

# Elementary Automata Theory

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## Outline

- 1 Automata over Finite Input Sequences
- 2 Automata over Infinite Input Sequences
- 3 Conversion between  $\omega$ -Automata
- 4 SIS and  $\omega$ -Automata

# Finite Automata

- A finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where
  - $Q$  is a finite set of states;
  - $\Sigma$  is a finite input alphabet;
  - $\delta \subseteq Q \times \Sigma \times Q$  is a transition relation;
  - $q_0 \in Q$  is the initial state;
  - $F \subseteq Q$  is a set of accepting states.
- If the transition relation is in fact a function from  $Q \times \Sigma$  to  $Q$ , it is a deterministic finite automaton (DFA). Otherwise, it is a non-deterministic finite automaton (NFA).

## Example

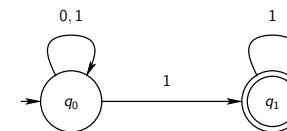


Figure: NFA  $M_0$

- $M_0 = (Q, \Sigma, \delta, q_0, F)$  where
  - $Q = \{q_0, q_1\}$ ;
  - $\Sigma = \{0, 1\}$ ;
  - $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$ ;
  - $F = \{q_1\}$ .

## Input Sequences and Runs

- Let  $M = (Q, \Sigma, \delta, q_0, F)$  be an NFA.
- An input sequence  $\alpha = a_1 a_2 \cdots a_n$  is a finite sequence of symbols over the alphabet  $\Sigma$ .
  - The finite sequence without any symbol is denoted by  $\epsilon$ .
- A run  $\rho = q_0 q_1 \cdots q_{n+1}$  on an input sequence  $\alpha = a_1 a_2 \cdots a_n$  is a sequence of states such that

$$\text{for all } 0 \leq i < n, (q_i, a_{i+1}, q_{i+1}) \in \delta.$$

- A run  $\rho = q_0 q_1 \cdots q_{n+1}$  of  $M$  over  $\alpha = a_1 a_2 \cdots a_n$  is accepting if  $q_{n+1} \in F$ .
- An input sequence  $\alpha$  is accepted by  $M$  if there is an accepting run  $\rho$  of  $M$  over  $\alpha$ .

## Example (cont'd)

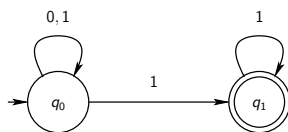


Figure: NFA  $M_0$

- For the input sequence 0000, there is only one run  $q_0 q_0 q_0 q_0 q_0$ .
  - 0000 is not accepted by  $M_0$ .
- For the input sequence 0011, there are three possible runs:
  - $q_0 q_0 q_0 q_0 q_0$ ,  $q_0 q_0 q_0 q_0 q_1$ , and  $q_0 q_0 q_0 q_1 q_1$ .
  - the dark green ones are accepting.
  - 0011 is accepted by  $M_0$ .

## Languages

- Given an alphabet  $\Sigma$ , a language is a set of input sequences over  $\Sigma$ .
- Let  $M = (Q, \Sigma, \delta, q_0, F)$  be an NFA. Define

$$L(M) = \{\alpha : \alpha \text{ is an input sequence accepted by } M\}.$$

- $L(M)$  is the language accepted (or recognized) by  $M$ .
- Thus,

$$\begin{aligned} L(M_0) &= \{1, 01, 11, 001, 011, 111, \dots\} \\ &= \{\alpha : \text{the last symbol of } \alpha \text{ is } 1\}. \end{aligned}$$

## Expressive Power

- Let  $M$  be a DFA. Since a DFA is also an NFA, the language  $L(M)$  is accepted by an NFA as well.
- Let  $N$  be an NFA. We will prove that  $L(N)$  can be accepted by a DFA.
- In other words, nondeterminism does not recognize more languages. For finite automata, it suffices to consider deterministic finite automata.

## Subset Construction

### Theorem

Let  $L$  be a language accepted by an NFA. Then there is a DFA  $M$  such that  $L(M) = L$ .

### Proof.

Let  $N = (Q, \Sigma, \delta, q_0, F)$  be an NFA and  $L(N) = L$ .

