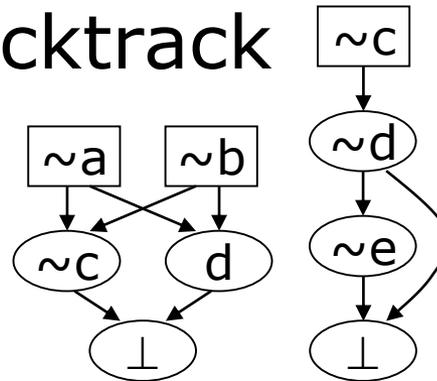
Algorithm DPLL(Φ)

```
{  
  while there is a unit clause  $\{l\}$  in  $\Phi$   
     $\Phi = \text{BCP}(\Phi, l);$   
  while there is a pure literal  $l$  in  $\Phi$   
     $\Phi = \text{assign}(\Phi, l);$   
  if all clauses of  $\Phi$  satisfied      return true;  
  if  $\Phi$  has a conflicting clause    return false;  
   $l := \text{choose\_literal}(\Phi);$   
  return DPLL(assign( $\Phi, \neg l$ ))  $\vee$  DPLL(assign( $\Phi, l$ ));  
}
```

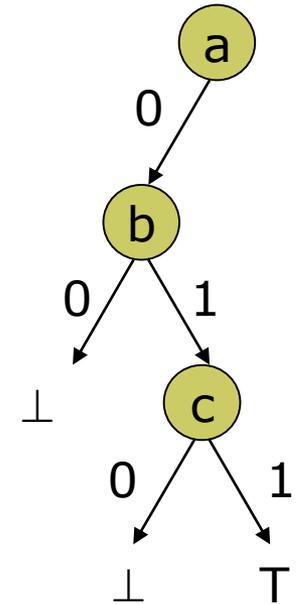
DPLL Procedure

□ Chronological backtrack

□ E.g.



| | $\sim a$ | $\sim b$ | b | $\sim c$ | c | d |
|--------------------|----------|----------|-----|----------|-----|-----|
| $\{\neg a, e\}$ | ■ | ■ | ■ | ■ | ■ | ■ |
| $\{a, b, \neg c\}$ | □ | □ | ■ | ■ | ■ | ■ |
| $\{c, \neg d\}$ | □ | ■ | □ | □ | ■ | ■ |
| $\{a, b, d\}$ | □ | □ | ■ | ■ | ■ | ■ |
| $\{d, e\}$ | □ | □ | □ | ■ | □ | ■ |
| $\{c, d, \neg e\}$ | □ | □ | □ | □ | ■ | ■ |



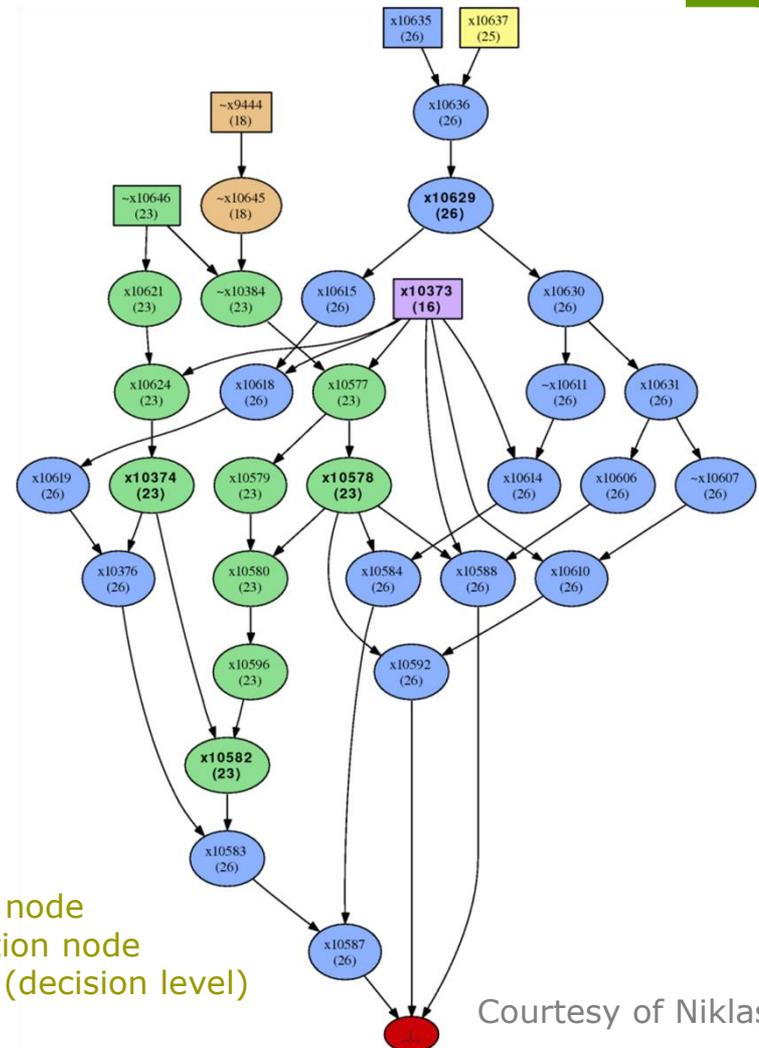
Modern SAT Procedure

Algorithm CDCL(Φ)

```
{
  while (1)
    while there is a unit clause  $\{l\}$  in  $\Phi$ 
       $\Phi = \text{BCP}(\Phi, l);$ 
    while there is a pure literal  $l$  in  $\Phi$ 
       $\Phi = \text{assign}(\Phi, l);$ 
    if  $\Phi$  contains no conflicting clause
      if all clauses of  $\Phi$  are satisfied      return true;
       $l := \text{choose\_literal}(\Phi);$ 
       $\text{assign}(\Phi, l);$ 
    else
      if conflict at top decision level      return false;
       $\text{analyze\_conflict}();$ 
       $\text{undo assignments};$ 
       $\Phi := \text{add\_conflict\_clause}(\Phi);$ 
}
```

Conflict Analysis & Clause Learning

- There can be many learnt clauses from a conflict
- Clause learning admits non-chronological backtrack
- E.g.,
 - $\{\neg x_{10587}, \neg x_{10588}, \neg x_{10592}\}$
 - ...
 - $\{\neg x_{10374}, \neg x_{10582}, \neg x_{10578}, \neg x_{10373}, \neg x_{10629}\}$
 - ...
 - $\{x_{10646}, x_{9444}, \neg x_{10373}, \neg x_{10635}, \neg x_{10637}\}$



Courtesy of Niklas Een

Clause Learning as Resolution

- **Resolution** of two clauses $C_1 \vee x$ and $C_2 \vee \neg x$:

$$\frac{C_1 \vee x \quad C_2 \vee \neg x}{C_1 \vee C_2}$$

where x is the **pivot variable** and $C_1 \vee C_2$ is the **resolvent**,
i.e., $C_1 \vee C_2 = \exists x. (C_1 \vee x)(C_2 \vee \neg x)$

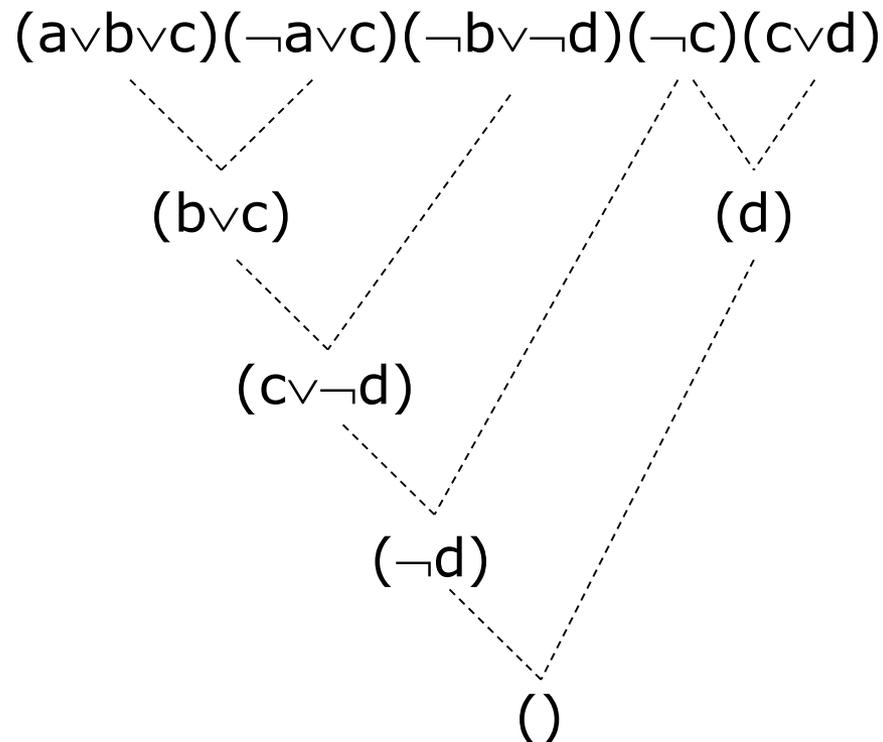
- A learnt clause can be obtained from a sequence of resolution steps
 - Exercise:
Find a resolution sequence leading to the learnt clause
 $\{\neg x_{10374}, \neg x_{10582}, \neg x_{10578}, \neg x_{10373}, \neg x_{10629}\}$
in the previous slides

Resolution

□ Resolution is complete for SAT solving

- A CNF formula is unsatisfiable if and only if there exists a resolution sequence leading to the empty clause

■ Example



SAT Certification

□ True CNF

- Satisfying assignment (model)
 - Verifiable in linear time

□ False CNF

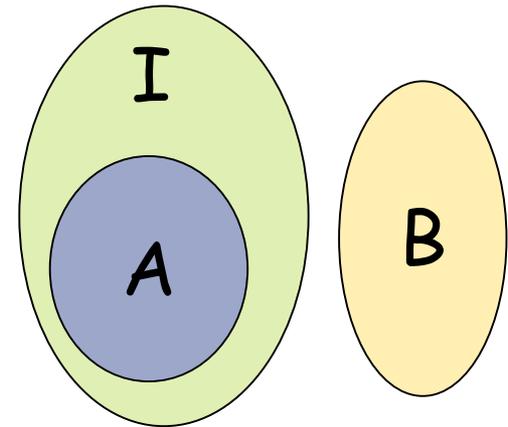
- Resolution refutation
 - Potentially of exponential size

Craig Interpolation

□ [Craig Interpolation Thm, 1957]

If $A \wedge B$ is UNSAT for formulae A and B , there exists an **interpolant** I of A such that

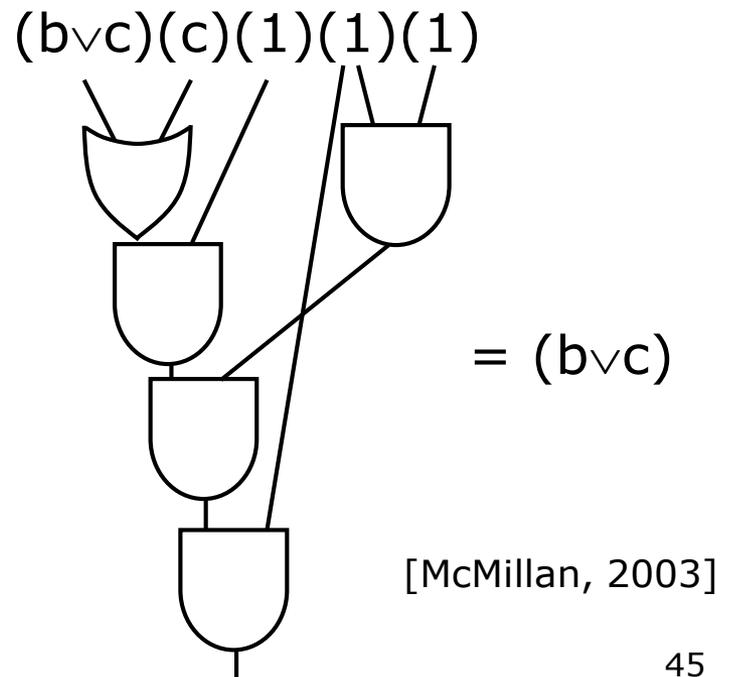
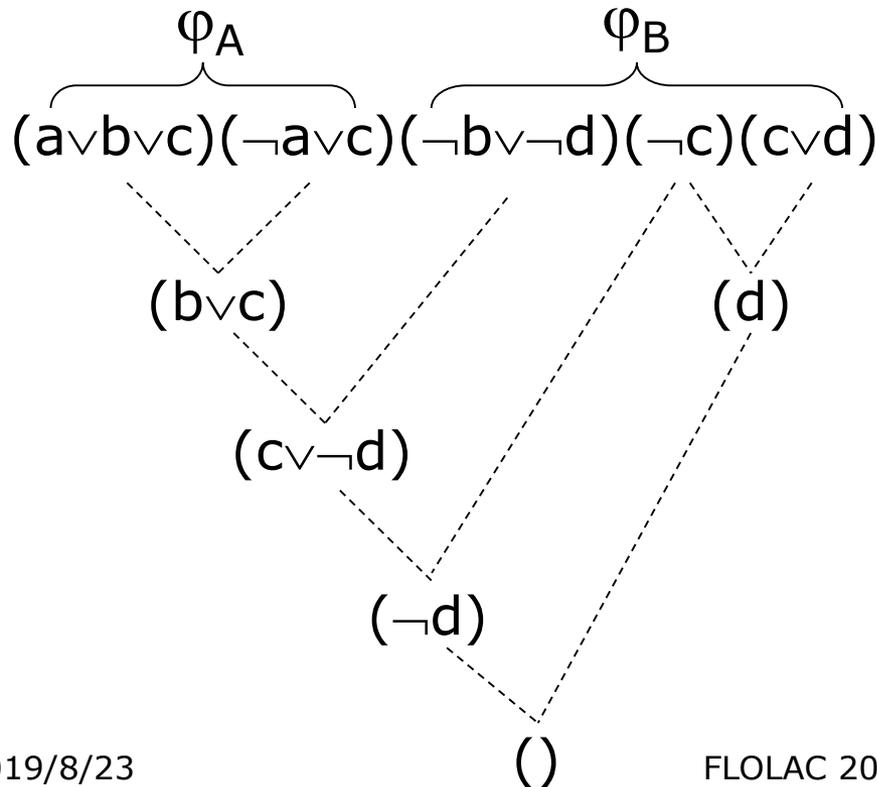
1. $A \Rightarrow I$
2. $I \wedge B$ is UNSAT
3. I refers only to the common variables of A and B



I is an abstraction of A

Interpolant and Resolution Proof

- SAT solver may produce the resolution proof of an UNSAT CNF φ
- For $\varphi = \varphi_A \wedge \varphi_B$ specified, the corresponding interpolant can be obtained in time linear in the resolution proof



Incremental SAT Solving

- To solve, in a row, multiple CNF formulae, which are similar except for a few clauses, can we reuse the learnt clauses?
 - What if adding a clause to φ ?
 - What if deleting a clause from φ ?

Incremental SAT Solving

□ MiniSat API

- void *addClause*(Vec<Lit> clause)
 - bool *solve*(Vec<Lit> assumps)
 - bool *readModel*(Var x) – for SAT results
 - bool *assumpUsed*(Lit p) – for UNSAT results
-
- The method *solve()* treats the literals in assumps as unit clauses to be temporary assumed during the SAT-solving.
 - More clauses can be added after *solve()* returns, then incrementally another SAT-solving executed.

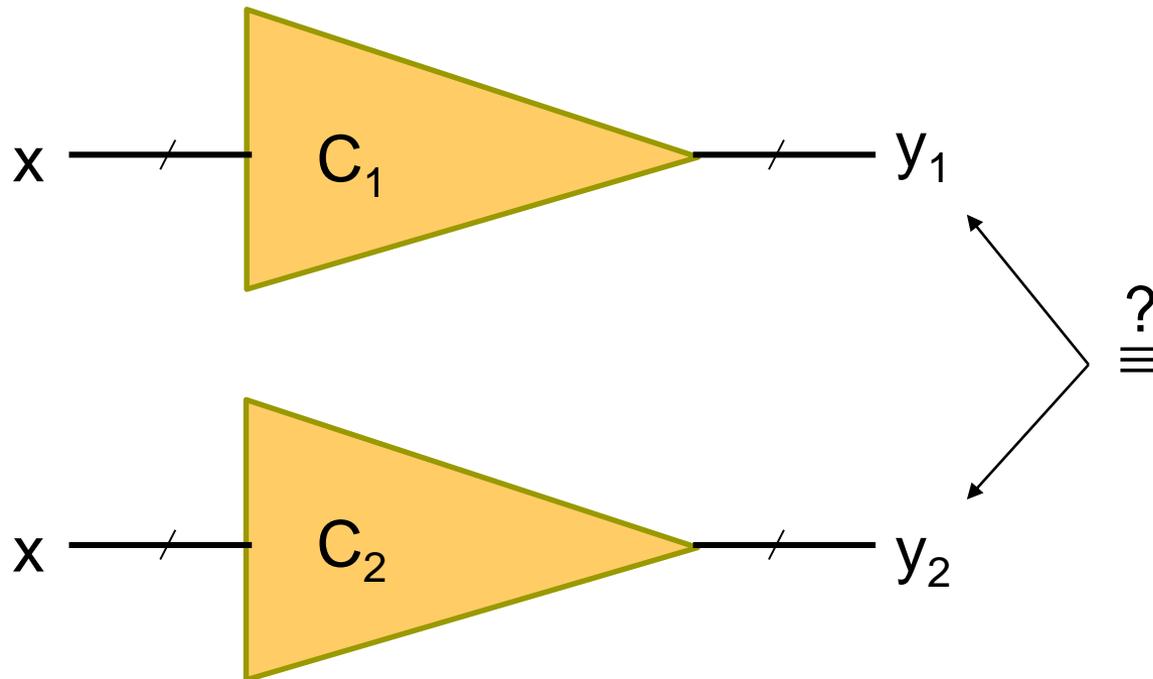
SAT & Logic Synthesis

Equivalence Checking



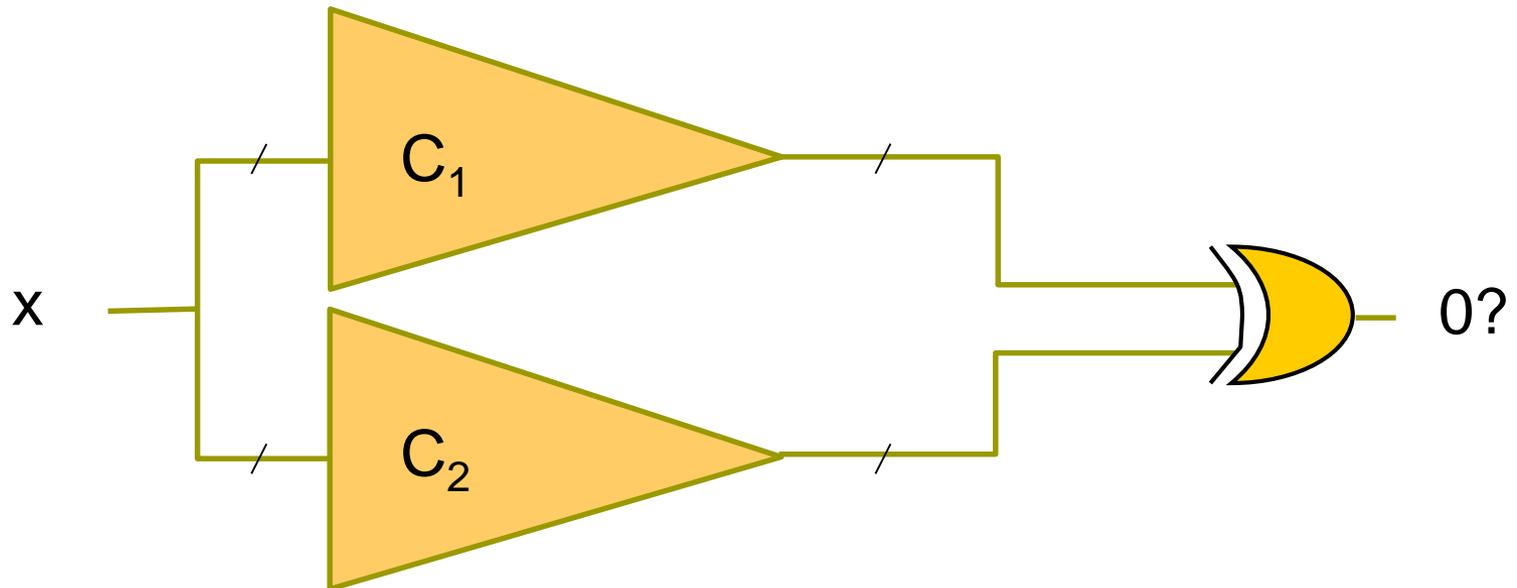
Combinational EC

- Given two combinational circuits C_1 and C_2 , are their outputs equivalent under all possible input assignments?



Miter for Combinational EC

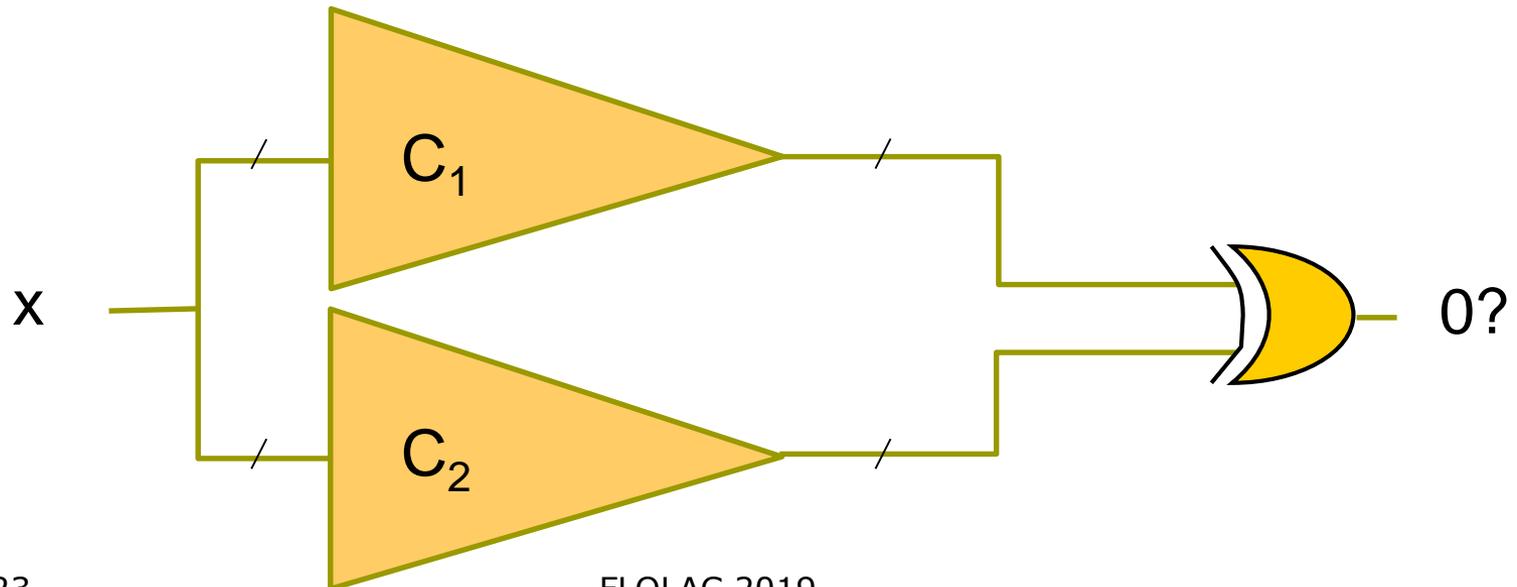
- Two combinational circuits C_1 and C_2 are equivalent if and only if the output of their “**miter**” structure always produces constant 0



Approaches to Combinational EC

□ Basic methods:

- random simulation
 - good at identifying inequivalent signals
- BDD-based methods
- structural SAT-based methods



SAT & Logic Synthesis

Functional Dependency



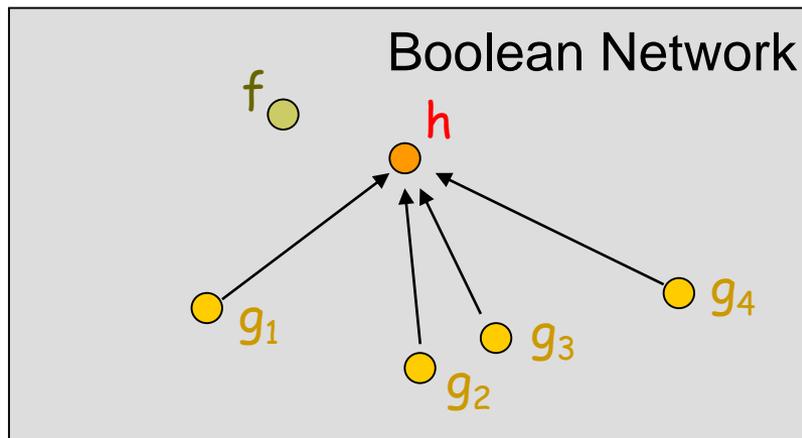
Functional Dependency

- **$f(x)$ functionally depends** on $g_1(x), g_2(x), \dots, g_m(x)$ if $f(x) = h(g_1(x), g_2(x), \dots, g_m(x))$, denoted $h(G(x))$
 - Under what condition can function f be expressed as some function h over a set $G = \{g_1, \dots, g_m\}$ of functions ?
 - h exists $\Leftrightarrow \nexists a, b$ such that $f(a) \neq f(b)$ and $G(a) = G(b)$

i.e., G is more distinguishing than f

Motivation

- Applications of functional dependency
 - Resynthesis/rewiring
 - Redundant register removal
 - BDD minimization
 - Verification reduction
 - ...