Consider  $M = (2^Q, \Sigma, \delta', \{q_0\}, F')$  where

- $\delta'(X, a) = \bigcup_{x \in X} \delta(x, a)$ ;
- $F' = \{X \subseteq Q : X \cap F \neq \emptyset\}$ .

We can show that  $L(N) = L(M)$  by induction on the length of input sequences. □

## Example

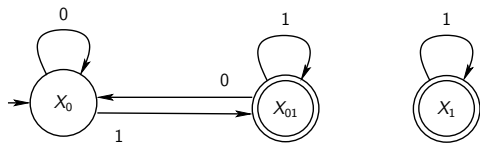


Figure: DFA  $M_1$

- Let us find a DFA  $M_1$  such that  $L(M_1) = L(M_0)$ .
- $M_1 = (Q', \Sigma, \delta', \{q_0\}, F')$  where

- $Q' = \{X_\emptyset, X_0, X_1, X_{01}\}$  where 

$X_\emptyset$	$X_0$	$X_1$	$X_{01}$
$\emptyset$	$\{q_0\}$	$\{q_1\}$	$\{q_0, q_1\}$
- $\delta' = \{(X_0, 0, X_0), (X_0, 1, X_{01}), (X_1, 1, X_1), (X_{01}, 0, X_0), (X_{01}, 1, X_{01})\}$ ;
- $F' = \{X_1, X_{01}\}$ .

## Operations on Languages

- Let  $\Sigma$  be a finite alphabet, and  $L, L_0, L_1$  be languages over  $\Sigma$ .
- The concatenation of  $L_0$  and  $L_1$  (denoted by  $L_0L_1$ ) is defined by

$$L_0L_1 = \{\alpha\beta : \alpha \in L_0, \beta \in L_1\}.$$

- Define  $L^0 = \{\epsilon\}$  and  $L^i = LL^{i-1}$  for  $i \geq 1$ .
- The Kleene closure (or just closure) of  $L$  (denoted by  $L^*$ ) is defined by

$$L^* = \bigcup_{i=0}^{\infty} L^i.$$

- The positive closure of  $L$  (denoted by  $L^+$ ) is defined by

$$L^+ = \bigcup_{i=1}^{\infty} L^i.$$

## Regular Expressions

- Let  $\Sigma$  be an alphabet. The regular expressions over  $\Sigma$  are defined as follows.

- 1  $\emptyset$  is a regular expression denoting the empty set;
- 2  $\epsilon$  is a regular expression denoting the set  $\{\epsilon\}$ ;
- 3 For each  $a \in \Sigma$ ,  $a$  is a regular expression denoting the set  $\{a\}$ ;
- 4 If  $r$  and  $s$  are regular expressions denoting the sets  $R$  and  $S$  respectively, then  $r + s$ ,  $rs$ , and  $r^*$  are regular expressions denoting  $R \cup S$ ,  $RS$ , and  $R^*$  respectively.

## Example

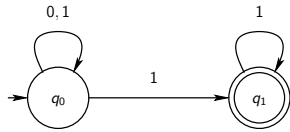


Figure: NFA  $M_0$

- Let  $\Sigma = \{0, 1\}$ .  $L_0 = \{\epsilon, 00\}$  and  $L_1 = \{1, 111\}$ .
  - $L_0 L_1 = \{1, 111, 001, 00111\}$ ;
  - $L_0^+ = \{\epsilon, 00, 0000, \dots\} = \{0^{2i} : i \geq 0\}$ ;
  - $L_1^* = \{\epsilon, 1, 11, 111, \dots\} = \{1^i : i \geq 0\}$ .
- Also note that  $L_0 \subseteq \Sigma^*$  and  $L_1 \subseteq \Sigma^*$ .
  - Thus, a language is a subset of  $\Sigma^*$ .
- We have  $L(M_0) = (0 + 1)^* 1^+$

## Regular Expressions to NFA with $\epsilon$ -Transitions

### Theorem

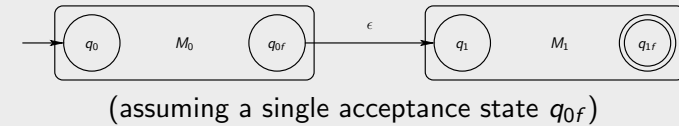
Let  $r$  be a regular expression. There is an NFA with  $\epsilon$ -transition that accepts the language denoted by  $r$ .

### Proof.

We prove by induction on the  $r$ . For the basis, see the following.



For the inductive step, first consider  $r = st$ . We use



## NFA with $\epsilon$ -Transitions

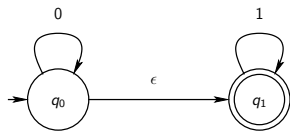


Figure: NFA  $M_2$

- Since  $\epsilon \notin \Sigma$ , we do not allow, for example,  $(p, \epsilon, q)$  in the transition relation of finite automata.
- A transition with  $\epsilon$  as its input symbol is called an  $\epsilon$ -transition.
  - Intuitively, it represents that the finite automaton can move to another state without consuming any input symbol.
- Consider the NFA  $M_2$ . We have  $L(M_2) = 0^* 1^*$ .

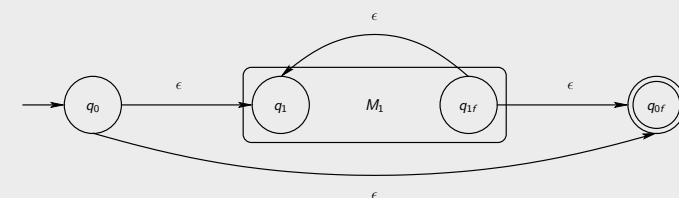
## Regular Expressions to NFA with $\epsilon$ -Transitions (cont'd)

### Proof (cont'd).

For  $r = s + t$ , we use



Finally, for  $r = s^*$ , we use



## NFA with $\epsilon$ -Transitions to DFA



Figure: NFA  $M_2$  to  $M_3$  without  $\epsilon$ -transition

- It is actually not difficult to see that  $\epsilon$ -transitions can be removed.
  - The idea is to simulate  $\epsilon$ -transitions by consuming input symbols.
- We will not give a proof but only consider an example.
- In general, removing  $\epsilon$ -transitions will result in an NFA.
- We can further transform an NFA to a DFA.

## Example

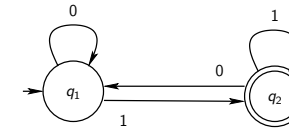


Figure: DFA  $M_4$

	$k = 0$	$k = 1$	$k = 2$
$R_{11}^k$	0	$0^+$	
$R_{12}^k$	1	$0^*1$	$0^*1(0^*1)^*0^*1 + 0^*1 = (0 + 1)^*1$
$R_{21}^k$	0	$0^+$	
$R_{22}^k$	1	$0^*1$	

## DFA to Regular Expressions

### Theorem

Let  $D$  be a DFA. There is a regular expression denoting  $L(D)$ .

### Proof.

Let  $D = (\{q_1, \dots, q_n\}, \Sigma, \delta, q_1, F)$  be a DFA. Define

$$R_{ij}^0 = \begin{cases} \{a : (q_i, a, q_j) \in \delta\} & \text{if } i \neq j \\ \{a : (q_i, a, q_j) \in \delta\} \cup \{\epsilon\} & \text{if } i = j \end{cases}$$

$$R_{ij}^k = R_{ik}^{k-1} (R_{kk}^{k-1})^* R_{kj}^{k-1} \cup R_{ij}^{k-1}$$

Intuitively,  $R_{ij}^k$  represents the inputs that cause  $D$  to go from  $q_i$  to  $q_j$  without passing through a state higher than  $q_k$ . It is not hard to see that  $R_{ij}^k$  can be denoted by regular expressions.