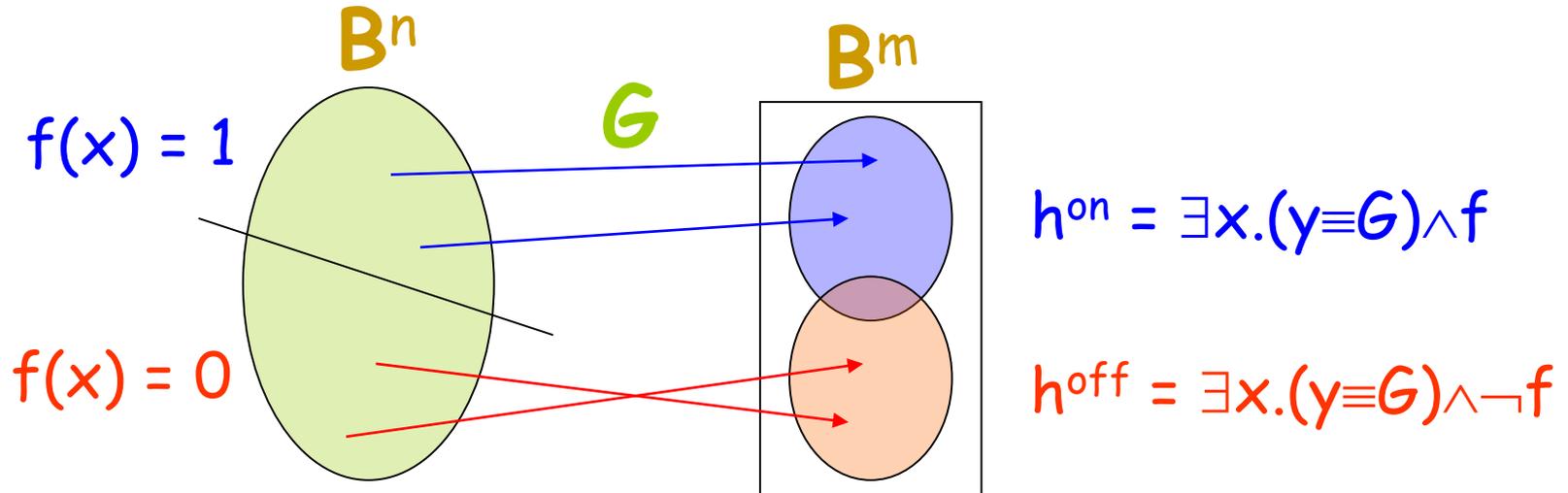
- target function
- base functions

BDD-Based Computation

□ BDD-based computation of h

$$h^{\text{on}} = \{y \in \mathbf{B}^m : y = G(x) \text{ and } f(x) = 1, x \in \mathbf{B}^n\}$$

$$h^{\text{off}} = \{y \in \mathbf{B}^m : y = G(x) \text{ and } f(x) = 0, x \in \mathbf{B}^n\}$$



BDD-Based Computation

□ Pros

- Exact computation of h^{on} and h^{off}
- Better support for don't care minimization

□ Cons

- 2 image computations for every choice of G
- Inefficient when $|G|$ is large or when there are many choices of G

SAT-Based Computation

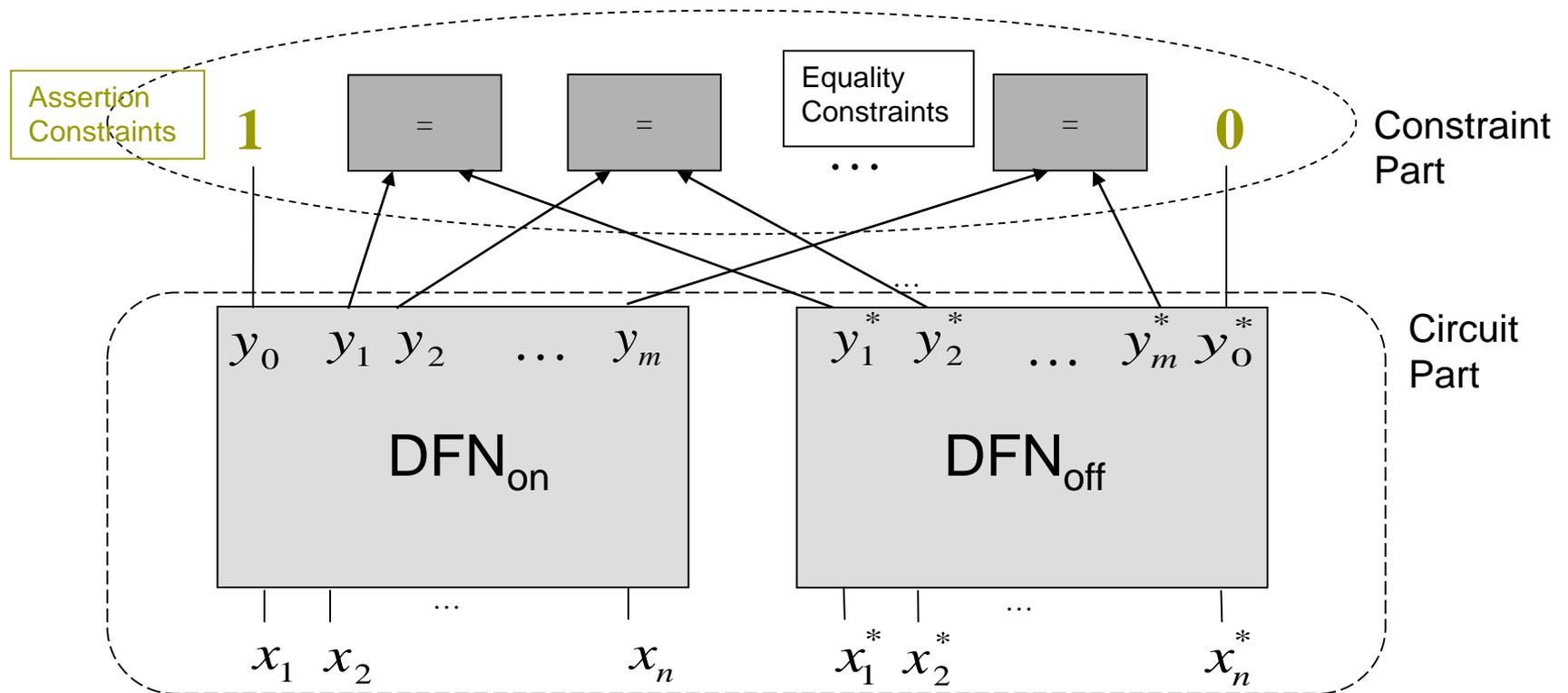
□ h exists \Leftrightarrow

$\nexists a, b$ such that $f(a) \neq f(b)$ and $G(a) = G(b)$,
i.e., $(f(x) \neq f(x^*)) \wedge (G(x) = G(x^*))$ is **UNSAT**

□ How to derive h ? How to select G ?

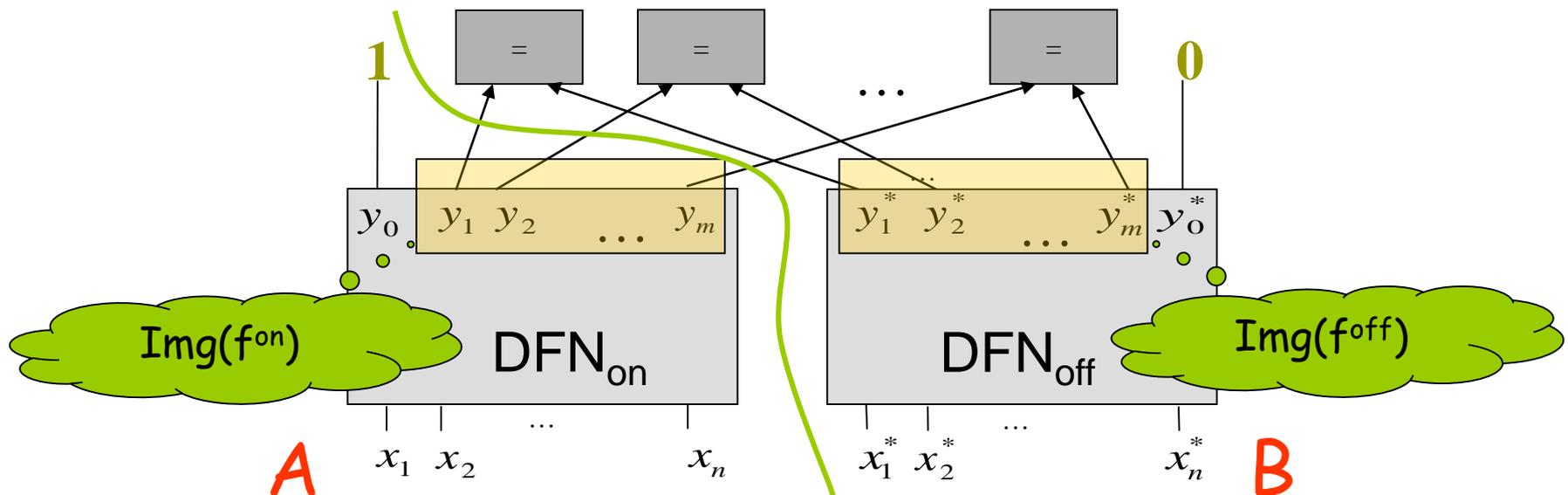
SAT-Based Computation

□ $(f(x) \neq f(x^*)) \wedge (G(x) \equiv G(x^*))$ is UNSAT



Deriving h with Craig Interpolation

- Clause set A: C_{DFN_{on}, Y_0}
- Clause set B: $C_{DFN_{off}, \neg Y_0^*, (y_i \equiv y_i^*)}$ for $i = 1, \dots, m$
- I is an overapproximation of $Img(f^{on})$ and is disjoint from $Img(f^{off})$
- I only refers to y_1, \dots, y_m
- Therefore, I corresponds to a feasible implementation of h



Incremental SAT Solving

□ Controlled equality constraints

$$(y_i \equiv y_i^*) \rightarrow (\neg y_i \vee y_i^* \vee \alpha_i)(y_i \vee \neg y_i^* \vee \alpha_i)$$

with auxiliary variables α_i

$\alpha_i = \text{true} \Rightarrow i^{\text{th}}$ equality constraint is disabled

- Fast switch between target and base functions by unit assumptions over control variables
- Fast enumeration of different base functions
- Share learned clauses

SAT vs. BDD

□ SAT

■ Pros

- Detect multiple choices of G automatically
- Scalable to large $|G|$
- Fast enumeration of different target functions f
- Fast enumeration of different base functions G

■ Cons

- Single feasible implementation of h

□ BDD

■ Cons

- Detect one choice of G at a time
- Limited to small $|G|$
- Slow enumeration of different target functions f
- Slow enumeration of different base functions G

■ Pros

- All possible implementations of h

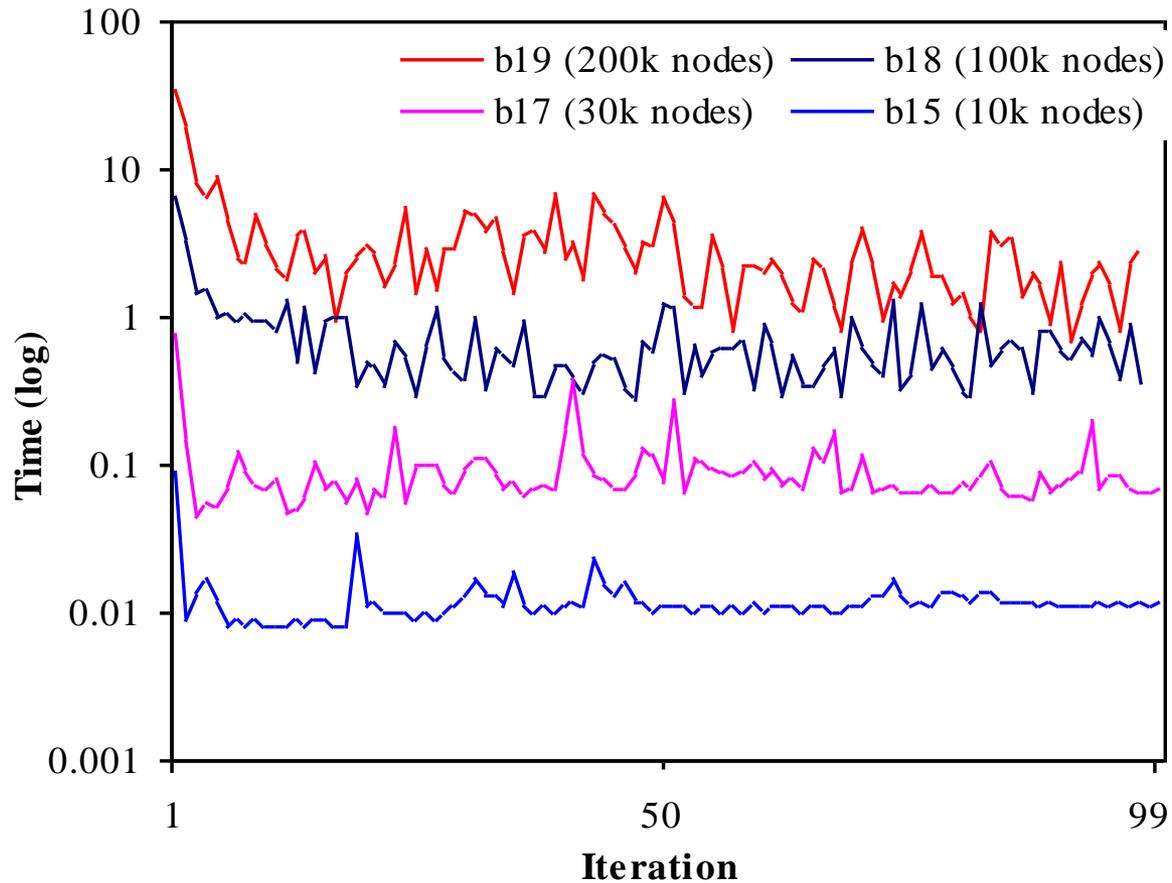
Practical Evaluation

SAT vs. BDD

| Circuit | #Nodes | Original | | | Retimed | | | SAT (original) | | BDD (original) | | SAT (retimed) | | BDD (retimed) | |
|----------|--------|----------|--------|--------|---------|--------|--------|----------------|-----|----------------|-----|---------------|-----|---------------|-----|
| | | #FF. | #Dep-S | #Dep-B | #FF. | #Dep-S | #Dep-B | Time | Mem | Time | Mem | Time | Mem | Time | Mem |
| s5378 | 2794 | 179 | 52 | 25 | 398 | 283 | 173 | 1.2 | 18 | 1.6 | 20 | 0.6 | 18 | 7 | 51 |
| s9234.1 | 5597 | 211 | 46 | x | 459 | 301 | 201 | 4.1 | 19 | x | x | 1.7 | 19 | 194.6 | 149 |
| s13207.1 | 8022 | 638 | 190 | 136 | 1930 | 802 | x | 15.6 | 22 | 31.4 | 78 | 15.3 | 22 | x | x |
| s15850.1 | 9785 | 534 | 18 | 9 | 907 | 402 | x | 23.3 | 22 | 82.6 | 94 | 7.9 | 22 | x | x |
| s35932 | 16065 | 1728 | 0 | -- | 2026 | 1170 | -- | 176.7 | 27 | 1117 | 164 | 78.1 | 27 | -- | -- |
| s38417 | 22397 | 1636 | 95 | -- | 5016 | 243 | -- | 270.3 | 30 | -- | -- | 123.1 | 32 | -- | -- |
| s38584 | 19407 | 1452 | 24 | -- | 4350 | 2569 | -- | 166.5 | 21 | -- | -- | 99.4 | 30 | 1117 | 164 |
| b12 | 946 | 121 | 4 | 2 | 170 | 66 | 33 | 0.15 | 17 | 12.8 | 38 | 0.13 | 17 | 2.5 | 42 |
| b14 | 9847 | 245 | 2 | -- | 245 | 2 | -- | 3.3 | 22 | -- | -- | 5.2 | 22 | -- | -- |
| b15 | 8367 | 449 | 0 | -- | 1134 | 793 | -- | 5.8 | 22 | -- | -- | 5.8 | 22 | -- | -- |
| b17 | 30777 | 1415 | 0 | -- | 3967 | 2350 | -- | 119.1 | 28 | -- | -- | 161.7 | 42 | -- | -- |
| b18 | 111241 | 3320 | 5 | -- | 9254 | 5723 | -- | 1414 | 100 | -- | -- | 2842.6 | 100 | -- | -- |
| b19 | 224624 | 6642 | 0 | -- | 7164 | 337 | -- | 8184.8 | 217 | -- | -- | 11040.6 | 234 | -- | -- |
| b20 | 19682 | 490 | 4 | -- | 1604 | 1167 | -- | 25.7 | 28 | -- | -- | 36 | 30 | -- | -- |
| b21 | 20027 | 490 | 4 | -- | 1950 | 1434 | -- | 24.6 | 29 | -- | -- | 36.3 | 31 | -- | -- |
| b22 | 29162 | 735 | 6 | -- | 3013 | 2217 | -- | 73.4 | 36 | -- | -- | 90.6 | 37 | -- | -- |

Practical Evaluation

Incremental SAT



Quantified Boolean Satisfiability



Quantified Boolean Formula

- A quantified Boolean formula (QBF) is often written in **prenex form** (with quantifiers placed on the left) as

$$\underbrace{Q_1 x_1, \dots, Q_n x_n}_{\text{prefix}} \cdot \underbrace{\varphi}_{\text{matrix}}$$

for $Q_i \in \{\forall, \exists\}$ and φ a quantifier-free formula

- If φ is further in CNF, the corresponding QBF is in the so-called **prenex CNF** (PCNF), the most popular QBF representation
- Any QBF can be converted to PCNF

Quantified Boolean Formula

- Quantification order matters in a QBF
- A variable x_i in $(Q_1 x_1, \dots, Q_i x_i, \dots, Q_n x_n \cdot \varphi)$ is of **level** k if there are k quantifier alternations (i.e., changing from \forall to \exists or from \exists to \forall) from Q_1 to Q_i .

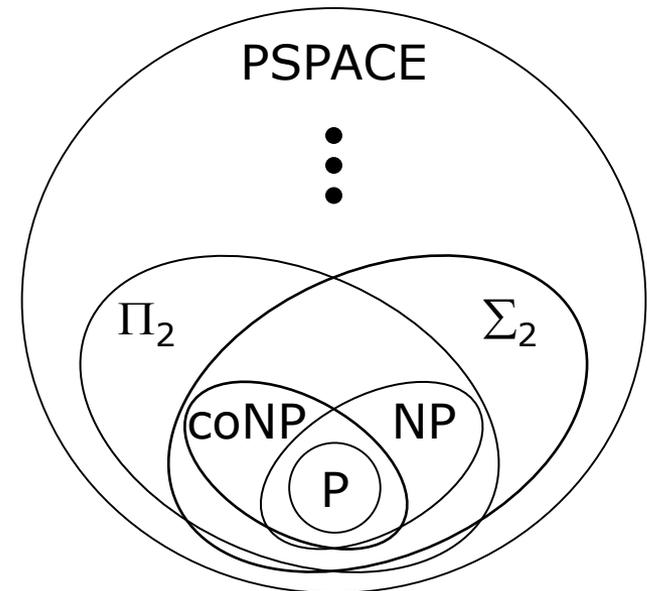
- Example

$\forall a \exists b \forall c \forall d \exists e. \varphi$

level(a)=0, level(b)=1, level(c)=2, level(d)=2,
level(e)=3

Quantified Boolean Formula

- Many decision problems can be compactly encoded in QBFs
- In theory, QBF solving (QSAT) is PSPACE complete
 - The more the quantifier alternations, the higher the complexity in the Polynomial Hierarchy
- In practice, solvable QBFs are typically of size $\sim 1,000$ variables



QBF Solver

□ QBF solver choices

■ Data structures for formula representation

□ **Prenex** vs. non-prenex

□ **Normal form** vs. non-normal form

- CNF, NNF, BDD, AIG, etc.

■ Solving mechanisms

□ **Search**, Q-resolution, Skolemization, quantifier elimination, etc.