The result follows by observing that  $L(D) = \bigcup_{q_i \in F} R_{1j}^n$ .  $\square$

## Regular Languages

- The class  $\mathcal{R}$  of regular languages consists of languages accepted by deterministic finite automata.

$$\mathcal{R} = \{L(D) : D \text{ is a DFA}\}$$

- Since each NFA can be transformed to a DFA, we have

$$\mathcal{R} = \{L(M) : M \text{ is an NFA}\}$$

- Since each regular expression can be transformed to an NFA, we have

$$\mathcal{R} = \{L(e) : e \text{ is a regular expression}\}$$

## Closure Properties

- For any  $L_0, L_1 \in \mathcal{R}$ , there are regular expressions  $r_0$  and  $r_1$  denoting  $L_0$  and  $L_1$  respectively.
- Moreover, the regular expression  $r_0 + r_1$  denotes  $L_0 \cup L_1$  and is accepted by an NFA.
- Thus  $L_0 \cup L_1 \in \mathcal{R}$  for any  $L_0, L_1 \in \mathcal{R}$ .
- Similarly, we can prove that
  - $L_0 L_1 \in \mathcal{R}$  for any  $L_0, L_1 \in \mathcal{R}$ , and
  - $L^* \in \mathcal{R}$  for any  $L \in \mathcal{R}$ .

## Closure Properties (cont'd)

### Theorem

For any  $L \in \mathcal{R}$ ,  $\Sigma^* \setminus L \in \mathcal{R}$ .

### Proof.

Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a DFA and  $L = L(D)$ . Then  $D' = (Q, \Sigma, \delta, q_0, Q \setminus F)$  accepts the language  $\Sigma^* \setminus L$ .  $\square$

### Theorem

For any  $L_0, L_1 \in \mathcal{R}$ ,  $L_0 \cap L_1 \in \mathcal{R}$ .

### Proof.

Observe that  $L_0 \cap L_1 = \Sigma^* \setminus ((\Sigma^* \setminus L_0) \cup (\Sigma^* \setminus L_1))$ .  $\square$

## $\omega$ -Automata

- We would like to generalize inputs to finite automata.
- Instead of finite input sequences, let us consider an infinite input sequence  $\alpha = a_1 a_2 \dots a_n \dots$  over  $\Sigma$ .
- Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a finite automaton.
- As before, define a run  $\rho = q_0 q_1 \dots q_n \dots$  on  $\alpha$  to be an infinite sequence of states such that

$$\text{for all } i \geq 0, (q_i, a_{i+1}, q_{i+1}) \in \delta.$$

- What is an accepting run then?
  - Problem: there is no “final” state in an infinite run.
  - We cannot reuse the old definition.

## Büchi Acceptance

- Let  $\rho = q_0 q_1 \dots q_n \dots$  be an infinite run.
- Define

$$\text{Inf}(\rho) = \{q \in Q : q \text{ occurs infinitely many times in } \rho\}.$$

- An infinite run  $\rho$  of  $M = (Q, \Sigma, \delta, q_0, F)$  over  $\alpha$  is accepting if  $\text{Inf}(\rho) \cap F \neq \emptyset$ .
  - This is called Büchi acceptance
- An infinite input sequence  $\alpha$  is accepted by  $M$  if there is an accepting infinite run  $\rho$  of  $M$  over  $\alpha$ .
- Finally, define

$$L_\omega(M) = \{\alpha : \alpha \text{ is an infinite input sequence accepted by } M\}.$$

## Example

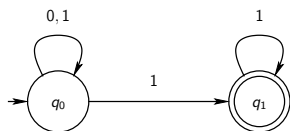


Figure: NFA  $M_0$

- Let us reconsider  $M_0$ .
- $L_\omega(M_0) = \{\alpha : \alpha \text{ has only finitely many 0's}\}$ .
  - If there are infinitely many 0's,  $M_0$  has to stay in  $q_0$ . It cannot pass  $q_1$  infinitely many times.
- We will write the expression  $(0 + 1)^* 1^\omega$  to denote  $L(M_0)$ .

## Nondeterminism

- For finite automata over finite input sequences, we know nondeterminism does not give us more expressive power.
- However, nondeterministic finite automata with Büchi acceptance over infinite input sequences can recognize more languages than deterministic ones.

### Theorem

$(0 + 1)^* 1^\omega$  cannot be accepted by any DFA with Büchi acceptance.

### Proof.

Suppose  $D = (Q, \Sigma, \delta, q_0, F)$  is a DFA and  $L(D) = (0 + 1)^* 1^\omega$ . Consider  $1^\omega$ . There is  $n_0$  such that  $1^{n_0}$  causes  $D$  to reach an accepting state. Now consider  $1^{n_0} 0 1^\omega$ . There is  $n_1$  such that  $1^{n_0} 0 1^{n_1}$  causes  $D$  to reach an accepting state. We can therefore construct  $1^{n_0} 0 1^{n_1} 0 1^{n_2} 0 \dots$  to cause  $D$  to pass through  $F$  infinitely many times. A contradiction.  $\square$

## Remark

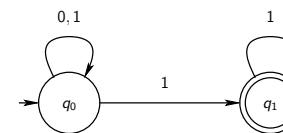


Figure: NFA  $M_0$

- The proof does not work for NFA.
- Consider again the NFA  $M_0$ .
- 1 causes  $M_0$  to reach  $q_1$ . 101 causes  $M_0$  to reach  $q_1$ , etc. There is no problem.
- However, 101 passes  $q_1$  only once. Similarly, 10101, 1010101, ... pass  $q_1$  only once.
- Because  $M_0$  is nondeterministic, infinite runs may not be the "limit" of their finite prefixes.

## The Class of Regular $\omega$ -Languages

- Define

$$\mathcal{R}_\omega = \{L_\omega(M) : M \text{ is an NFA with Büchi acceptance}\}.$$

- $\mathcal{R}_\omega$  is called the class of regular  $\omega$ -languages.
- Under Büchi acceptance, nondeterminism increases the expressive power. We have

$$\{L_\omega(D) : D \text{ is a DFA with Büchi acceptance}\} \not\subseteq \mathcal{R}_\omega.$$

- In addition to Büchi acceptance, we will discuss three different acceptances.

## Muller Acceptance

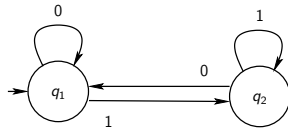


Figure: DFA  $M_5$

- Let  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  be a finite automaton with  $\mathcal{F} \subseteq 2^Q$ .
- An infinite run  $\rho$  over an input sequence  $\alpha$  on  $M$  is accepting if  $\text{Inf}(\rho) \in \mathcal{F}$ .
  - This is called Muller acceptance.
- Consider the DFA  $M_5$  with  $\mathcal{F} = \{\{q_2\}\}$ .
- With Muller acceptance, we have  $L_\omega(M_5) = (0+1)^*1^\omega$ .
  - Note that  $M_5$  is deterministic
  - Also note that  $(01)^\omega$  is not accepted with Muller acceptance.

## Streett Acceptance

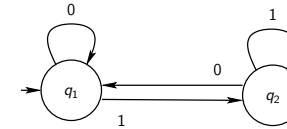


Figure: DFA  $M_5$

- Let  $M = (Q, \Sigma, \delta, q_0, \Omega)$  be a finite automaton with  $\Omega = \{(E_0, F_0), \dots, (E_k, F_k)\}$  and  $E_i, F_i \subseteq Q$ .
- An infinite run  $\rho$  over an input sequence  $\alpha$  on  $M$  is accepting if  $\forall (E, F) \in \Omega, \text{Inf}(\rho) \cap E \neq \emptyset$  or  $\text{Inf}(\rho) \cap F = \emptyset$ .
- Observe that Rabin acceptance and Streett acceptance are complementary.
- Consider the DFA  $M_5$  with  $\Omega = \{(\{q_2\}, \{q_1, q_2\}), (\emptyset, \{q_1\})\}$ .
  - $(\{q_2\}, \{q_1, q_2\})$  forces 1 to occur infinitely many times.
  - $(\emptyset, \{q_1\})$  forbids 0 to occur infinitely many times.