■ Preprocessing techniques

□ Standard approach

■ Search-based PCNF formula solving (similar to SAT)

□ Both **clause learning** (from a conflicting assignment) and **cube learning** (from a satisfying assignment) are performed

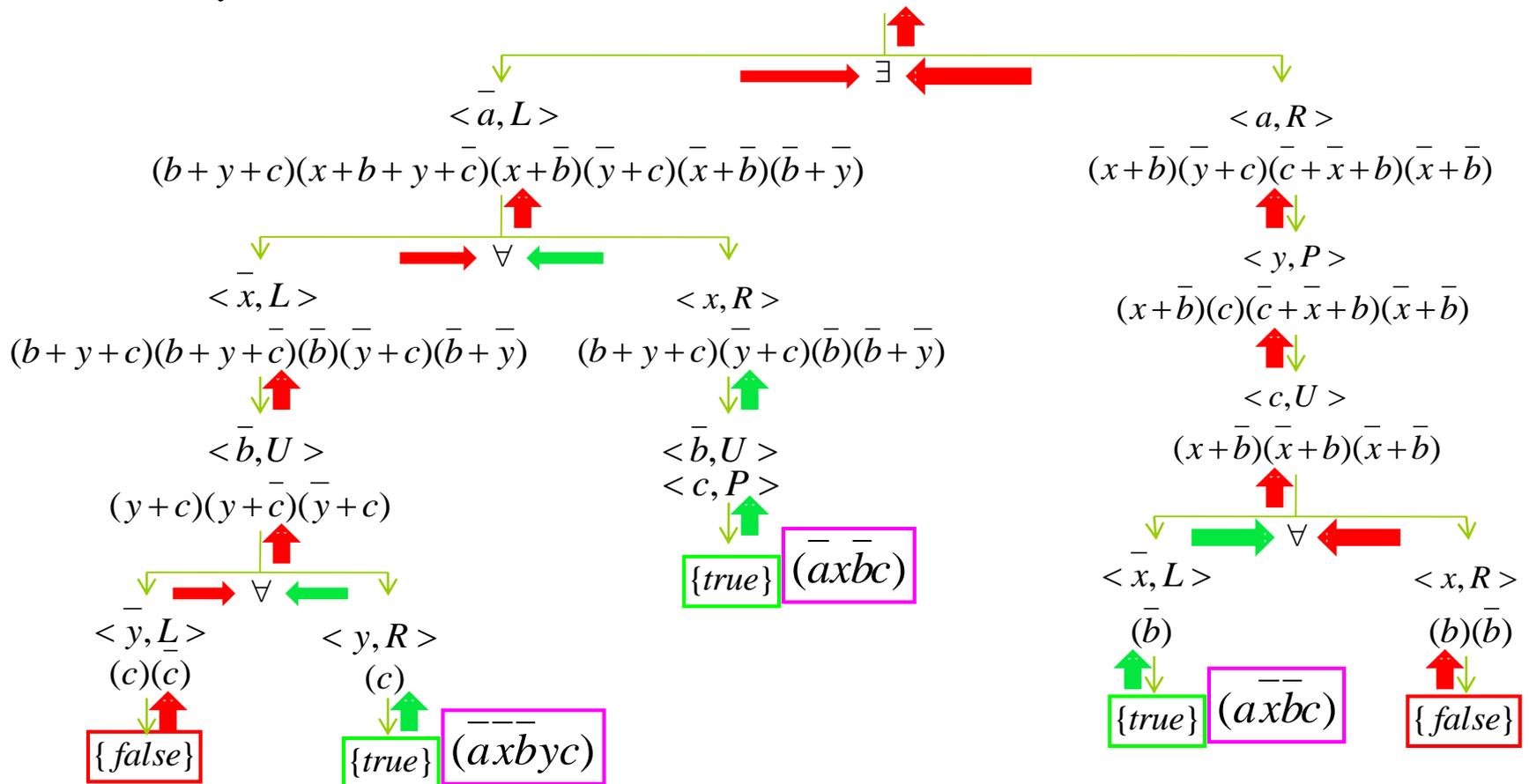
▪ Example

$\forall a \exists b \exists c \forall d \exists e. (a+c)(\neg a+\neg c)(b+\neg c+e)(\neg b)(c+d+\neg e)(\neg c+e)(\neg d+e)$
from 00101, we learn cube $\neg a\neg bc\neg d$ (can be further simplified to $\neg a$)

QBF Solving

Example

$$\exists a \forall x \exists b \forall y \exists c (a+b+y+c)(a+x+b+y+\bar{c})(x+\bar{b})(\bar{y}+c)(\bar{c}+\bar{a}+\bar{x}+b)(\bar{x}+\bar{b})(a+\bar{b}+\bar{y})$$



Q-Resolution

- **Q-resolution** on PCNF is similar to resolution on CNF, except that the pivots are restricted to existentially quantified variables and the additional rule of **\forall -reduction**

$$\frac{C_1 \vee x \quad C_2 \vee \neg x}{\forall\text{-RED}(C_1 \vee C_2)}$$

where operator \forall -RED removes from $C_1 \vee C_2$ the universally (\forall) quantified variables whose quantification levels are greater than any of the existentially (\exists) quantified variables in $C_1 \vee C_2$

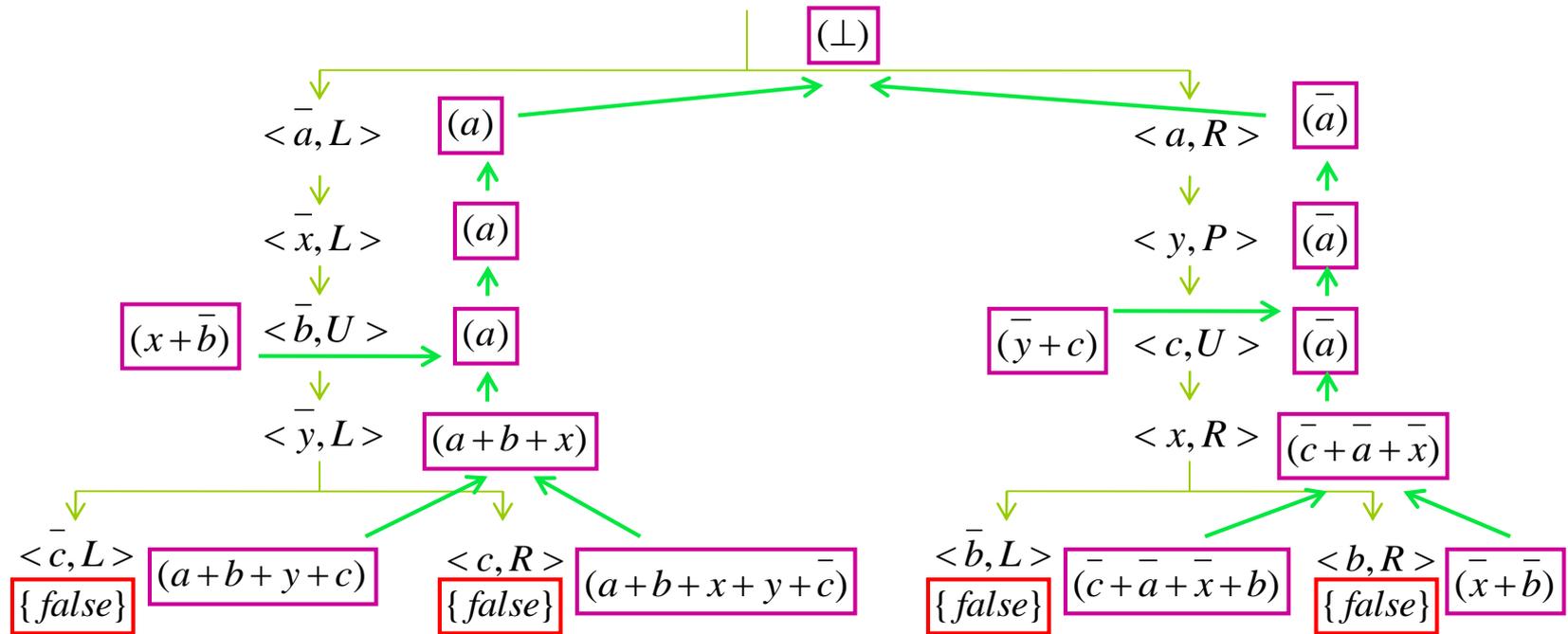
- E.g.,
prefix: $\forall a \exists b \forall c \forall d \exists e$
 \forall -RED($a+b+c+d$) = ($a+b$)

- Q-resolution is complete for QBF solving
 - A PCNF formula is unsatisfiable if and only if there exists a Q-resolution sequence leading to the empty clause

Q-Resolution

Example (cont'd)

$$\exists a \forall x \exists b \forall y \exists c (a+b+y+c)(a+x+b+y+\bar{c})(x+\bar{b})(\bar{y}+c)(\bar{c}+\bar{a}+\bar{x}+b)(\bar{x}+\bar{b})(a+\bar{b}+\bar{y})$$



Skolemization

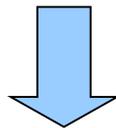
Skolemization and Skolem normal form

- Existentially quantified variables are replaced with function symbols
- QBF prefix contains only two quantification levels
 - \exists function symbols, \forall variables

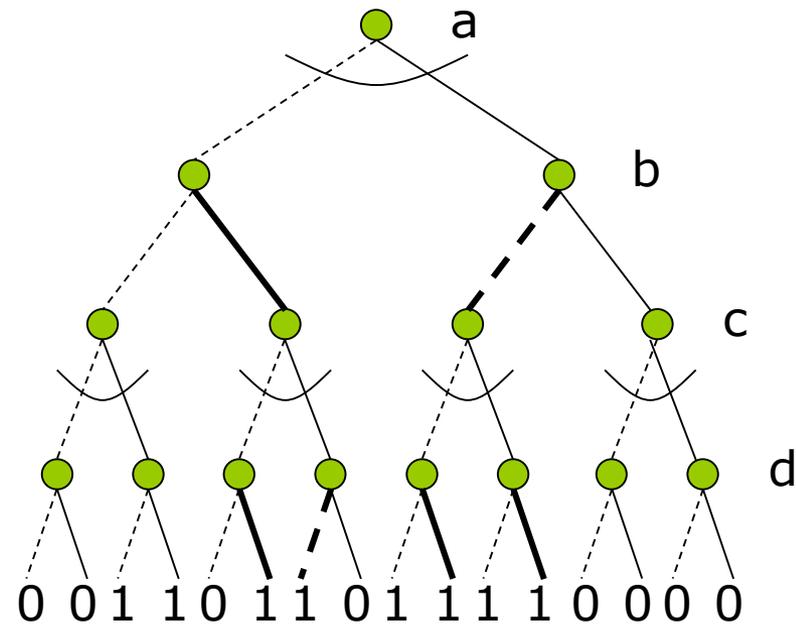
Example

$$\forall a \exists b \forall c \exists d. (\neg a + \neg b)(\neg b + \neg c + \neg d)(\neg b + c + d)(a + b + c)$$

Skolem functions



$$\exists F_b(a) \exists F_d(a, c) \forall a \forall c. (\neg a + \neg F_b)(\neg F_b + \neg c + \neg F_d)(\neg F_b + c + F_d)(a + F_b + c)$$



QBF Certification

□ QBF certification

- Ensure correctness and, more importantly, provide useful information
- Certificates
 - True QBF: term-resolution proof / Skolem-function (SF) model
 - SF model is more useful in practical applications
 - False QBF: clause-resolution proof / Herbrand-function (HF) countermodel
 - HF countermodel is more useful in practical applications

□ Solvers and certificates

- Skolemization-based solvers (e.g., sKizzo, squolem, Ebddres) can provide SFs
- Search-based solvers (e.g., DepQBF) can be instrumented to provide resolution proofs

QBF Certification

□ Solvers and certificates (prior to 2011)

| Solver | Algorithm | Certificate | |
|-----------|---------------|-----------------|-------------------|
| | | True QBF | False QBF |
| QuBE-cert | search | Cube resolution | Clause resolution |
| yQuaffle | search | Cube resolution | Clause resolution |
| Ebddres | Skolemization | Skolem function | Clause resolution |
| sKizzo | Skolemization | Skolem function | - |
| squolem | Skolemization | Skolem function | Clause resolution |

QBF Certification

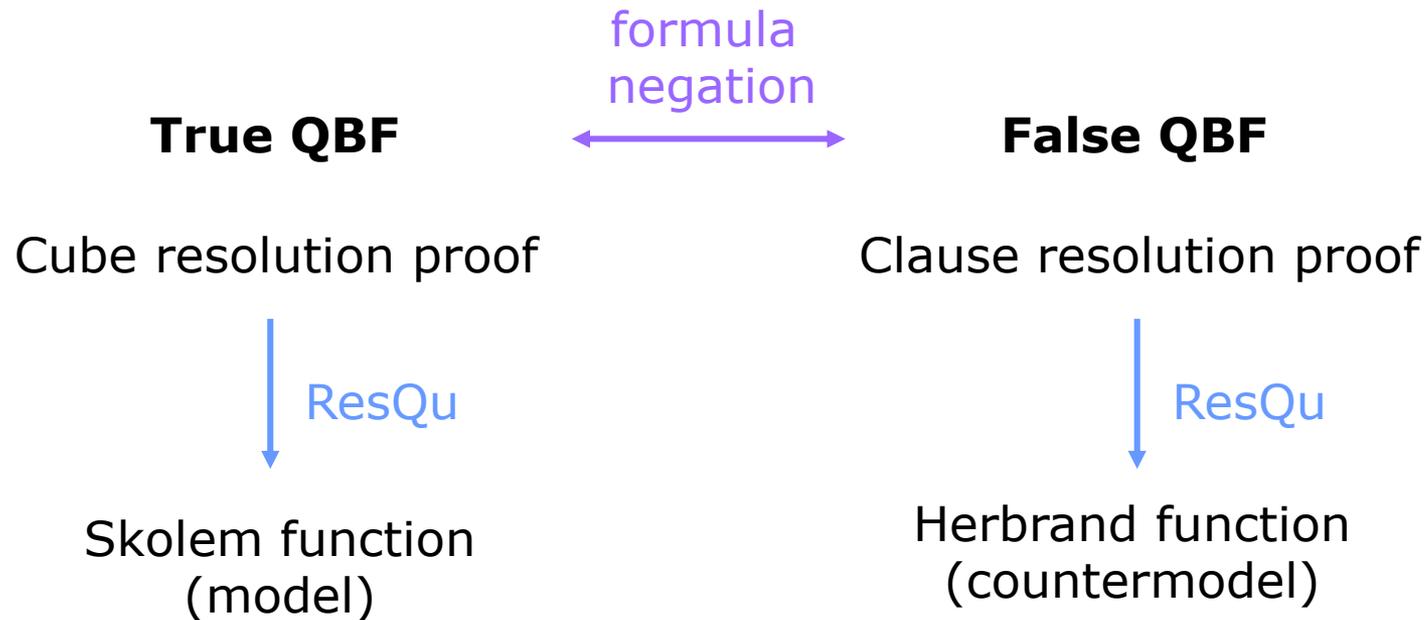
- Incomplete picture of QBF certification (prior to 2011)

| | Syntactic Certificate | Semantic Certificate |
|-----------|-------------------------|-----------------------|
| True QBF | Cube-resolution proof | Skolem-function model |
| False QBF | Clause-resolution proof | ? |

- Missing piece found
 - Herbrand-function countermodel
 - [Balabanov, J, 2011 ([ResQu](#))]
 - Syntactic to semantic certificate conversion
 - Linear time [Balabanov, J, 2011 ([ResQu](#))]

QBF Certification

□ Unified QBF certification



ResQu

□ A Skolem-function model (Herbrand-function countermodel) for a true (false) QBF can be derived from its cube (clause) resolution proof

□ A **Right-First-And-Or (RFAO) formula** is recursively defined as follows.

$\varphi := \text{clause} \mid \text{cube} \mid \text{clause} \wedge \varphi \mid \text{cube} \vee \varphi$

■ E.g.,

$$\begin{aligned} & (a'+b) \wedge ac \vee (b'+c') \wedge bc \\ & = ((a'+b) \wedge (ac \vee ((b'+c') \wedge bc))) \end{aligned}$$

ResQu

Countermodel_construct

input: a false QBF Φ and its clause-resolution DAG $G_{\Pi}(V_{\Pi}, E_{\Pi})$

output: a countermodel in RFAO formulas

begin

01 **foreach** universal variable x of Φ

02 RFAO_node_array[x] := \emptyset ;

03 **foreach** vertex v of G_{Π} in topological order

04 **if** v .clause resulted from \forall -reduction on u .clause, i.e., $(u, v) \in E_{\Pi}$

05 v .cube := $\neg(v$.clause);

06 **foreach** universal variable x reduced from u .clause to get v .clause

07 **if** x appears as positive literal in u .clause

08 **push** v .clause to RFAO_node_array[x];

09 **else if** x appears as negative literal in u .clause

10 **push** v .cube to RFAO_node_array[x];

11 **if** v .clause is the empty clause

12 **foreach** universal variable x of Φ

13 simplify RFAO_node_array[x];

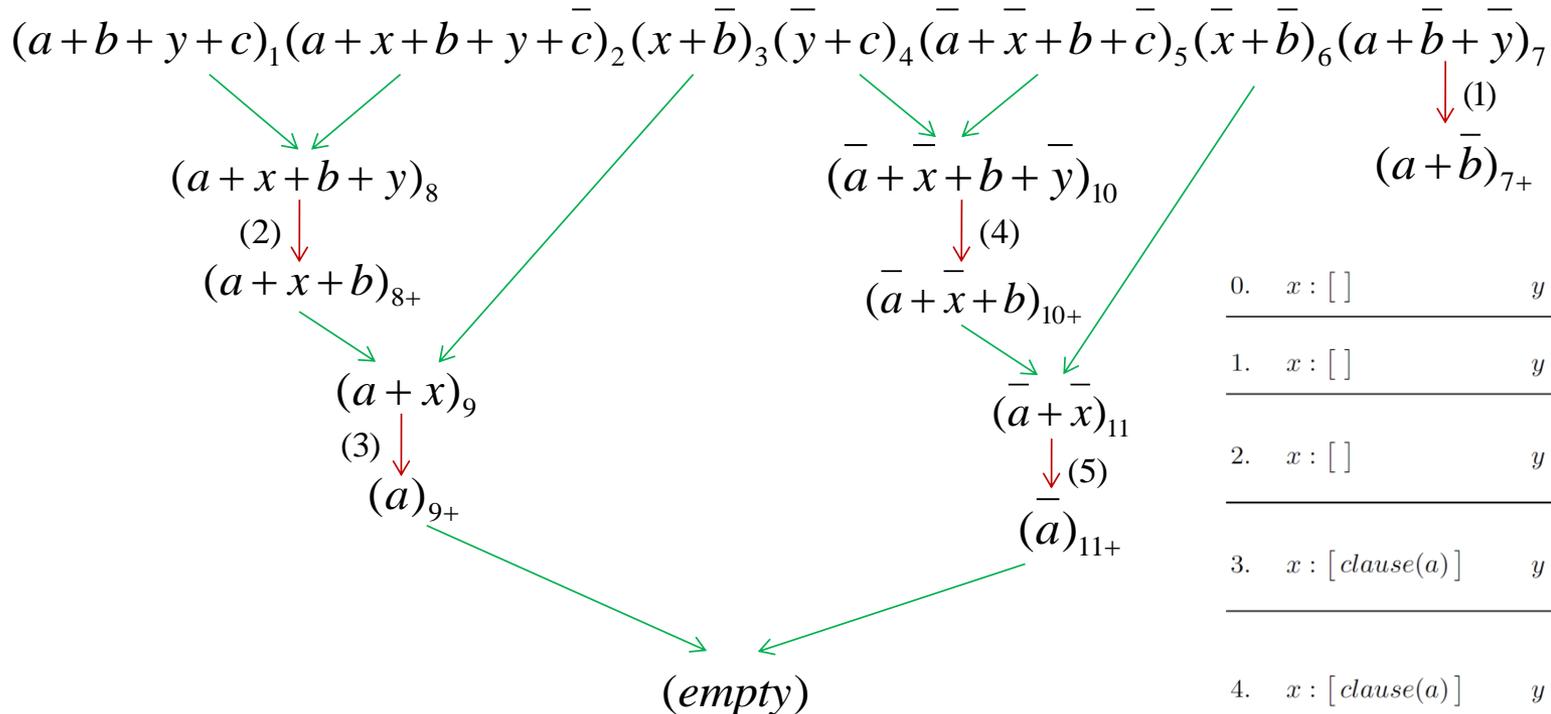
14 **return** RFAO_node_array's;

end

ResQu

Example

■ $\exists a \forall x \exists b \forall y \exists c$



| | | |
|----|----------------------------|--|
| 0. | $x : []$ | $y : []$ |
| 1. | $x : []$ | $y : [cube(\bar{a}b)]$ |
| 2. | $x : []$ | $y : [cube(\bar{a}b), clause(a + x + b)]$ |
| 3. | $x : [clause(a)]$ | $y : [cube(\bar{a}b), clause(a + x + b)]$ |
| 4. | $x : [clause(a)]$ | $y : [cube(\bar{a}b), clause(a + x + b), cube(ax\bar{b})]$ |
| 5. | $x : [clause(a), cube(a)]$ | $y : [cube(\bar{a}b), clause(a + x + b), cube(ax\bar{b})]$ |

QBF Certification

- Applications of Skolem/Herbrand functions
 - Program synthesis
 - Winning strategy synthesis in two player games
 - Plan derivation in AI
 - Logic synthesis
 - ...

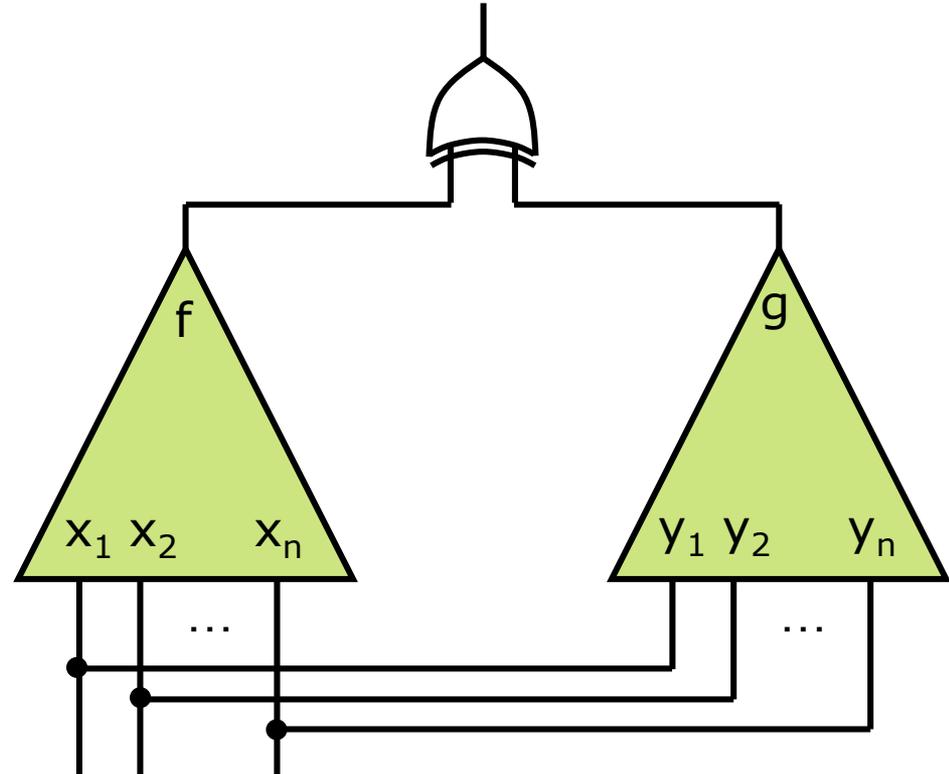
QSAT & Logic Synthesis

Boolean Matching



Introduction

- Combinational equivalence checking (CEC)
 - Known input correspondence
 - coNP-complete
 - Well solved in practical applications



Introduction

□ Boolean matching

■ P-equivalence

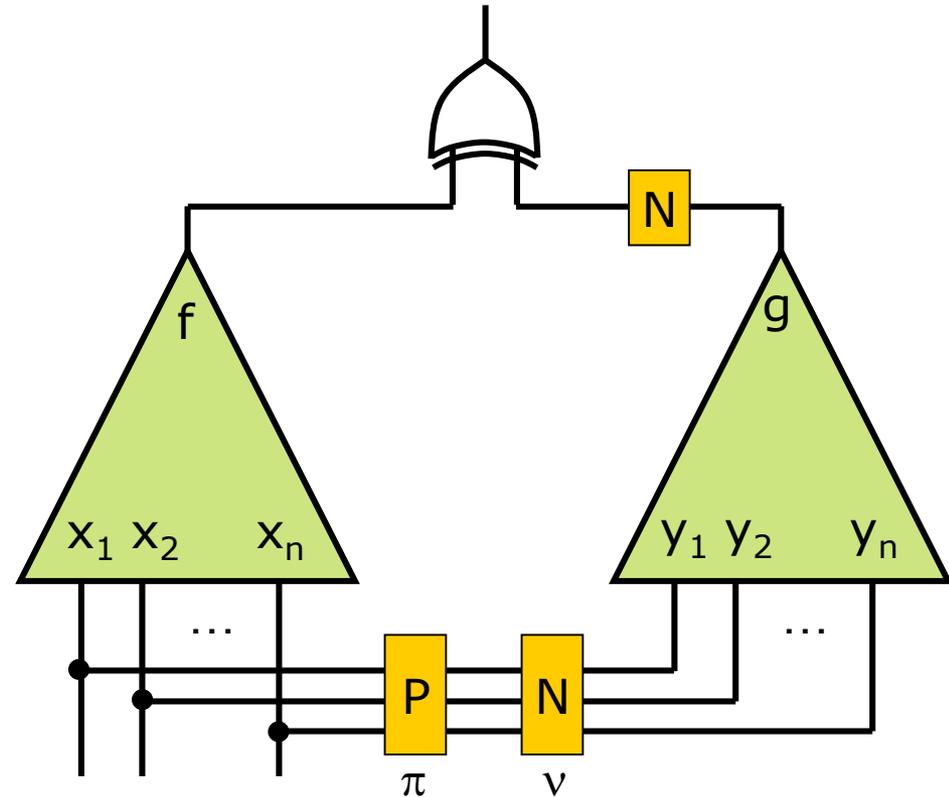
- Unknown input permutation
- $O(n!)$ CEC iterations

■ NP-equivalence

- Unknown input negation and permutation
- $O(2^n n!)$ CEC iterations

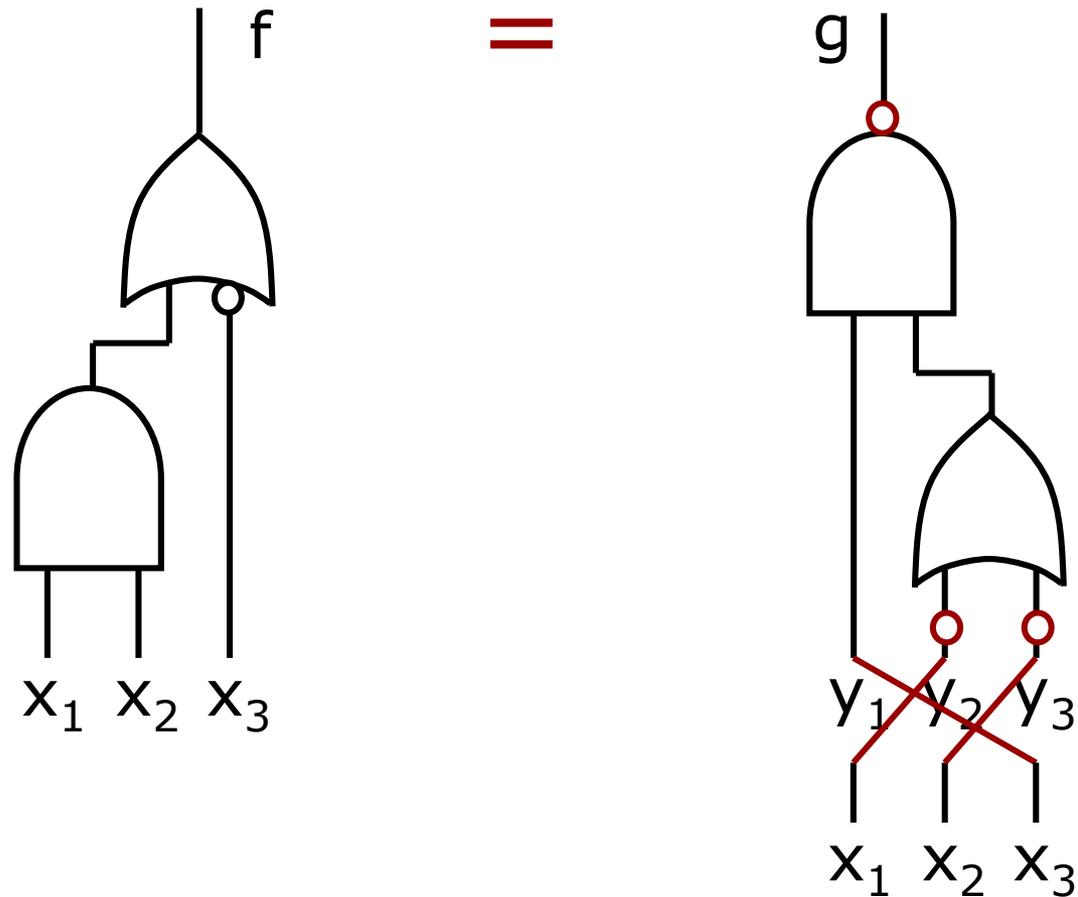
■ NPN-equivalence

- Unknown input negation, input permutation, and output negation
- $O(2^{n+1} n!)$ CEC iterations



Introduction

□ Example



Introduction

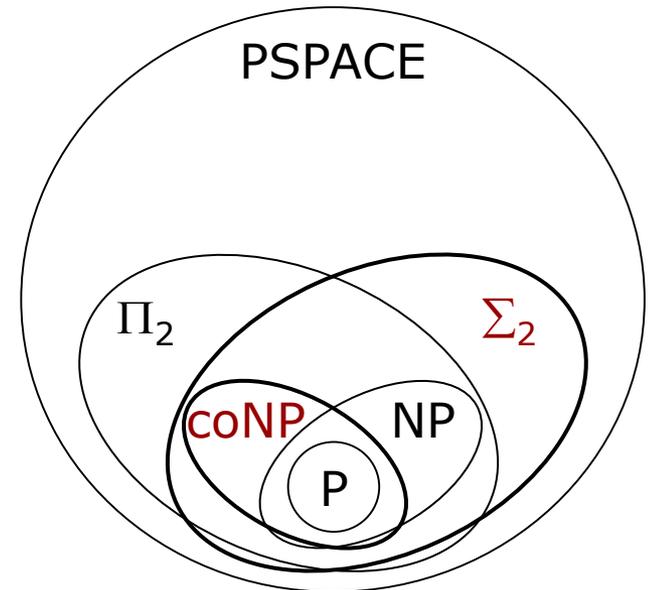
□ Motivations

■ Theoretically

- Complexity in between coNP (for all ...) and Σ_2 (there exists ... for all ...) in the Polynomial Hierarchy (PH)
 - Special candidate to test PH collapse
- Known as Boolean congruence/isomorphism dating back to the 19th century

■ Practically

- Broad applications
 - Library binding
 - FPGA technology mapping
 - Detection of generalized symmetry
 - Logic verification
 - Design debugging/rectification
 - Functional engineering change order
- Intensively studied over the last two decades



Introduction

□ Prior methods

| | Complete ? | Function type | Equivalence type | Solution type | Scalability |
|------------------------------|------------|---------------|------------------|---------------|-------------|
| Spectral methods | yes | CS | mostly P | one | -- |
| Signature based methods | no | mostly CS | P/NP | N/A | - ~ ++ |
| Canonical-form based methods | yes | CS | mostly P | one | + |
| SAT based methods | yes | CS | mostly P | one/all | + |
| BooM (QBF/SAT-like) | yes | CS / IS | NPN | one/all | ++ |

CS: completely specified
IS: incompletely specified

BooM: A Fast Boolean Matcher

□ Features of BooM

- General computation framework
- Effective search space reduction techniques
 - **Dynamic learning** and **abstraction**
- Theoretical SAT-iteration upper-bound:

~~$O(2^{2n})$~~ $O(2^{2n})$

Formulation

- Reduce NPN-equiv to 2 NP-equiv checks

- Matching f and g; matching f and $\neg g$

- 2nd order formula of NP-equivalence

$$\exists v \circ \pi, \forall X ((f_c(X) \wedge g_c(v \circ \pi(X))) \Rightarrow (f(X) \equiv g(v \circ \pi(X))))$$

- f_c and g_c are the care conditions of f and g, respectively

- Need 1st order formula instead for SAT solving

Formulation

□ 0-1 matrix representation of $\nu \circ \pi$

$$\begin{array}{c} y_1 \\ y_2 \\ \vdots \\ y_n \end{array} \begin{pmatrix} x_1 & \neg x_1 & x_2 & \neg x_2 & \cdots & x_n & \neg x_n \\ a_{11} & b_{11} & a_{12} & b_{12} & \cdots & a_{1n} & b_{1n} \\ a_{21} & b_{21} & a_{22} & b_{22} & \cdots & a_{2n} & b_{2n} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ a_{n1} & b_{n1} & a_{n2} & b_{n2} & \cdots & a_{nn} & b_{nn} \end{pmatrix} \begin{array}{l} \\ \Sigma = 1 \\ \\ \\ \Sigma = 1 \end{array}$$

$$a_{ij} \Rightarrow (x_j \equiv y_i)$$

$$b_{ij} \Rightarrow (\neg x_j \equiv y_i)$$

Formulation

- Quantified Boolean formula (QBF) for NP-equivalence

$$\exists \mathbf{a}, \exists \mathbf{b}, \forall \mathbf{x}, \forall \mathbf{y} (\varphi_C \wedge \varphi_A \wedge ((\mathbf{f}_C \wedge \mathbf{g}_C) \Rightarrow (\mathbf{f} \equiv \mathbf{g})))$$

- φ_C : cardinality constraint
- φ_A : $\bigwedge_{i,j} (a_{ij} \Rightarrow (y_i \equiv x_j)) (b_{ij} \Rightarrow (y_i \equiv \neg x_j))$

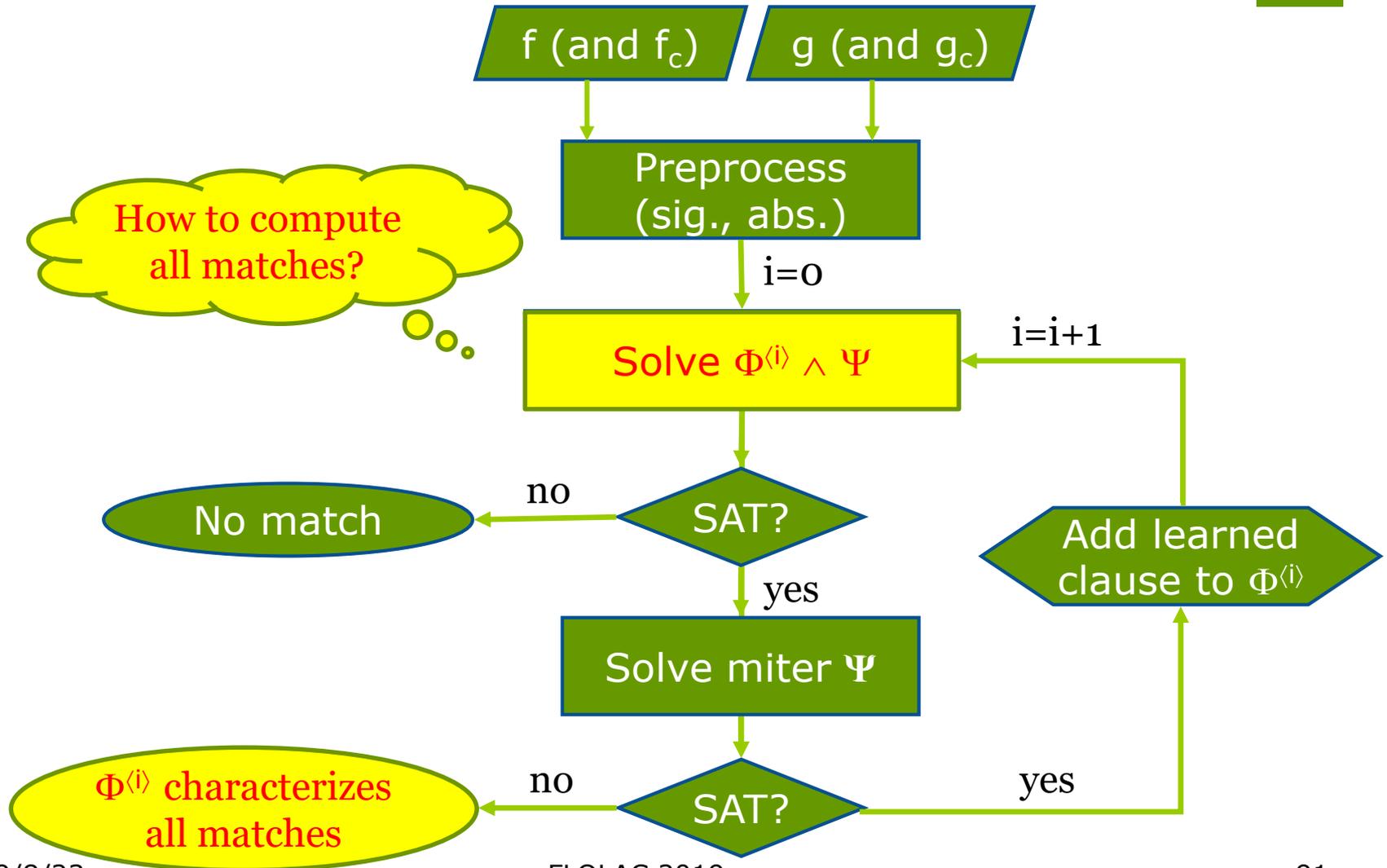
- Look for an assignment to a- and b-variables that satisfies φ_C and makes the **miter constraint**

$$\Psi = \varphi_A \wedge (\mathbf{f} \neq \mathbf{g}) \wedge \mathbf{f}_C \wedge \mathbf{g}_C$$

unsatisfiable

- Refine φ_C iteratively in a sequence $\Phi^{(0)}, \Phi^{(1)}, \dots, \Phi^{(k)}$, for $\Phi^{(i+1)} \Rightarrow \Phi^{(i)}$ through **conflict-based learning**

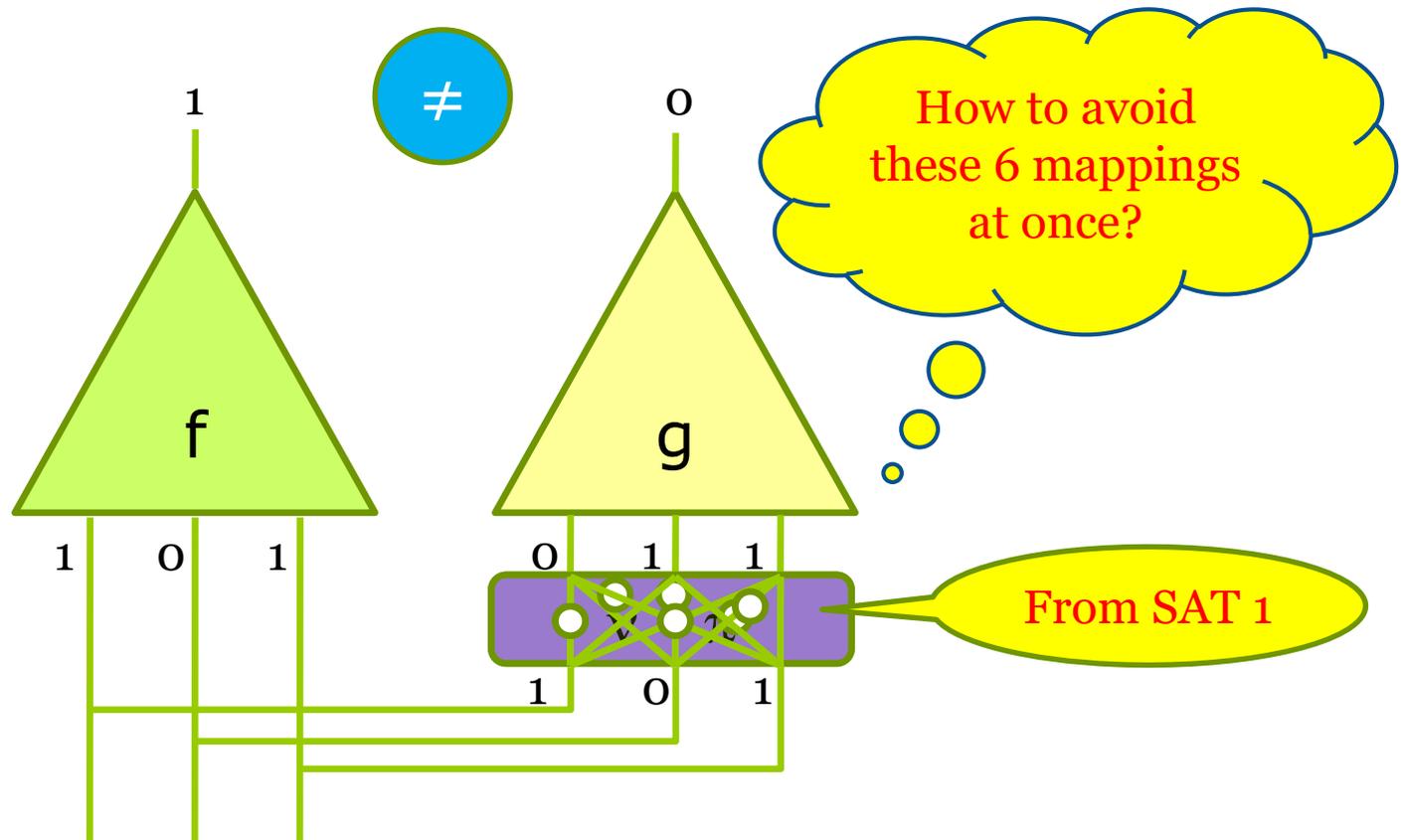
BooM Flow



NP-Equivalence

Conflict-based Learning

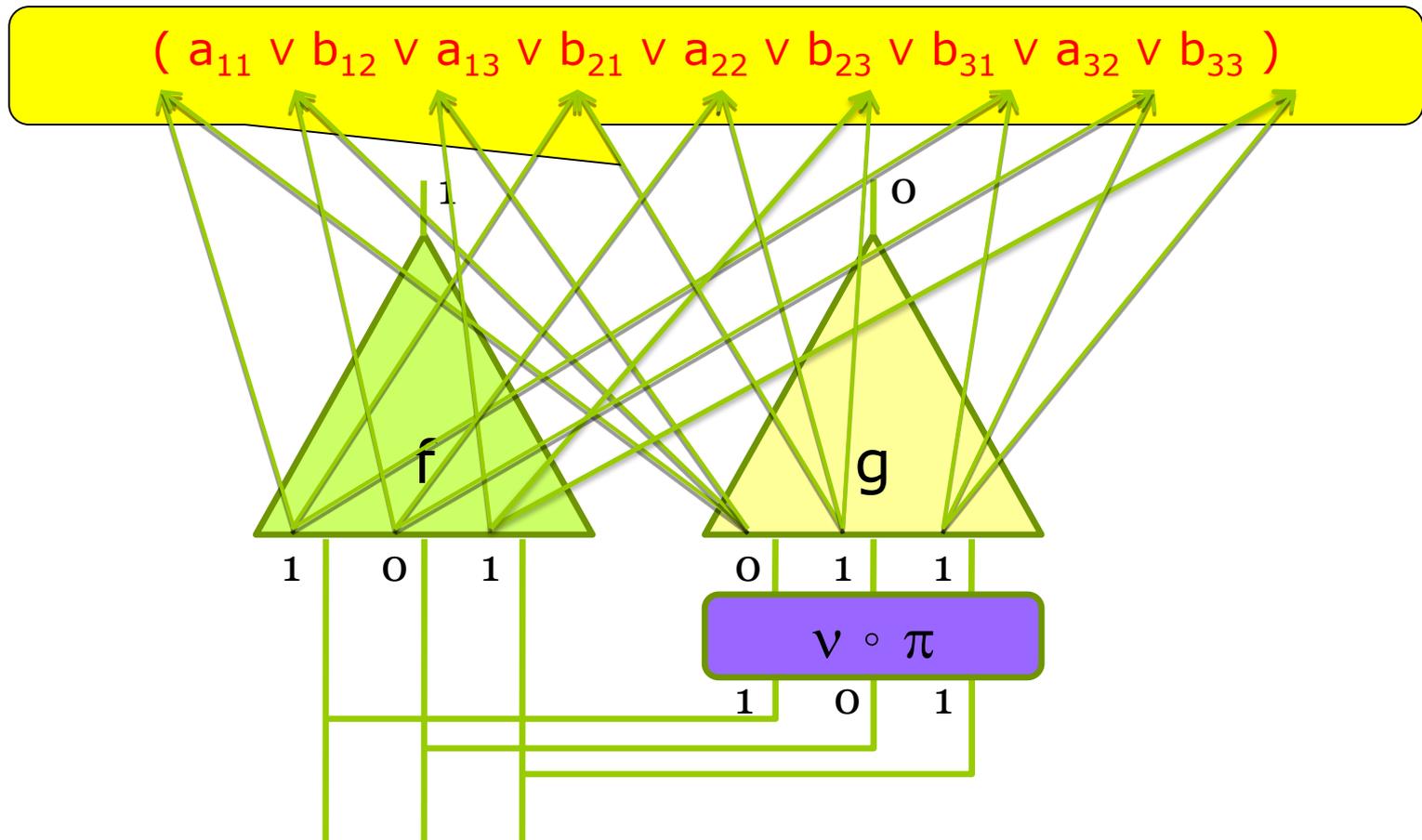
□ Observation



NP-Equivalence

Conflict-based Learning

□ Learnt clause generation



NP-Equivalence

Conflict-based Learning

□ Proposition:

If $f(u) \neq g(v)$ with $v = v \circ \pi(u)$ for some $v \circ \pi$ satisfying $\Phi^{(i)}$, then the learned clause $\bigvee_{ij} l_{ij}$ for literals

$$l_{ij} = (v_i \neq u_j) ? a_{ij} : b_{ij}$$

excludes from $\Phi^{(i)}$ the mappings $\{v' \circ \pi' \mid v' \circ \pi'(u) = v \circ \pi(u)\}$

□ Proposition:

The learned clause prunes $n!$ infeasible mappings

□ Proposition:

The refinement process $\Phi^{(0)}, \Phi^{(1)}, \dots, \Phi^{(k)}$ is bounded by 2^{2n} iterations

NP-Equivalence Abstraction

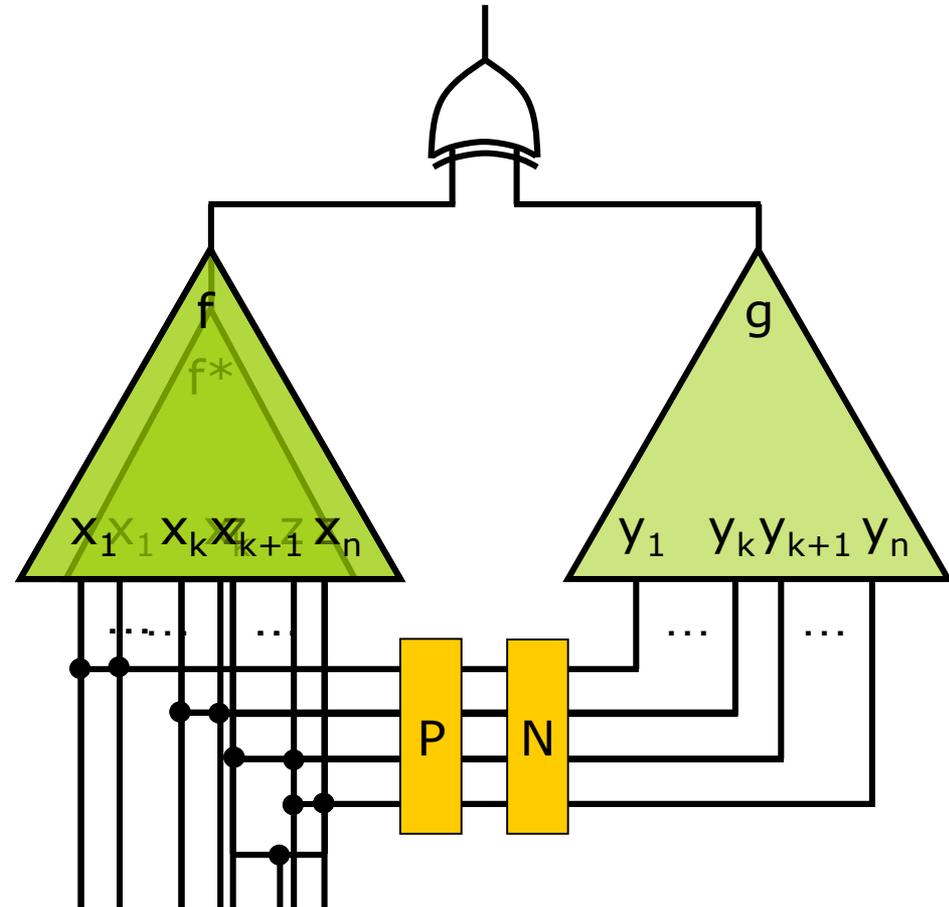
□ Abstract Boolean matching

■ Abstract

$f(x_1, \dots, x_k, x_{k+1}, \dots, x_n)$ to
 $f(x_1, \dots, x_k, z, \dots, z) =$
 $f^*(x_1, \dots, x_k, z)$

■ Match $g(y_1, \dots, y_n)$ against $f^*(x_1, \dots, x_k, z)$

■ Infeasible matching solutions of f^* and g are also infeasible for f and g



NP-Equivalence Abstraction

- Abstract Boolean matching
 - Similar matrix representation of negation/permutation

$$\begin{array}{c}
 y_1 \\
 y_2 \\
 \vdots \\
 y_n
 \end{array}
 \left(
 \begin{array}{cccccc}
 x_1^* & \neg x_1^* & \cdots & x_k^* & \neg x_k^* & z & \neg z \\
 a_{11} & b_{11} & \cdots & a_{1k} & b_{1k} & a_{1(k+1)} & b_{1(k+1)} \\
 a_{21} & b_{21} & \cdots & a_{2k} & b_{2k} & a_{2(k+1)} & b_{2(k+1)} \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 a_{n1} & b_{n1} & \cdots & a_{nk} & b_{nk} & a_{n(k+1)} & b_{n(k+1)}
 \end{array}
 \right) \Sigma = 1$$

$\Sigma = 1$

- Similar cardinality constraints, except for allowing multiple y-variables mapped to z

NP-Equivalence Abstraction

- Used for preprocessing
- Information learned for abstract model is valid for concrete model
- Simplified matching in reduced Boolean space

P-Equivalence

Conflict-based Learning

□ Proposition:

If $f(u) \neq g(v)$ with $v = \pi(u)$ for some π satisfying $\Phi^{(i)}$, then the learned clause $\bigvee_{ij} l_{ij}$ for literals

$$l_{ij} = (v_i=0 \text{ and } u_j=1) ? a_{ij} : \emptyset$$

excludes from $\Phi^{(i)}$ the mappings $\{\pi' \mid \pi'(u) = \pi(u)\}$

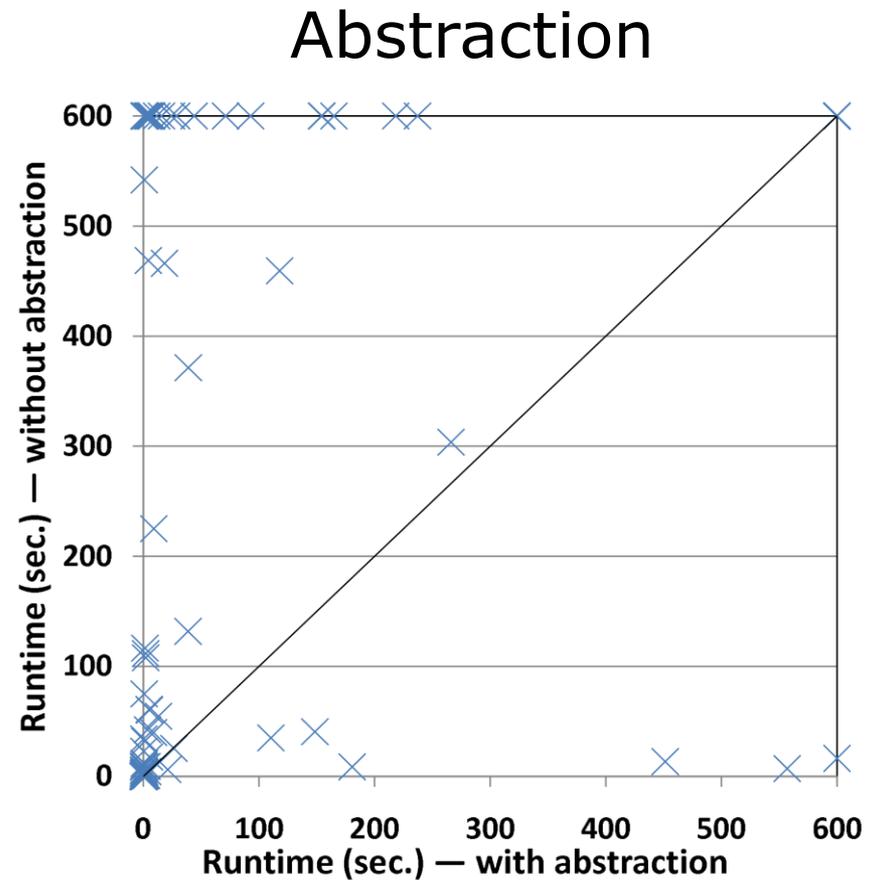
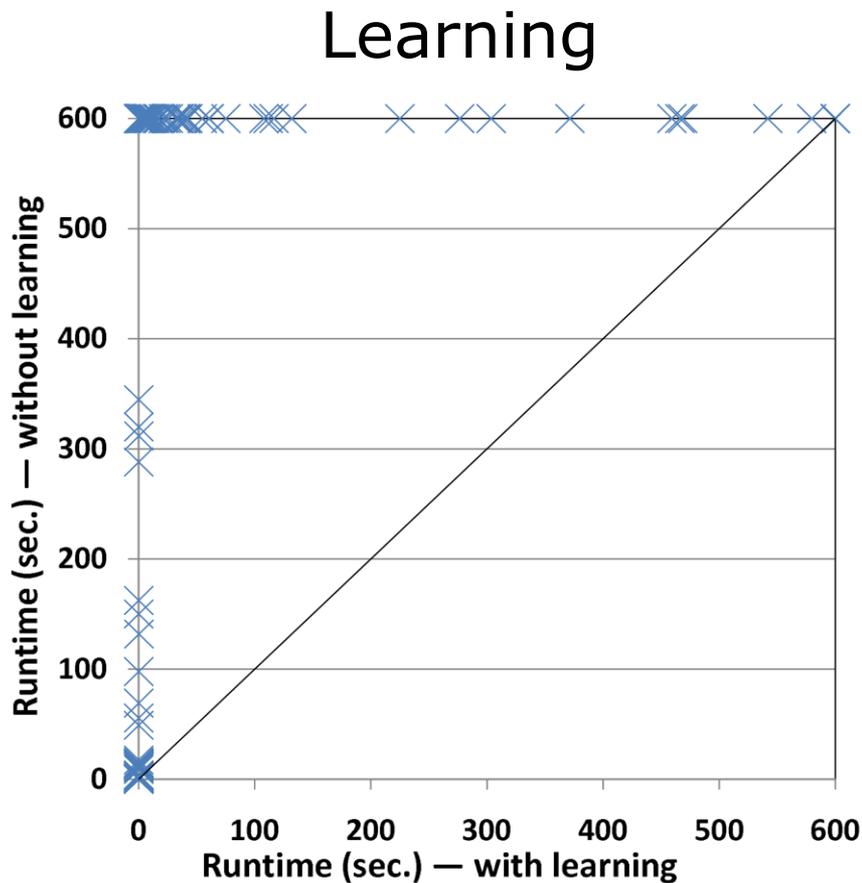
P-Equivalence Abstraction

- Abstraction enforces search in biased truth assignments and makes learning strong
 - For f^* having k support variables, a learned clause converted back to the concrete model consists of at most $(k-1)(n-k+1)$ literals

Practical Evaluation

- BooM implemented in ABC using MiniSAT
- A function is matched against its synthesized, and input-permuted/negated version
 - Match individual output functions of MCNC, ISCAS, ITC benchmark circuits
 - 717 functions with 10~39 support variables and 15~2160 AIG nodes
 - Time-limit 600 seconds
 - Baseline preprocessing exploits symmetry, unateness, and simulation for initial matching

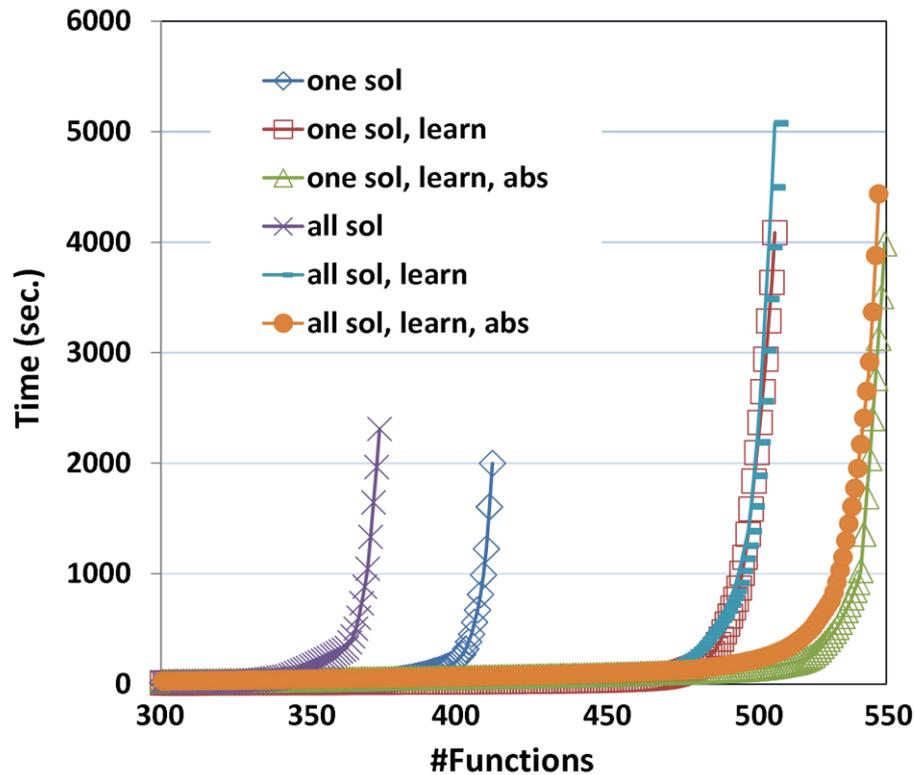
Practical Evaluation



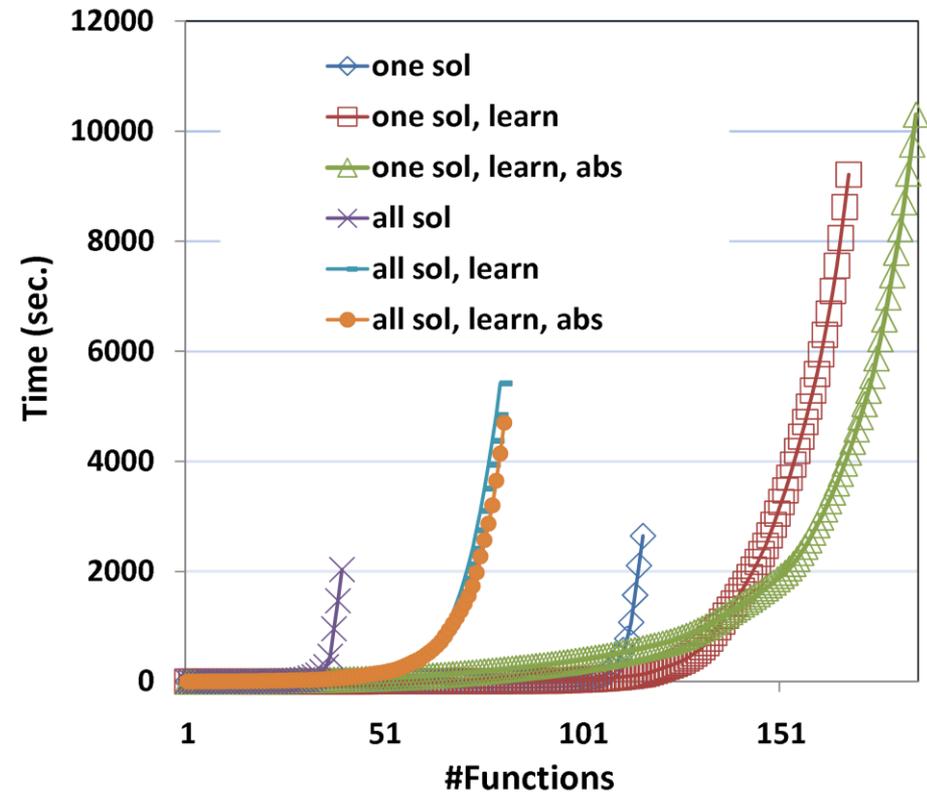
(P-equivalence; find all matches)

Practical Evaluation

P-equivalence

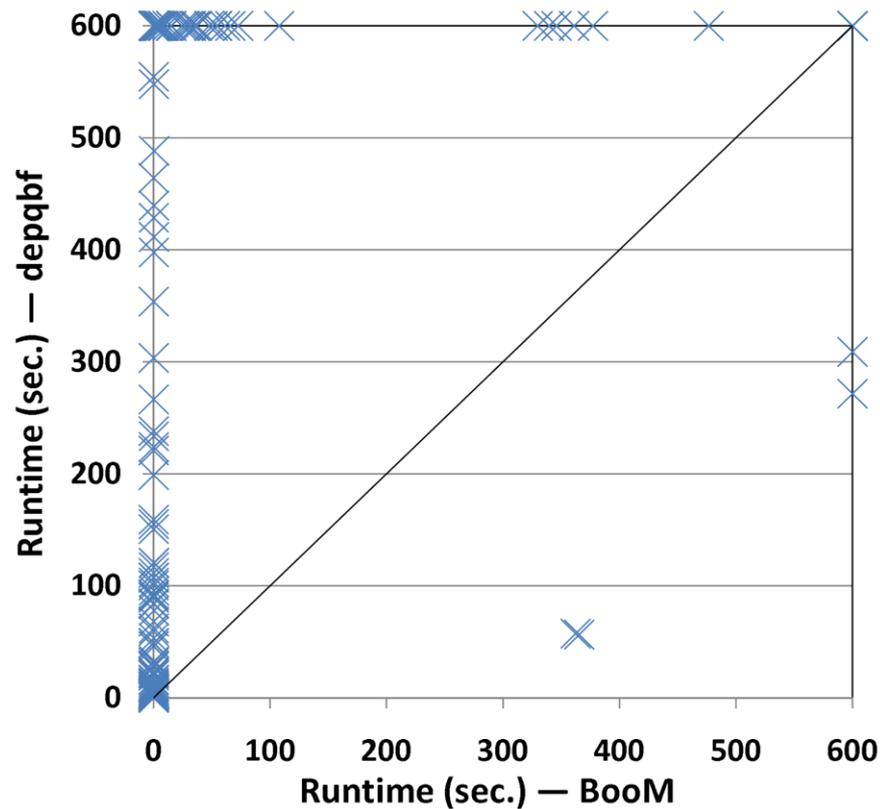


NP-equivalence



Practical Evaluation

BooM vs. DepQBF



(runtime after same preprocessing;
P-equivalence; find one match)

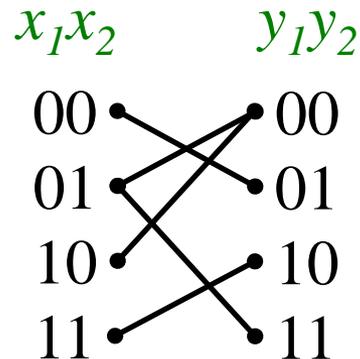
QSAT & Logic Synthesis

Relation Determinization

Relation vs. Function

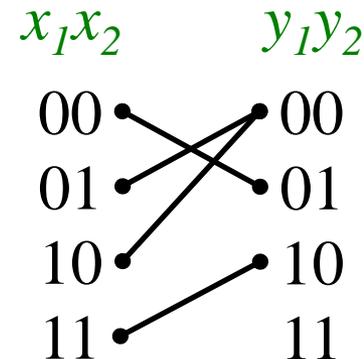
□ Relation $R(X, Y)$

- Allow one-to-many mappings
 - Can describe non-deterministic behavior
- More generic than functions



□ Function $F(X)$

- Disallow one-to-many mappings
 - Can only describe deterministic behavior
- A special case of relation

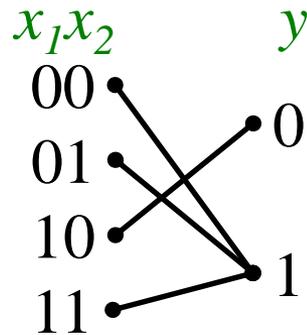


$$f_1 = x_1 x_2$$
$$f_2 = \neg x_1 \neg x_2$$

Relation

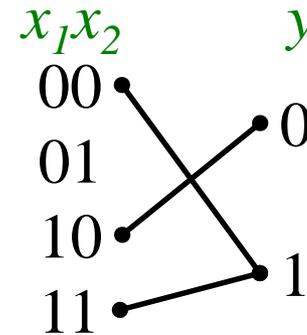
□ Total relation

- Every input element is mapped to at least one output element



□ Partial relation

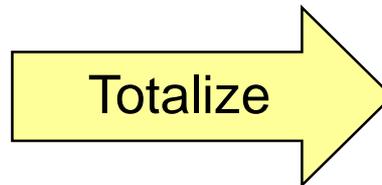
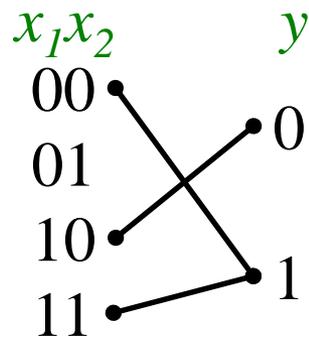
- Some input element is not mapped to any output element



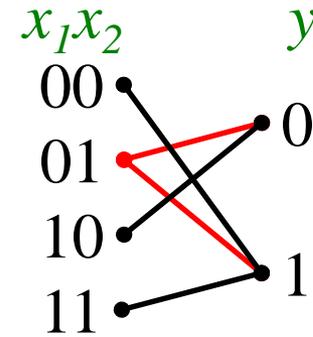
Relation

- A partial relation can be **totalized**
 - Assume that the input element not mapped to any output element is a don't care

Partial relation



Total relation

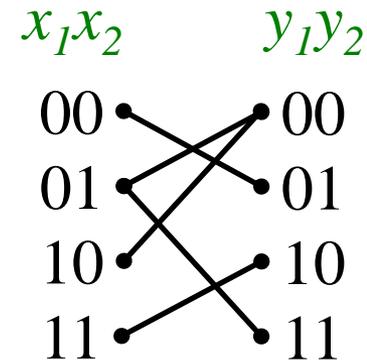


$$T(X, y) = R(X, y) \vee \forall y. \neg R(X, y)$$

Motivation

□ Applications of Boolean relation

- In high-level design, Boolean relations can be used to describe (nondeterministic) specifications
- In gate-level design, Boolean relations can be used to characterize the flexibility of sub-circuits
 - Boolean relations are more powerful than traditional don't-care representations



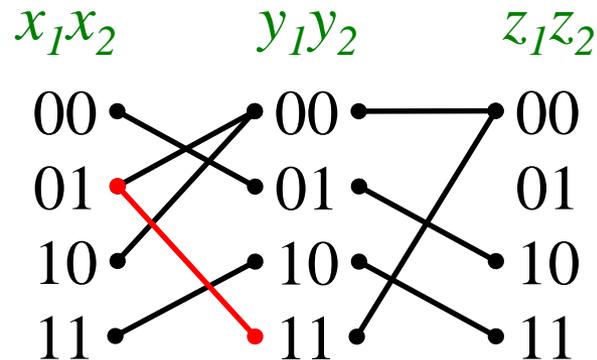
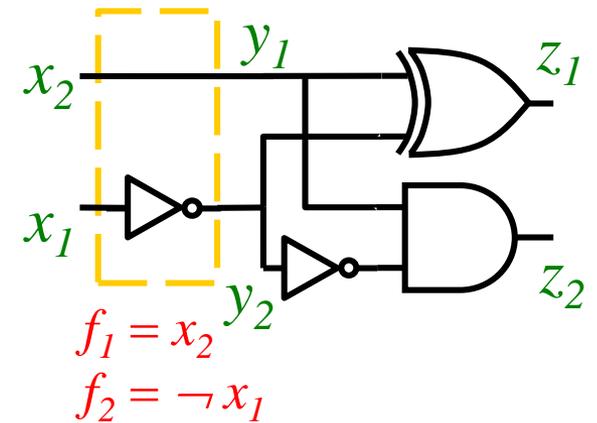
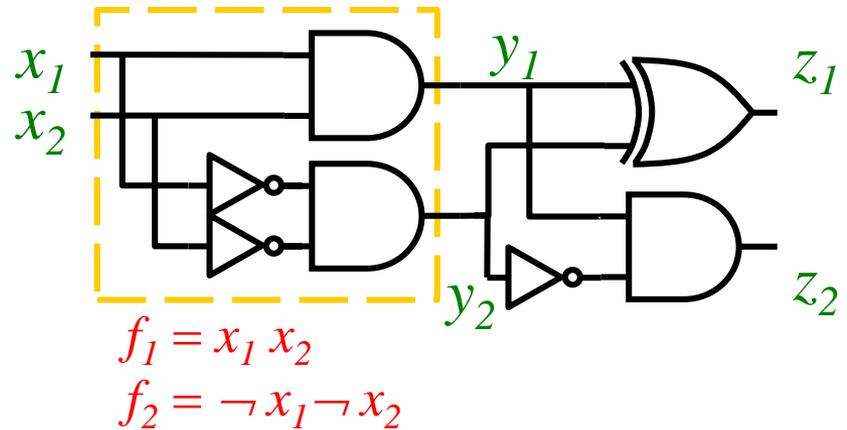
Motivation

□ Relation determinization

- For hardware implement of a system, we need functions rather than relations
 - Physical realization are deterministic by nature
 - One input stimulus results in one output response
- To simplify implementation, we can explore the flexibilities described by a relation for optimization

Motivation

Example



Relation Determinization

- Given a *nondeterministic* Boolean relation $R(X, Y)$, how to determinize and extract functions from it?
- For a deterministic total relation, we can uniquely extract the corresponding functions

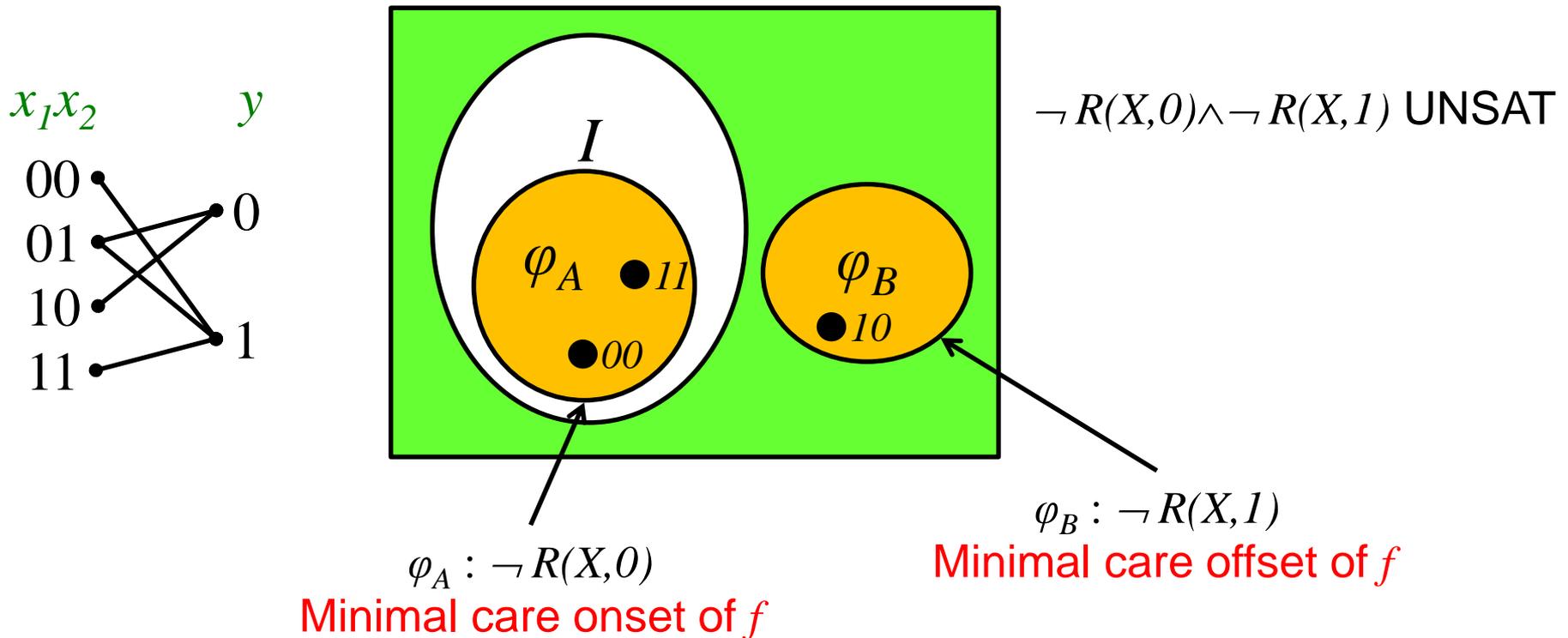
Relation Determinization

- Approaches to relation determinization
 - Iterative method (determinize one output at a time)
 - BDD- or SOP-based representation
 - Not scalable
 - Better optimization
 - AIG representation
 - Focus on scalability with reasonable optimization quality
 - Non-iterative method (determinize all outputs at once)
 - QBF solving

Iterative Relation Determinization

□ Single-output relation

- For a single-output **total relation** $R(X, y)$, we derive a function f for variable y using interpolation



Iterative Relation Determinization

□ Multi-output relation

■ Two-phase computation:

1. Backward reduction

- Reduce to single-output case

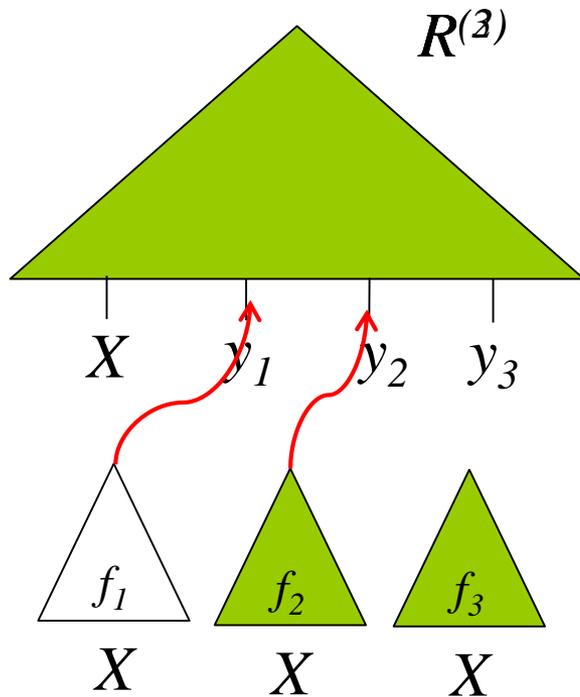
$$R(X, y_1, \dots, y_n) \rightarrow \exists y_2, \dots, \exists y_n. R(X, y_1, \dots, y_n)$$

2. Forward substitution

- Extract functions

Iterative Relation Determinization

□ Example



Phase1: (expansion reduction)

$$\exists y_3. R(X, y_1, y_2, y_3) \rightarrow R^{(3)}(X, y_1, y_2)$$

$$\exists y_2. R^{(3)}(X, y_1, y_2) \rightarrow R^{(2)}(X, y_1)$$

Phase2:

$$R^{(2)}(X, y_1) \rightarrow y_1 = f_1(X)$$

$$R^{(3)}(X, y_1, y_2) \rightarrow R^{(3)}(X, f_1(X), y_2) \rightarrow y_2 = f_2(X)$$

$$R(X, y_1, y_2, y_3) \rightarrow R(X, f_1(X), f_2(X), y_2) \rightarrow y_3 = f_3(X)$$

Non-Iterative Relation Determinization

□ Solve QBF

$$\forall x_1, \dots, \forall x_m, \exists y_1, \dots, \exists y_n. R(x_1, \dots, x_m, y_1, \dots, y_n)$$

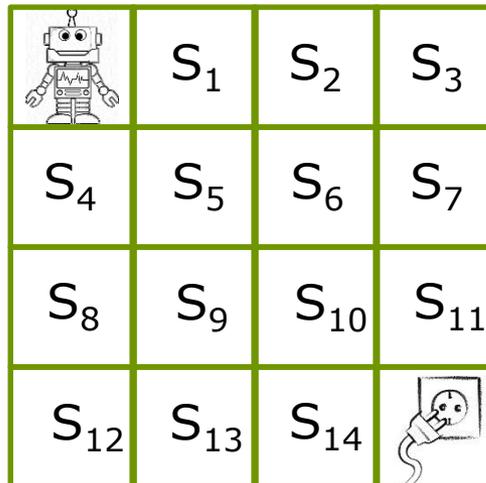
- The Skolem functions of variables y_1, \dots, y_n correspond to the functions we want

Stochastic Boolean Satisfiability



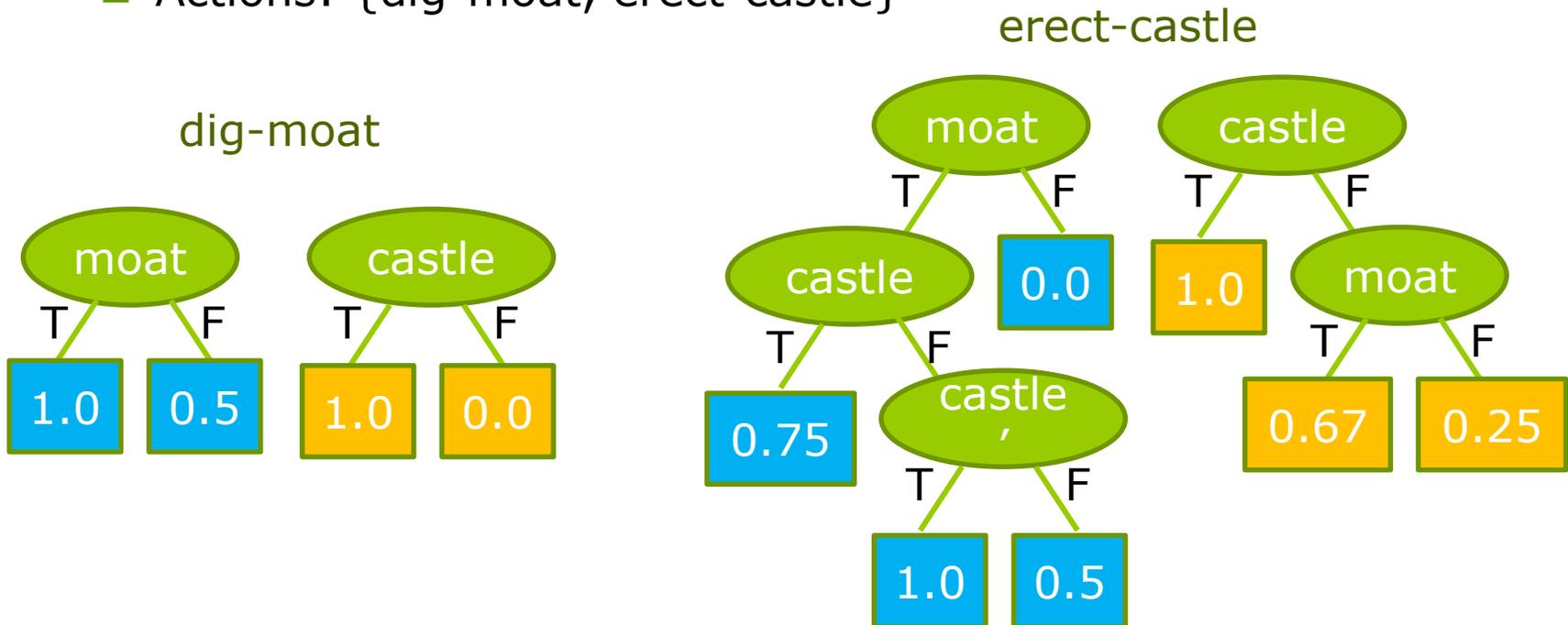
Decision under Uncertainty (Example 1)

- Probabilistic planning: Robot charge [Huang 06]
 - States: $\{S_0, \dots, S_{15}\}$
 - Initial state: S_0 ; goal state: S_{15}
 - Actions: $\{\uparrow, \downarrow, \leftarrow, \rightarrow\}$
 - Succeed with prob. 0,8
 - Proceed to its right w.r.t. the intended direction with prob. 0,2



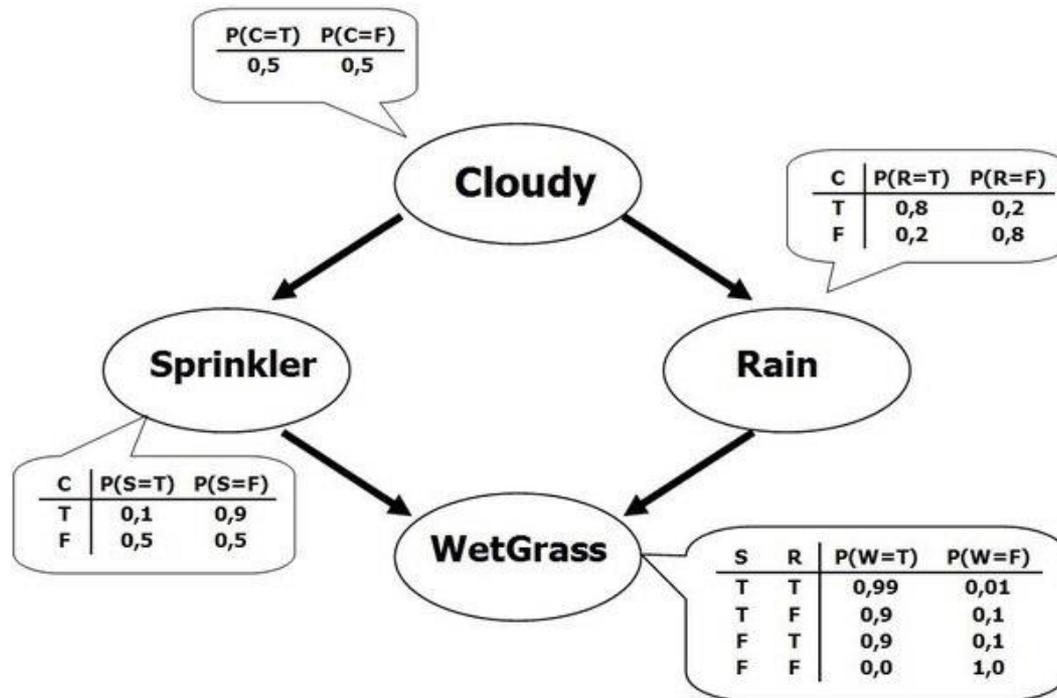
Decision under Uncertainty (Example 2)

- Probabilistic planning: Sand-Castle-67 [Majercik, Littman 98]
 - States: $(\text{moat}, \text{castle}) = \{(0,0), (0,1), (1,0), (1,1)\}$
 - Initial state: $(0,0)$; goal states: $(0,1), (1,1)$
 - Actions: $\{\text{dig-moat}, \text{erect-castle}\}$



Decision under Uncertainty (Example 4)

- Belief network inference [Dechter 96, Peot 98]
 - BN queries, e.g., belief assessment, most probable explanation, maximum *a posteriori* hypothesis, maximum expected utility



Introduction

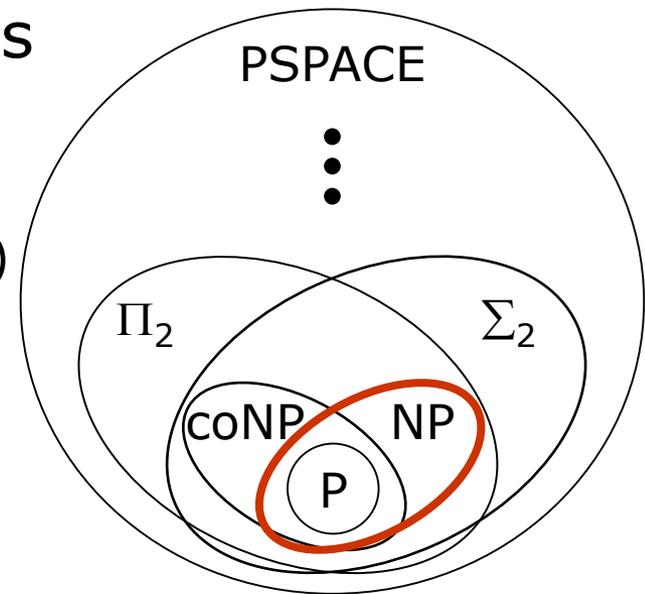
The Satisfiability Family

- Boolean satisfiability (SAT)
- Sharp-SAT ($\#SAT$)
- Quantified Boolean satisfiability (QSAT)
- Stochastic Boolean satisfiability (SSAT)

Introduction

The Satisfiability Family – SAT

- The **Boolean satisfiability** (SAT) problem asks whether a given Conjunctive Normal Form (CNF) formula can be satisfied under some assignment to the variables
 - E.g.,
 - $(a + \neg b + c)(a + \neg c)(b + d)(\neg a)$ is satisfiable under $(a, b, c, d) = (0, 0, 0, 1)$
 - $(a + \neg b + c)(a + \neg c)(b)(\neg a)$ is unsatisfiable
- The first known NP-complete problem [Cook 71]



Introduction

The Satisfiability Family – #SAT

- The #SAT problem asks the number of satisfying solutions to a given CNF formula
 - E.g., $(a+\neg b+c)(a+\neg c)(b+d)(\neg a+b)$ has five solutions, which are $(a,b,c,d) = (0,0,0,1), (1,1,-,-)$
 - A #P-complete problem
 - A.k.a. model counting
 - Exact vs. approximate model counting
 - Weighted model counting: variables are weighted under a function $w:var(\phi)\rightarrow[0,1]$
 - Compute the sum of weights of satisfying assignments of ϕ

Introduction

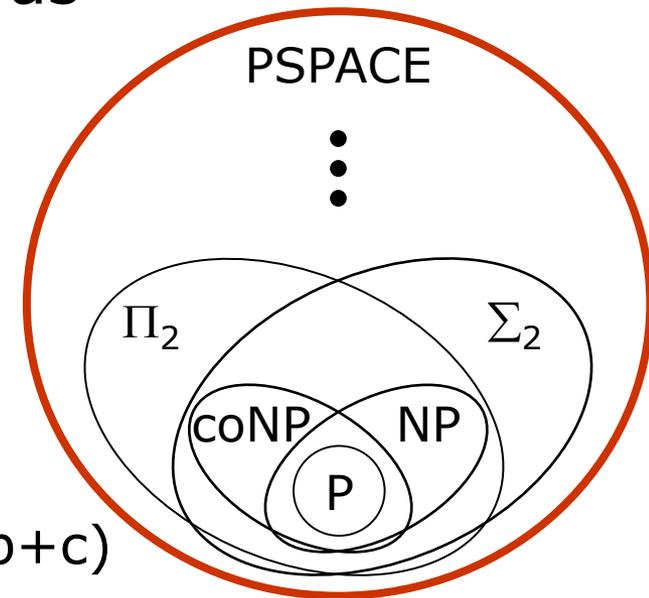
The Satisfiability Family – QBF

- A quantified Boolean formula (QBF) is often written in **prenex form** as

$$\underbrace{Q_1 x_1, \dots, Q_n x_n}_{\text{prefix}} \cdot \underbrace{\varphi}_{\text{matrix}}$$

for $Q_i \in \{\forall, \exists\}$ and φ a quantifier-free CNF formula

- E.g., $\forall a \exists b \forall c \exists d. (\neg a + \neg b)(\neg b + \neg c + \neg d)(\neg b + c + d)(a + b + c)$
- QBF satisfiability is PSPACE-complete



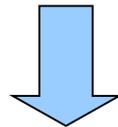
Introduction

The Satisfiability Family – QBF

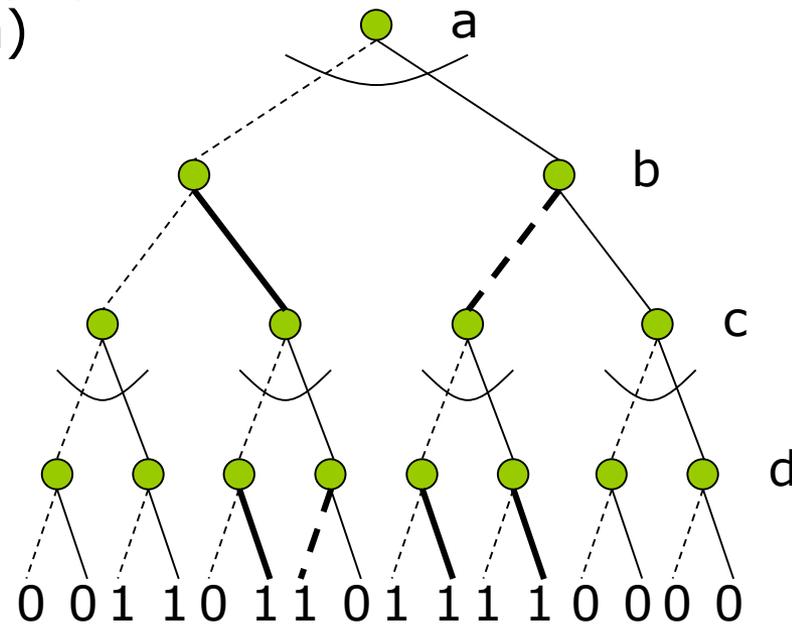
- A game interpretation of QBF
 - Two-player game played by \exists -player (to satisfy the formula) and \forall -player (to falsify the formula)

$$\forall a \exists b \forall c \exists d. (\neg a + \neg b)(\neg b + \neg c + \neg d)(\neg b + c + d)(a + b + c)$$

Skolem functions



$$\exists F_b(a) \exists F_d(a, c) \forall a \forall c. (\neg a + \neg F_b)(\neg F_b + \neg c + \neg F_d)(\neg F_b + c + F_d)(a + F_b + c)$$



Introduction

The Satisfiability Family – SSAT

□ Syntax of SSAT formula

$$\Phi = Q_1 v_1 \dots Q_n v_n \cdot \phi(v_1, \dots, v_n)$$

- Prefix: $Q_1 v_1 \dots Q_n v_n$ with $Q_i \in \{\exists, \mathcal{R}^{p_i}\}$

- Randomized quantification $\mathcal{R}^{p_i} v_i$: v_i evaluates to TRUE with probability p_i

- Matrix: $\phi(v_1, \dots, v_n)$ being a quantifier-free propositional formula often in CNF

Introduction

The Satisfiability Family – SSAT

□ Semantics of SSAT formula

$$\Phi = Q_1 v_1 \dots Q_n v_n \cdot \phi(v_1, \dots, v_n)$$

- Optimization version: Find the maximum SP
- Decision version: Determine whether $SP \geq \theta$
- **Satisfying probability (SP):** Expectation of ϕ satisfaction w.r.t. the prefix

- $\Pr[\top] = 1; \Pr[\perp] = 0$

- $\Pr[\Phi] = \max\{\Pr[\Phi|_{\neg v}], \Pr[\Phi|_v]\},$ for outermost quantification $\exists v$

- $\Pr[\Phi] = (1 - p) \Pr[\Phi|_{\neg v}] + p \Pr[\Phi|_v],$ for outermost quantification $\mathcal{R}^p v$

Introduction

Stochastic Boolean Satisfiability

□ A game interpretation of SSAT

- Two-player game played by \exists -player (to maximize the expectation of satisfaction) and \mathcal{R} -player (to make random moves)

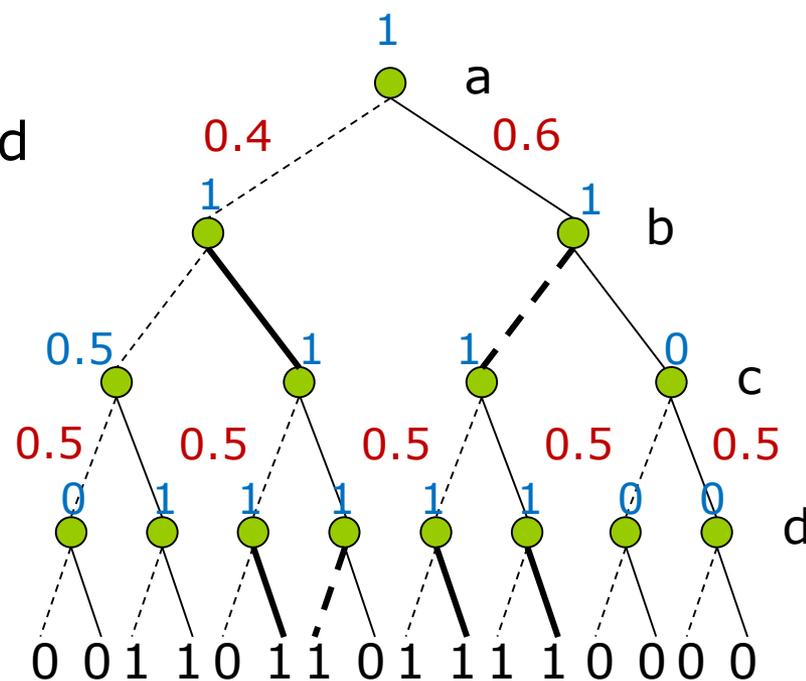
$$\mathcal{R}^{0.6}a \exists b \mathcal{R}^{0.5}c \exists d.$$

$$(\neg a + \neg b)(\neg b + \neg c + \neg d)(\neg b + c + d)(a + b + c)$$

Skolem functions

$$\exists F_b(a) \exists F_d(a, c) \mathcal{R}^{0.6}a \mathcal{R}^{0.5}c.$$

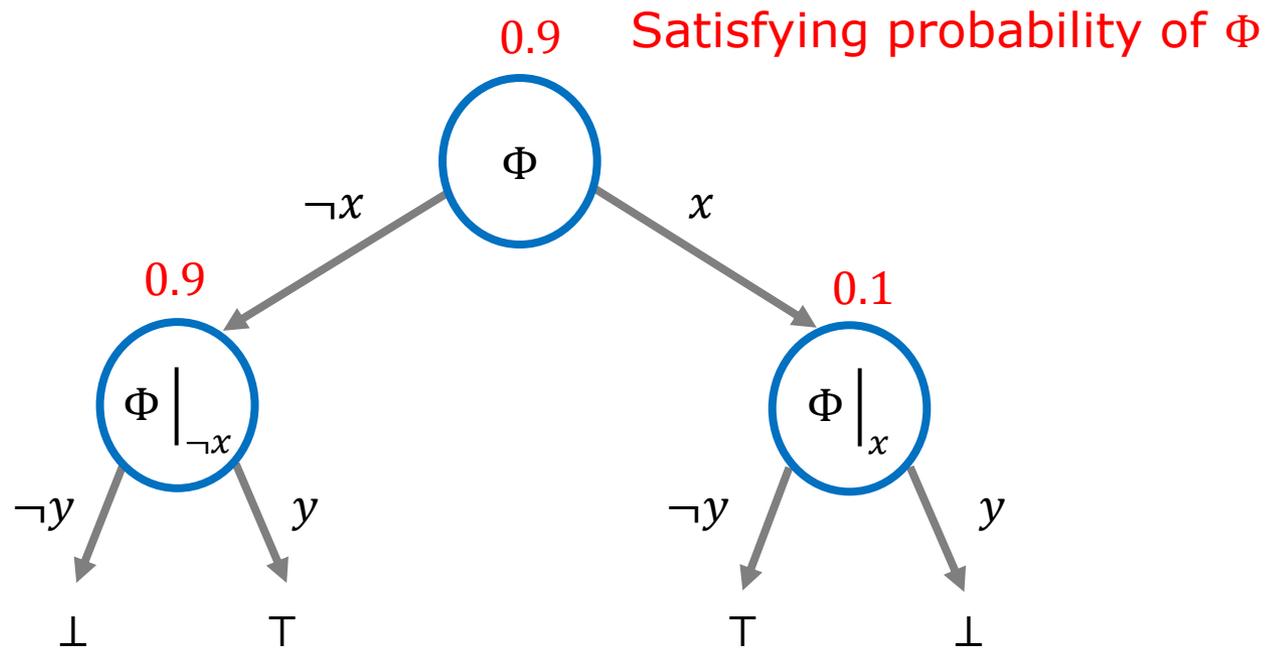
$$(\neg a + \neg F_b)(\neg F_b + \neg c + \neg F_d)(\neg F_b + c + F_d)(a + F_b + c)$$



Introduction

The Satisfiability Family – SSAT

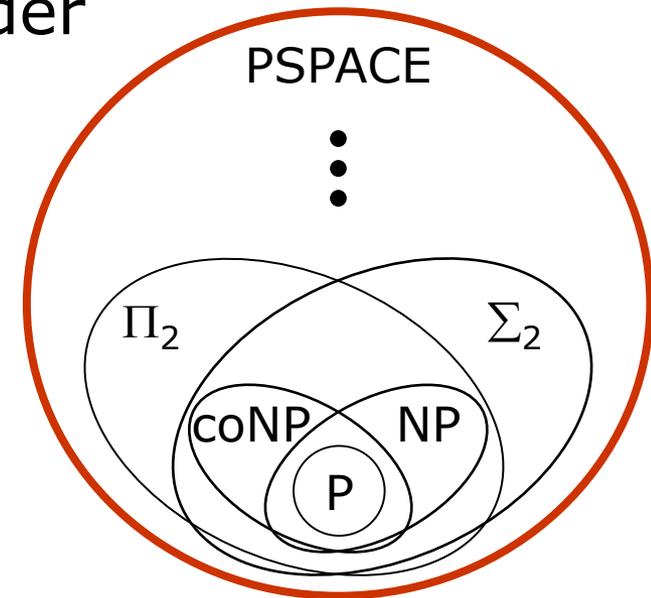
□ Ex: $\Phi = \exists x \mathcal{R}^{0.9} y. (x \vee y)(\neg x \vee \neg y)$



Introduction

The Satisfiability Family – SSAT

- SSAT is a formalism of games against nature for decision problems under uncertainty [Papadimidriou 85]
- SSAT is PSPACE-complete
- Applications
 - Probabilistic planning
 - Verification of probabilistic circuits
 - Belief network inference
 - Trust management



Introduction

Prior SSAT Methods

□ Prior computation methods

■ General SSAT

□ Exact SSAT

- DC-SSAT: divide and conquer, DPLL-style search
- ZANDER: threshold pruning heuristics

□ Approximate SSAT

- APPSSAT: derive upper/lower bounds of satisfying probability

■ E-MAJSAT

□ MAXPLAN: pure literal, unit propagation, subproblem memorization

□ ComPlan: compilation into d-DNNF

□ MaxCount: restricted to $\mathcal{R}^{0.5}$

Introduction

Specialized SSAT of Our Focus

- Random-exist quantified SSAT (RE-SSAT) formula $\Phi = \mathcal{R}X\exists Y. \phi(X, Y)$
 - Counterpart of 2QBF $\Phi = \forall X\exists Y. \phi(X, Y)$
- Exist-random quantified SSAT (ER-SAT, a.k.a. E-MAJSAT) formula $\Phi = \exists X\mathcal{R}Y. \phi(X, Y)$
 - Counterpart of 2QBF $\Phi = \exists X\forall Y. \phi(X, Y)$

Stochastic Boolean Satisfiability Random-Exist SSAT

RE-SSAT

Main Results

- ❑ Exploit weighted model counting to handle randomized quantification
- ❑ Use a SAT solver as a plug-in engine for SSAT solving
 - Stand-alone usage of SAT solver and model counter without solver modification
 - Directly benefit from the advancements of SAT solvers and model counters
- ❑ Applicable to both exact and approximate RE-SSAT solving

RE-SSAT

Terms and Notations

- Consider $\phi(x_1, x_2, y_1, y_2) = x_1 \wedge (\neg x_2 \vee y_1 \vee y_2)$ with weights $w(x_1) = 0.3$ and $w(x_2) = 0.7$
 - $\tau_1 = x_1 x_2$ is a SAT **minterm**, since $\phi|_{\tau_1}$ can be satisfied by $\mu = y_1 y_2 \rightarrow w(\tau_1) = 0.21$
 - $\tau_1^+ = x_1$ is a SAT **cube** $\rightarrow w(\tau_1^+) = 0.3$
 - $\tau_2 = \neg x_1 x_2$ is an UNSAT **minterm** since $\phi|_{\tau_2}$ is unsatisfiable $\rightarrow w(\tau_2) = 0.49$
 - $\tau_2^+ = \neg x_1$ is an UNSAT **cube** $\rightarrow w(\tau_2^+) = 0.7$
 - The process of expanding τ to τ^+ is called **minterm generalization**

RE-SSAT

Basic Ideas

- Given $\Phi = \mathcal{R}X\exists Y. \phi(X, Y)$, $\Pr[\Phi]$ equals
 - sum of weights of all SAT minterms, or
 - $1 -$ sum of weights of all UNSAT minterms
- Collect all SAT and/or UNSAT minterms with minterm generation into cubes
 - SAT: minimal hitting set
 - UNSAT: minimal UNSAT core
- Compute sum of weights of collected cubes
 - Complement the collected cubes into a CNF formula
 - Apply weighted model counting once (needed to cope with the potential non-disjointness between cubes)

RE-SSAT

Procedure for Solving RE-2SSAT

SolveRESSAT

input: $\Phi = \forall X \exists Y. \phi(X, Y)$ and a runtime limit T_0

output: Upper and lower bounds (P_U, P_L) of satisfying prob.

begin

01 $\psi(X) := \top$; ← Selection solver

02 $C_{\top} := \emptyset$;

Matrix solver

03 $C_{\perp} := \emptyset$; ← If ψ is satisfiable

04 **while** $\text{SAT}(\psi) = \top \wedge \text{runtime} < T_0$

05 $\tau := \psi.\text{model}$;

06 **if** $\text{SAT}(\phi|_{\tau}) = \top$ ← τ is a SAT minterm

07 $\tau^+ := \text{MinimalSatisfying}(\phi, \tau)$; ← SAT generalization

08 $C_{\top} := C_{\top} \cup \{\tau^+\}$;

09 **else** // $\text{SAT}(\phi|_{\tau}) = \perp$ ← τ is a UNSAT minterm

10 $\tau^+ := \text{MinimalConflicting}(\phi, \tau)$; ← UNSAT generalization

11 $C_{\perp} := C_{\perp} \cup \{\tau^+\}$;

12 $\psi := \psi \wedge \neg \tau^+$; ← Block τ^+ from ψ

13 **return** $(1 - \text{ComputeWeight}(C_{\perp}), \text{ComputeWeight}(C_{\top}))$;

end

← Compute weight

RE-SSAT

Example

□ $\Phi = \mathcal{R}^{0.5} a, b, c, d \exists x, y, z. \phi$

□ $\phi = (a \vee b \vee c \vee x)(a \vee b \vee c \vee \neg x)(\neg a \vee \neg b \vee \neg d \vee y)(\neg a \vee \neg b \vee \neg d \vee \neg y)(\neg a \vee b \vee \neg d \vee z)(\neg a \vee b \vee \neg d \vee \neg z)$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

$\exists x, y, z. \phi(a, b, c, d)$

SAT cubes:

UNSAT cubes:

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 1 | 1 | 1 | 1 |
| 01 | 1 | 1 | 1 | 1 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

$\psi(a, b, c, d)$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

$\exists x, y, z. \phi(a, b, c, d)$

SAT cubes:

UNSAT cubes:

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | v | 1 | 1 | 1 |
| 01 | 1 | 1 | 1 | 1 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

$\psi(a, b, c, d)$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 1 | 1 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

$\exists x, y, z. \phi(a, b, c, d)$

$\psi(a, b, c, d)$

SAT cubes:

UNSAT cubes: $\neg a \neg b \neg c$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

$\exists x, y, z. \phi(a, b, c, d)$

SAT cubes:

UNSAT cubes: $\neg a \neg b \neg c$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | v | 1 | 1 |
| 01 | 0 | 1 | 1 | 1 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

$\psi(a, b, c, d)$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

$\exists x, y, z. \phi(a, b, c, d)$

SAT cubes: $\neg ab$

UNSAT cubes: $\neg a \neg b \neg c$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 1 | 1 |
| 01 | 0 | 0 | 1 | 1 |
| 11 | 1 | 0 | 1 | 1 |
| 10 | 1 | 0 | 1 | 1 |

$\psi(a, b, c, d)$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 1 | 1 |
| 01 | 0 | 0 | 1 | 1 |
| 11 | 1 | 0 | 1 | 1 |
| 10 | 1 | 0 | 1 | 1 |

$\exists x, y, z. \phi(a, b, c, d)$

$\psi(a, b, c, d)$

SAT cubes: $\neg ab$

UNSAT cubes: $\neg a \neg b \neg c$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | 1 | 1 |
| 11 | 1 | 0 | 1 | 1 |
| 10 | 1 | 0 | 0 | 0 |

$$\exists x, y, z. \phi(a, b, c, d)$$

$$\psi(a, b, c, d)$$

SAT cubes: $\neg ab \vee a\neg d$

UNSAT cubes: $\neg a\neg b\neg c$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

$\exists x, y, z. \phi(a, b, c, d)$

SAT cubes: $\neg ab \vee a\neg d$

UNSAT cubes: $\neg a\neg b\neg c$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | v | 1 |
| 11 | 1 | 0 | 1 | 1 |
| 10 | 1 | 0 | 0 | 0 |

$\psi(a, b, c, d)$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | 0 | 0 |
| 11 | 1 | 0 | 0 | 0 |
| 10 | 1 | 0 | 0 | 0 |

$\exists x, y, z. \phi(a, b, c, d)$

$\psi(a, b, c, d)$

SAT cubes: $\neg ab \vee a\neg d$

UNSAT cubes: $\neg a\neg b\neg c \vee ad$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | 0 | 0 |
| 11 | v | 0 | 0 | 0 |
| 10 | 1 | 0 | 0 | 0 |

$$\exists x, y, z. \phi(a, b, c, d)$$

$$\psi(a, b, c, d)$$

SAT cubes: $\neg ab \vee a\neg d$

UNSAT cubes: $\neg a\neg b\neg c \vee ad$

RE-SSAT

Example (cont'd)

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 1 | 1 | 1 |
| 01 | 0 | 1 | 0 | 0 |
| 11 | 1 | 1 | 0 | 0 |
| 10 | 1 | 1 | 1 | 1 |

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | 0 | 0 |
| 11 | 0 | 0 | 0 | 0 |
| 10 | 0 | 0 | 0 | 0 |

$$\exists x, y, z. \phi(a, b, c, d)$$

$$\psi(a, b, c, d)$$

SAT cubes: $\neg ab \vee a\neg d \vee \neg ac$

UNSAT cubes: $\neg a\neg b\neg c \vee ad$

RE-SSAT

Example (cont'd)

- Complement the collected SAT cubes $\{\neg ab, a\neg d, \neg ac\}$ into a CNF formula $\psi = (a \vee \neg b)(\neg a \vee d)(a \vee \neg c)$
- Apply weighted model counting on ψ with weights $w(a) = w(b) = w(c) = w(d) = 0.5$ (recall $\Phi = \mathcal{R}^{0.5} a, b, c, d \exists x, y, z. \phi$)
- Obtain satisfying probability of $\Phi = 0.375$

RE-SSAT

Experimental Settings

- SAT solver `MiniSAT` and weight model counter `Cachet` were used
- Computation platform: Xeon 2.1 GHz CPU and 126 GB RAM
 - Timeout limit: 1000 seconds
- Prior methods under comparison
 - `reSSAT`: the proposed algorithm
 - `reSSAT-b`: the proposed alg. w/o minterm-generalization techniques
 - `DC-SSAT`: state-of-the-art SSAT solver [3]

[3] S. Majercik and B. Boots. DCSSAT: A divide-and-conquer approach to solving stochastic satisfiability problems efficiently, 2005

RE-SSAT

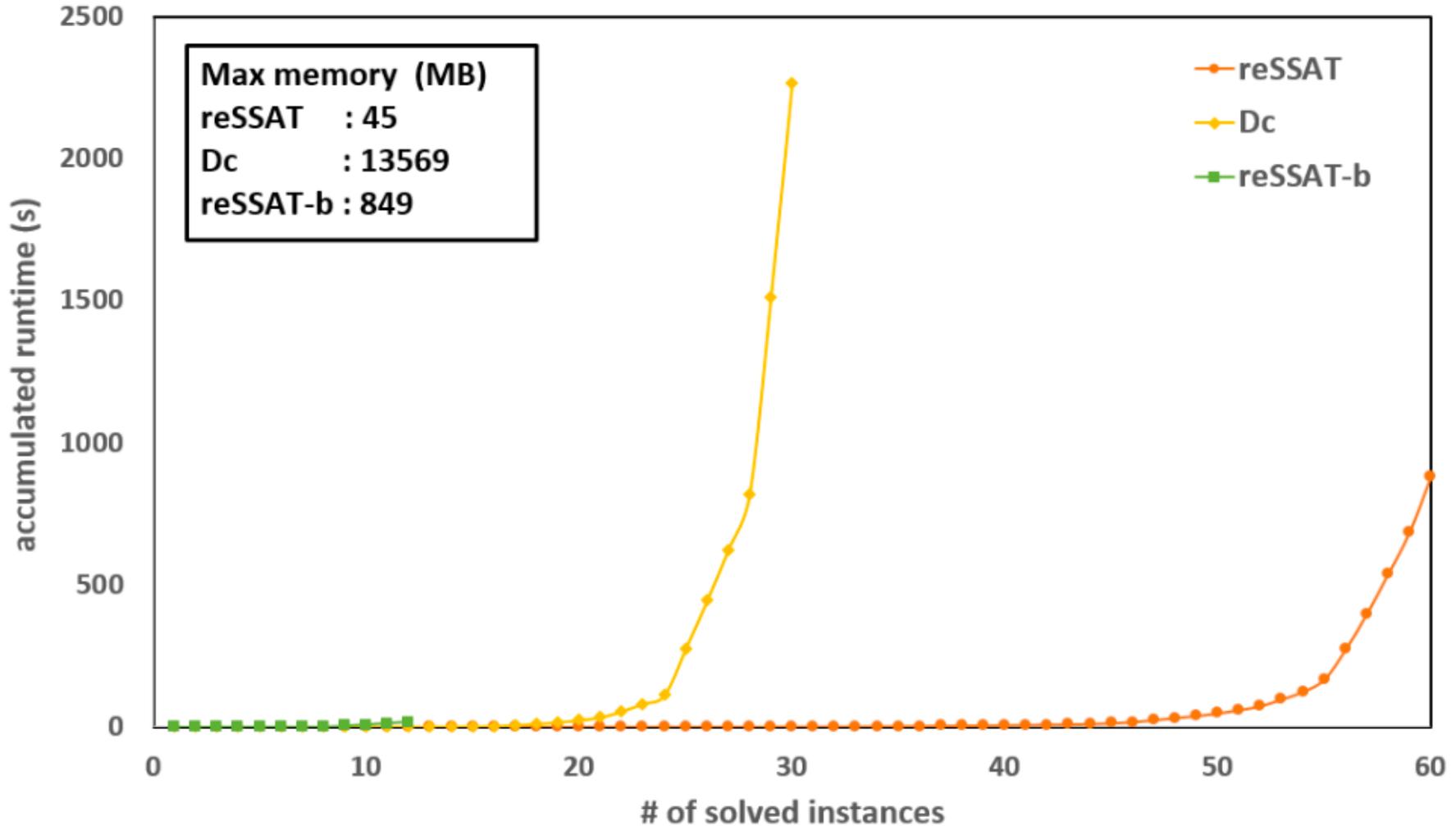
Planning Benchmark Experiments

- Converted from 2QBF planning instances of strategic company problem [CEG97]
 - Universal quantifiers in original 2QBFs were changed to randomized ones with probability 0.5
 - The converted RE-2SSAT formulas characterize the winning probabilities of the exist-player of the original QBF games
- 60 formulas from QBFLIB were evaluated
 - reSSAT-b solved 12 formulas
 - DC-SSAT solved 30 formulas
 - reSSAT solve all 60 formulas

[CEG97] M. Cadoli, T. Eiter, and G. Gottlob. Default logic as a query language, 1997.

RE-SSAT

Planning Benchmark Experiments



RE-SSAT

Probabilistic Circuit Experiments

- Obtained in VLSI domain for equivalence checking of probabilistic circuits [LJ14]
 - The formula evaluates the expected difference between a deterministic specification against its probabilistic implementation
 - Encoded as RE-2SSAT formulas

[LJ14] N.-Z. Lee and J.-H. Jiang. Towards formal evaluation and verification of probabilistic design, 2014

RE-SSAT

Probabilistic Circuit Experiments

| | | reSSAT (TO=60s) | | reSSAT (TO=1000s) | | DC-SSAT (TO=1000s) | |
|--------------|-----------------|--------------------|-----------------|----------------------|-----------------|-----------------------|-----------|
| circuit | Answer | UB | LB | UB | LB | runtime | Prob. |
| c432 | 1.03E-02 | 1.07E-02 | 4.30E-05 | 1.05E-02 | 8.50E-05 | TO | TO |
| c499 | 1.56E-13 | 1.56E-13 | 1.56E-13 | 1.56E-13 | 1.56E-13 | 0.00 | 1.56E-13 |
| c880 | 4.18E-02 | 9.78E-02 | 3.00E-06 | 8.18E-02 | 3.00E-06 | TO | TO |
| c1355 | 6.41E-02 | 3.20E-01 | 0 | 3.08E-01 | 0 | TO | TO |
| c1908 | 7.38E-04 | 8.83E-04 | 4.00E-05 | 7.38E-04 | 7.90E-05 | 210.86 | 7.38E-04 |
| c3540 | 1.71E-03 | 1.17E-02 | 5.03E-04 | 1.17E-02 | 1.61E-03 | 217.42 | 1.71E-03 |
| c5315 | 4.64E-01 | 6.28E-01 | 0 | 6.28E-01 | 0 | TO | TO |
| c7552 | 2.34E-01 | 2.35E-01 | 7.23E-03 | 2.35E-01 | 7.23E-03 | TO | TO |

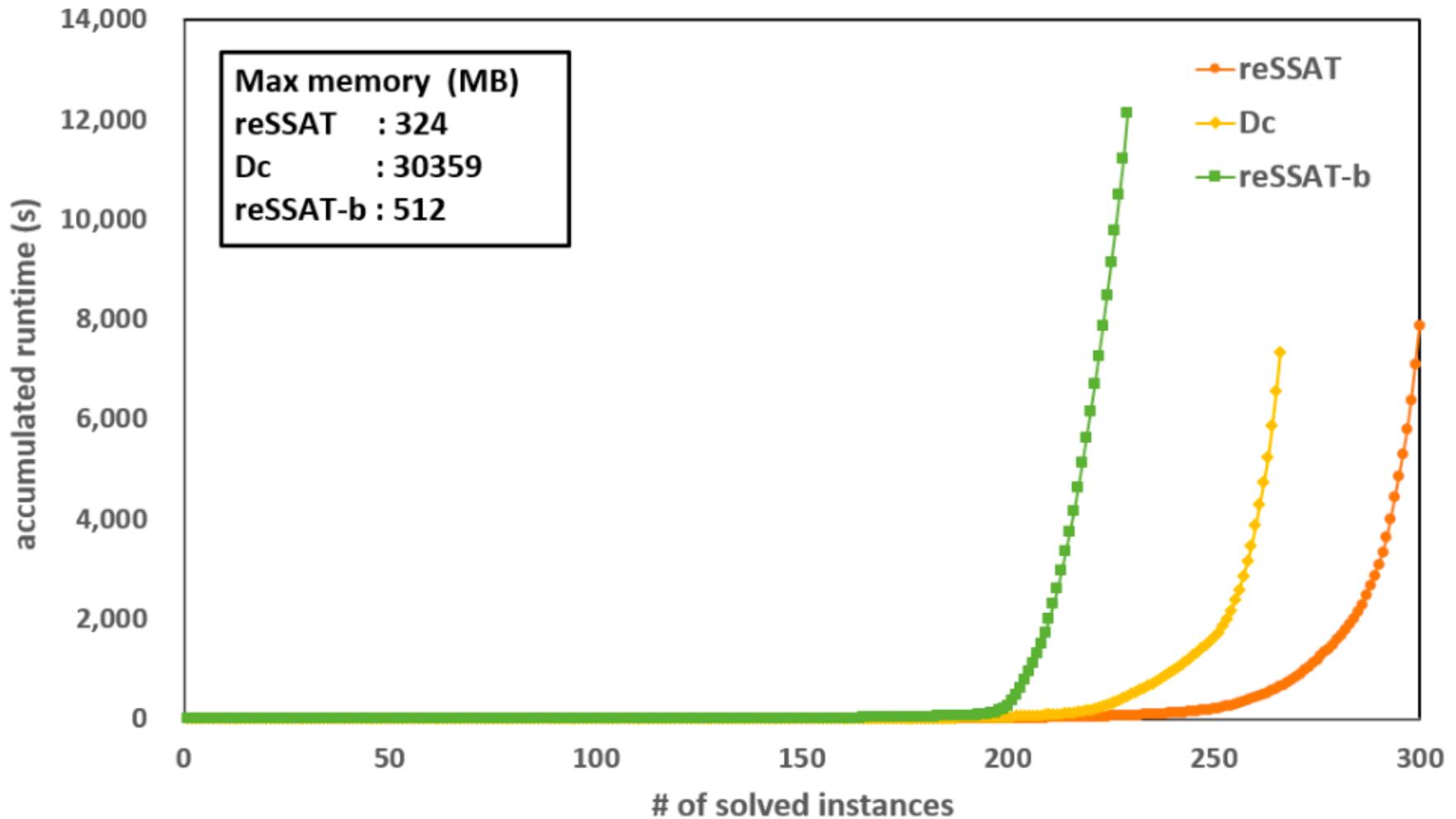
RE-SSAT

Random k -CNF Experiments

- Used k -CNF with n variables and m clauses
 - k equals 3, 4, 5, 6, 7, 8, and 9
 - n equals 10, 20, 30, 40, and 50
 - $\frac{m}{n}$ equals $k - 1$, k , $k + 1$, and $k + 2$
- Selected 300 formulas whose satisfying probabilities evenly distributed in $[0, 1]$ for fair evaluation

RE-SSAT

Random k -CNF Experiments



RE-SSAT

Summary

- Proposed a new algorithm to solve random-exist SSAT
 - Plug-in SAT solver and model counter without modification
 - Outperform prior methods in runtime and memory efficiency
- Extended to approximate SSAT with upper/lower bound derivation

Stochastic Boolean Satisfiability

Exist-Random SSAT

ER-SSAT

Main Results

- Adopt QBF clause selection technique to ER-SSAT solving for effective search space pruning
- Propose three enhancement techniques
- Applicable to both exact as well as approximate ER-SSAT

ER-SSAT

Naïve Solution

- Given $\Phi = \exists X \mathcal{R}Y. \phi(X, Y)$
 - Search among assignments τ to X
 - Compute $\mathcal{R}Y. \phi(\tau, Y)$ by weighted model counting
 - Find τ^* maximizing $\mathcal{R}Y. \phi(\tau^*, Y)$

- How to effectively prune search space?

ER-SSAT

Clause Selection for QBF Solving

- $X = \{e_1, e_2, e_3\}, Y = \{a_1, a_2, a_3\}, \phi(X, Y) = \bigwedge_{i=1}^3 C_i$
 - $C_1 = (e_1 \vee a_1 \vee a_2)$
 - $C_2 = (e_1 \vee e_2 \vee a_1 \vee \neg a_3)$
 - $C_3 = (\neg e_2 \vee \neg e_3 \vee a_2 \vee \neg a_3)$
 - $S = \{s_1, s_2, s_3\}$
 - $\psi(X, S) = (s_1 \equiv \neg e_1) \wedge (s_2 \equiv \neg e_1 \wedge \neg e_2) \wedge (s_3 \equiv e_2 \wedge e_3)$
 - $s_i = \top$ iff C_i is *selected*, i.e., not satisfied by the assignment on X variables [JM15]
 - E.g., $(e_1 = \perp, e_2 = \perp, e_3 = \perp) \rightarrow (s_1 = \top, s_2 = \top)$
- Prune search space by preventing selection of a superset of the current clause set

[JM15] M. Janota and J. Marques-Silva. Solving QBF by clause selection, 2015.

ER-SSAT

Clause Containment Learning (1/2)

- $\Phi = \exists X \mathcal{R} Y. \phi(X, Y)$
- $(\phi(\tau_2, Y) \models \phi(\tau_1, Y)) \rightarrow (\Pr[\Phi |_{\tau_2}] \leq \Pr[\Phi |_{\tau_1}])$
- Prune assignments that select a superset of selected clauses
- Learning with selection variables
 - $\psi(X, S) \leftarrow \psi(X, S) \wedge C_L$
 - $C_L = \bigvee \neg s_C$

ER-SSAT

Basic Algorithm

SolveEMAJSAT-basic

input: $\Phi = \exists X \forall Y. \phi(X, Y)$

output: $\Pr[\Phi]$

begin

01 $\psi(X, S) := (\bigwedge_{C \in \phi} (s_C \equiv \neg C^X)) \wedge (\bigwedge_{\text{pure } l: \text{var}(l) \in X} l)$;

02 $\text{prob} := 0$;

03 **while** $\text{SAT}(\psi) = \top$

04 $\tau :=$ the found model of ψ for variables in X ;

05 **if** $\text{SAT}(\phi|_{\tau}) = \top$

06 $\text{prob} := \max\{\text{prob}, \text{WeightModelCount}(\forall Y. \phi|_{\tau})\}$;

07 $C_L := \bigvee_{C \in \phi|_{\tau}} \neg s_C$;

08 **else** // $\text{SAT}(\phi|_{\tau}) = \perp$

09 $C_L := \text{MinimalConflicting}(\phi, \tau)$;

10 $\psi := \psi \wedge C_L$;

11 **return** prob ;

end

ER-SSAT Example

$\exists a, b, c, d, \mathcal{R}^{0.5}x, \mathcal{R}^{0.7}y, \mathcal{R}^{0.9}z.$

$C_1: ((a \wedge b \wedge c) \rightarrow (x \vee y \vee z))$

$C_2: (\neg c \rightarrow (x \vee \neg y))$

$C_3: ((\neg b \wedge c) \rightarrow (x \vee z))$

$C_4: ((\neg a \wedge \neg d) \rightarrow (y \vee z))$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 1 | 1 | 1 | 1 |
| 01 | 1 | 1 | 1 | 1 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

Current assignment:

Current max value:

Blocking clause:

$$\psi(a, b, c, d) = \top$$

ER-SSAT

Example (cont'd)

$\exists a, b, c, d, \mathcal{R}^{0.5}x, \mathcal{R}^{0.7}y, \mathcal{R}^{0.9}z.$

$C_1: ((a \wedge b \wedge c) \rightarrow (x \vee y \vee z))$

$C_2: (\neg c \rightarrow (x \vee \neg y))$

$C_3: ((\neg b \wedge c) \rightarrow (x \vee z))$

$C_4: ((\neg a \wedge \neg d) \rightarrow (y \vee z))$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 1 | 1 | 1 | 1 |
| 01 | 1 | 1 | 1 | 1 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

Current assignment: $\neg a \neg b \neg c \neg d$

Current max value: 0.62

Blocking clause: $(c \vee a \vee d)$

$\psi(a, b, c, d) = \top$

ER-SSAT

Example (cont'd)

$\exists a, b, c, d, \mathcal{R}^{0.5}x, \mathcal{R}^{0.7}y, \mathcal{R}^{0.9}z.$

$C_1: ((a \wedge b \wedge c) \rightarrow (x \vee y \vee z))$

$C_2: (\neg c \rightarrow (x \vee \neg y))$

$C_3: ((\neg b \wedge c) \rightarrow (x \vee z))$

$C_4: ((\neg a \wedge \neg d) \rightarrow (y \vee z))$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 1 | 1 |
| 01 | 1 | 1 | 1 | 1 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

Current assignment: $ab\neg c\neg d$

Current max value: 0.65

Blocking clause: (c)

$$\psi = (c \vee a \vee d)$$

ER-SSAT

Example (cont'd)

$\exists a, b, c, d, \mathcal{R}^{0.5}x, \mathcal{R}^{0.7}y, \mathcal{R}^{0.9}z.$

$C_1: ((a \wedge b \wedge c) \rightarrow (x \vee y \vee z))$

$C_2: (\neg c \rightarrow (x \vee \neg y))$

$C_3: ((\neg b \wedge c) \rightarrow (x \vee z))$

$C_4: ((\neg a \wedge \neg d) \rightarrow (y \vee z))$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | 0 | 0 |
| 11 | 1 | 1 | 1 | 1 |
| 10 | 1 | 1 | 1 | 1 |

Current assignment: $\neg a \neg b c d$

Current max value: 0.95

Blocking clause: $(b \vee \neg c)$

$$\psi = (c \vee a \vee d)(c)$$

ER-SSAT

Example (cont'd)

$\exists a, b, c, d, \mathcal{R}^{0.5}x, \mathcal{R}^{0.7}y, \mathcal{R}^{0.9}z.$

$C_1: ((a \wedge b \wedge c) \rightarrow (x \vee y \vee z))$

$C_2: (\neg c \rightarrow (x \vee \neg y))$

$C_3: ((\neg b \wedge c) \rightarrow (x \vee z))$

$C_4: ((\neg a \wedge \neg d) \rightarrow (y \vee z))$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | 0 | 0 |
| 11 | 0 | 1 | 1 | 0 |
| 10 | 0 | 1 | 1 | 0 |

Current assignment: $\neg abcd$

Current max value: 1

Blocking clause: ()

$$\psi = (c \vee a \vee d)(c) \\ (b \vee \neg c)$$

ER-SSAT

Example (cont'd)

$\exists a, b, c, d, \mathcal{R}^{0.5}x, \mathcal{R}^{0.7}y, \mathcal{R}^{0.9}z.$

$C_1: ((a \wedge b \wedge c) \rightarrow (x \vee y \vee z))$

$C_2: (\neg c \rightarrow (x \vee \neg y))$

$C_3: ((\neg b \wedge c) \rightarrow (x \vee z))$

$C_4: ((\neg a \wedge \neg d) \rightarrow (y \vee z))$

| | 00 | 01 | 11 | 10 |
|----|----|----|----|----|
| 00 | 0 | 0 | 0 | 0 |
| 01 | 0 | 0 | 0 | 0 |
| 11 | 0 | 0 | 0 | 0 |
| 10 | 0 | 0 | 0 | 0 |

Current assignment:

Current max value: 1

Blocking clause: ()

$$\psi = (c \vee a \vee d)(c) \\ (b \vee \neg c)()$$

ER-SSAT

Enhancement Techniques

- Minimal clause selection
 - Select a minimal set of clauses by iterative SAT refinement
- Clause subsumption
 - Precompute subsumption relation and remove selected clauses that are subsumed by other selected clauses
- Partial assignment pruning
 - Discard literals from a learnt clause to obtain an upper bound of satisfying probability

ER-SSAT

Refined Algorithm

SolveEMAJSAT

input: $\Phi = \exists X \forall Y. \phi(X, Y)$

output: $\text{Pr}[\Phi]$

begin

01 $\psi(X, S) := (\bigwedge_{C \in \phi} (s_C \equiv \neg C^X)) \wedge (\bigwedge_{\text{pure } l: \text{var}(l) \in X} l)$;

02 $\text{prob} := 0$;

03 $\text{s-table} := \text{BuildSubsumeTable}(\phi)$;

04 **while** $\text{SAT}(\psi) = \top$

05 $\tau :=$ the found model of ψ for variables in X ;

06 **if** $\text{SAT}(\phi|_{\tau}) = \top$

07 $\tau' := \text{SelectMinimalClauses}(\phi, \psi)$;

08 $\varphi := \text{RemoveSubsumedClauses}(\phi|_{\tau'}, \text{s-table})$;

09 $\text{prob} := \max\{\text{prob}, \text{WeightModelCount}(\forall Y. \varphi)\}$;

10 $C_S := \bigvee_{C \in \varphi} \neg s_C$;

11 $C_L := \text{DiscardLiterals}(\phi, C_S, \text{prob})$;

12 **else** // $\text{SAT}(\phi|_{\tau}) = \perp$

13 $C_L := \text{MinimalConflicting}(\phi, \tau)$;

14 $\psi := \psi \wedge C_L$;

15 **return** prob ;

end

ER-SSAT

Approximate ER-SSAT

- Can terminate at any time and return the current best solution
 - A lower bound of the satisfying probability
- Keep deriving tighter lower bounds and converge to the exact solution

ER-SSAT

Experimental Setup

- SAT solver `MiniSAT`
- Weight model counter
 - Cachet
 - CUDD
- Xeon 2.1 GHz CPU and 126 GB RAM
- Competing solvers
 - `erSSAT`: the proposed algorithm
 - `DC-SSAT`: state-of-the-art SSAT solver
 - `ComPlan`: E-MAJSAT solver (based on `c2d`)
 - `MAXCOUNT`: maximum model counter

ER-SSAT

Application Formulas

- QBF-converted formulas
- Conformant probabilistic planning
 - Sand-castle [ML98]
- MaxSat [FRS17]
- Quantitative information flow [FRS17]
- Program synthesis [FRS17]
- Maximum probabilistic eq. checking [LJ14]

S. Majercik and M. Littman. MAXPLAN: A new approach to probabilistic planning, 1998.

D. Fremont, M. Rabe, and S. Seshia. Maximum model counting, 2017.

N.-Z. Lee and J.-H. Jiang. Towards formal evaluation and verification of probabilistic design, 2014.

ER-SSAT

Experimental Results (1/2)

| benchmark statistics | | | | | | | erSSAT | | | | | Dc | | Max | | | c2d |
|---------------------------|---------------------|-------|-------|-----------------|-----|-----------------|---------|----------------|----------------|---------|---------|---------|------|-----|-----|--|-----|
| family | formula | #V | #C | #E ₁ | #R | #E ₂ | LB | T ₁ | T ₂ | Pr | T | LB | CL | T | T | | |
| Toilet-A | 10.01.3 | 106 | 10604 | 33 | 10 | 63 | 1.95e-3 | 0 | 27 | 1.95e-3 | 13 | 1.95e-3 | 1.00 | 36 | 3 | | |
| | 10.01.5 | 170 | 10902 | 55 | 10 | 105 | 3.91e-3 | 19 | 577 | 3.91e-3 | 208 | 3.91e-3 | 1.00 | 67 | 5 | | |
| | 10.01.7 | 234 | 11200 | 77 | 10 | 147 | 7.81e-3 | 179 | - | - | - | 7.81e-3 | 1.00 | 294 | 19 | | |
| | 10.05.2 | 170 | 11315 | 110 | 10 | 50 | 3.13e-2 | 565 | - | - | - | - | - | - | - | | |
| | 10.05.3 | 250 | 12000 | 165 | 10 | 75 | 1.56e-2 | 0 | - | - | - | - | - | - | 244 | | |
| | 10.05.4 | 330 | 12685 | 220 | 10 | 100 | 1.56e-2 | 888 | - | - | - | - | - | - | - | | |
| 10.10.2 | 290 | 12840 | 220 | 10 | 60 | 1.00 | 3 | 3 | - | - | - | - | - | - | 181 | | |
| Conformant | blocks_enc_2_b4 | 3043 | 57130 | 1248 | 7 | 1788 | 4.38e-1 | 341 | - | - | - | - | - | - | - | | |
| | cube_c7_ser-23 | 1479 | 15164 | 138 | 9 | 1332 | 3.38e-1 | 620 | - | - | - | - | - | - | - | | |
| | cube_c7_ser-opt-24 | 1542 | 15510 | 144 | 9 | 1389 | 3.44e-1 | 679 | - | - | - | - | - | - | - | | |
| | cube_c9_par-10 | 847 | 24106 | 60 | 10 | 777 | 2.90e-1 | 185 | - | - | - | 2.92e-1 | 1.00 | 802 | - | | |
| | cube_c9_par-opt-11 | 928 | 24548 | 66 | 10 | 852 | 2.89e-1 | 192 | - | - | - | - | - | - | - | | |
| | emptyroom_e3_ser-20 | 982 | 6286 | 80 | 6 | 896 | 1.88e-1 | 869 | - | - | - | - | - | - | - | | |
| ring_r4_ser-opt-11 | 373 | 5333 | 44 | 9 | 320 | 4.96e-1 | 506 | - | - | - | 4.53e-1 | 1.00 | 102 | 29 | | | |
| Sand-Castle | SC-11 | 101 | 201 | 22 | 55 | 24 | 9.77e-1 | 32 | 50 | 9.77e-1 | 0 | - | - | - | 0 | | |
| | SC-12 | 110 | 219 | 24 | 60 | 26 | 9.84e-1 | 133 | 187 | 9.84e-1 | 0 | - | - | - | 0 | | |
| | SC-13 | 119 | 237 | 26 | 65 | 28 | 9.89e-1 | 441 | 619 | 9.89e-1 | 0 | - | - | - | 0 | | |
| | SC-14 | 128 | 255 | 28 | 70 | 30 | 9.92e-1 | 632 | - | 9.92e-1 | 1 | - | - | - | 0 | | |
| | SC-15 | 137 | 273 | 30 | 75 | 32 | 9.93e-1 | 979 | - | 9.94e-1 | 1 | - | - | - | 1 | | |
| | SC-16 | 146 | 291 | 32 | 80 | 34 | 9.94e-1 | 785 | - | 9.96e-1 | 3 | - | - | - | 0 | | |
| | SC-17 | 155 | 309 | 34 | 85 | 36 | 9.94e-1 | 654 | - | 9.97e-1 | 6 | - | - | - | 1 | | |
| MaxSat | keller4.clq | 120 | 1212 | 43 | 15 | 62 | 9.76e-1 | 0 | 0 | - | - | 9.13e-1 | 0.82 | 5 | 1 | | |
| QIF | backdoor-2x16-8 | 200 | 272 | 32 | 32 | 136 | 5.96e-8 | 0 | - | - | - | 5.96e-8 | 1.00 | 9 | 1 | | |
| | backdoor-32-24 | 147 | 76 | 32 | 32 | 83 | 1.00 | 0 | 0 | - | - | 1.95e-3 | 0.82 | 601 | 0 | | |
| | bin-search-16 | 1448 | 5825 | 16 | 16 | 1416 | 1.95e-3 | 106 | - | - | - | 9.85e-1 | 0.91 | 230 | - | | |
| | CVe-2007-2875 | 784 | 1740 | 32 | 32 | 720 | 1.00 | 2 | 2 | - | - | 9.85e-1 | 0.82 | 13 | 342 | | |
| | pwd-backdoor | 400 | 609 | 64 | 64 | 272 | 0.00 | - | - | - | - | 9.85e-1 | 0.99 | 93 | 1 | | |
| | reverse2 | 333 | 293 | 32 | 32 | 269 | 2.98e-7 | 271 | - | - | - | - | - | - | 2 | | |
| | reverse | 229 | 293 | 32 | 32 | 165 | 5.96e-7 | 839 | - | - | - | - | - | - | 2 | | |
| PS | ConcreteActService | 4836 | 17866 | 71 | 37 | 4728 | 0.00 | - | - | - | - | 9.60e-1 | 0.82 | 52 | - | | |
| | IssueServiceImpl | 3625 | 13028 | 77 | 29 | 3519 | 0.00 | - | - | - | - | 9.06e-1 | 0.82 | 34 | - | | |
| | IterationService | 4167 | 15264 | 70 | 34 | 4063 | 0.00 | - | - | - | - | 9.70e-1 | 0.82 | 47 | - | | |
| | LoginService | 5229 | 21566 | 92 | 27 | 5110 | 0.00 | - | - | - | - | 9.45e-1 | 0.82 | 56 | - | | |
| | PhaseService | 4167 | 15264 | 70 | 34 | 4063 | 0.00 | - | - | - | - | 9.70e-1 | 0.82 | 47 | - | | |
| | ProcessBean | 9880 | 41451 | 166 | 39 | 9675 | 0.00 | - | - | - | - | 9.27e-1 | 0.82 | 126 | - | | |
| | UserServiceImpl | 4019 | 14657 | 87 | 31 | 3901 | 0.00 | - | - | - | - | 9.22e-1 | 0.82 | 43 | - | | |
| MPEC | c499(2.34e-1) | 217 | 522 | 41 | 2 | 174 | 2.34e-1 | 0 | 0 | 2.34e-1 | 0 | 2.34e-1 | 1.00 | 0 | 2 | | |
| | c880(2.34e-1) | 451 | 1167 | 60 | 2 | 389 | 1.25e-1 | 0 | - | - | - | 1.25e-1 | 1.00 | 14 | 72 | | |
| | c1355(3.30e-1) | 771 | 2181 | 41 | 3 | 727 | 3.30e-1 | 0 | - | - | - | 3.30e-1 | 1.00 | 41 | 10 | | |
| | c1908(2.34e-1) | 270 | 705 | 33 | 2 | 235 | 2.34e-1 | 23 | - | 2.34e-1 | 91 | 1.25e-1 | 1.00 | 1 | 3 | | |
| | c3540(1.25e-1) | 321 | 807 | 50 | 2 | 269 | 1.25e-1 | 0 | - | 1.25e-1 | 92 | 1.25e-1 | 1.00 | 2 | 3 | | |
| | c5315(7.37e-1) | 918 | 2190 | 178 | 10 | 730 | 4.14e-1 | 154 | - | - | - | 6.27e-1 | 0.82 | 63 | 217 | | |
| | c7552(4.87e-1) | 648 | 1308 | 207 | 5 | 436 | 2.34e-1 | 0 | - | - | - | 2.18e-1 | 0.82 | 66 | 5 | | |
| Maximum memory usage (GB) | | | | | | | 2.2 | | | 38.6 | | 0.2 | | | 4.2 | | |

ER-SSAT

Experimental Results (2/2)

- Compared to DCSSAT
 - Exactly solve or derive the tightest lower bounds when DCSSAT solves a formula
 - Derive lower bounds when DCSSAT fails
- Compared to MaxCount
 - Scale better on QBF-converted and planning
 - Derive tighter lower bounds on circuits
 - Perform worse on QIF and PS
- Derive more tightest lower bounds than DCSSAT and MaxCount for all formulas

ER-SSAT Summary

- Propose an algorithm to solve ER-SSAT
 - Clause containment learning
 - Approximate ER-SSAT
 - Exactly solve or derive the tightest bounds when state-of-the-art solvers solve a formula
 - Derive lower bounds when other solvers fail

Summary

- We learned
 - Representations of Boolean functions
 - Boolean satisfiability
 - Quantified Boolean satisfiability
 - Stochastic Boolean satisfiability

- To explore logic synthesis and verification, Berkeley ABC tool
 - <https://people.eecs.berkeley.edu/~alanmi/abc/>