## Rabin Acceptance

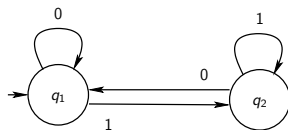


Figure: DFA  $M_5$

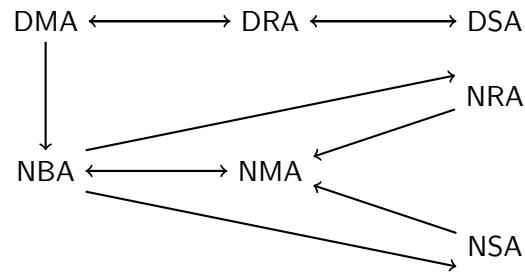
- Let  $M = (Q, \Sigma, \delta, q_0, \Omega)$  be a finite automaton with  $\Omega = \{(E_0, F_0), \dots, (E_k, F_k)\}$  and  $E_i, F_i \subseteq Q$ .
- An infinite run  $\rho$  over an input sequence  $\alpha$  on  $M$  is accepting if  $\exists (E, F) \in \Omega$  such that  $\text{Inf}(\rho) \cap E = \emptyset$  and  $\text{Inf}(\rho) \cap F \neq \emptyset$ .
- Consider the DFA  $M_5$  with  $\Omega = \{(\{q_1\}, \{q_2\})\}$ .
- With Rabin acceptance, we have  $L_\omega(M_5) = (0+1)^*1^\omega$ .
  - $\text{Inf}(\rho) \cap \{q_1\} = \emptyset$  forbids 0 to occur infinitely many times.
  - $\text{Inf}(\rho) \cap \{q_2\} \neq \emptyset$  forces 1 to occur infinitely many times.

## Expressive Power

- An important question in  $\omega$ -automata theory is to compare the expressive power of various acceptances.
- We have shown that non-deterministic Büchi acceptance is strictly more expressive than deterministic Büchi acceptance.
- What is the relation between non-deterministic Büchi acceptance and non-deterministic Muller acceptance
  - Similarly, what about non-deterministic Rabin acceptance and non-deterministic Streett acceptance?
- What is the relation between deterministic Büchi acceptance and deterministic Muller acceptance
  - And between deterministic Rabin acceptance and deterministic Streett acceptance?
- We will address these questions shortly.



## Expressive Power (Overview)



D: Deterministic, N: Nondeterministic  
 B: Büchi, M: Muller, R: Rabin, S: Streett  
 A: Automata  
 $X \rightarrow Y$ : X can be translated to Y

(The graph here only covers translations in this lecture and hence is not complete.)

## Büchi to Muller Acceptance

### Lemma

Let  $B = (Q, \Sigma, \delta, q_0, F)$  be a finite automaton with Büchi acceptance. Define  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  with  $\mathcal{F} = \{G \subseteq Q : G \cap F \neq \emptyset\}$ . Then  $L_\omega(B) = L_\omega(M)$ .

### Proof.

Let  $\alpha$  be an input sequence and  $\rho$  an infinite run over  $\alpha$  on  $B$ .  $\alpha \in L_\omega(B)$  iff  $\text{Inf}(\rho) \cap F \neq \emptyset$  iff  $\text{Inf}(\rho) \in \mathcal{F}$  iff  $\alpha \in L_\omega(M)$ .  $\square$

## Example

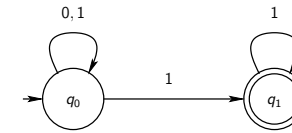


Figure: NFA  $M_0$

- The finite automaton  $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \mathcal{F})$  with Muller acceptance where
  - $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$ ;
  - $\mathcal{F} = \{\{q_1\}, \{q_0, q_1\}\}$
 accepts the same  $\omega$ -language.

## Muller to Büchi Acceptance

### Lemma

Let  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  be a finite automaton with Muller acceptance. There is a finite automaton  $B = (Q', \Sigma, \delta', q_0, F)$  with Büchi acceptance such that  $L_\omega(B) = L_\omega(M)$ .

### Proof.

The idea is to “guess” a set  $G \in \mathcal{F}$  and check whether all states in  $G$  are visited infinitely many times.

For each  $G \in \mathcal{F}$ , we define  $Q_G = \{q_G : q \in G\}$ . Moreover, we use a set to record which states in  $G$  have been visited. Define

$$Q' = Q \cup \bigcup_{G \in \mathcal{F}} (Q_G \times 2^G).$$

$$\delta' = \delta \cup \{(p, a, (q_G, \emptyset)) : (p, a, q) \in \delta\} \cup \{((p_G, R), a, (q_G, R \cup \{p\})) : (p, a, q) \in \delta, R \neq G\} \cup \{((p_G, G), a, (q_G, \emptyset)) : (p, a, q) \in \delta\}.$$

$$F = \{(q_G, \emptyset) : q_G \in Q_G, G \in \mathcal{F}\}.$$

## Example

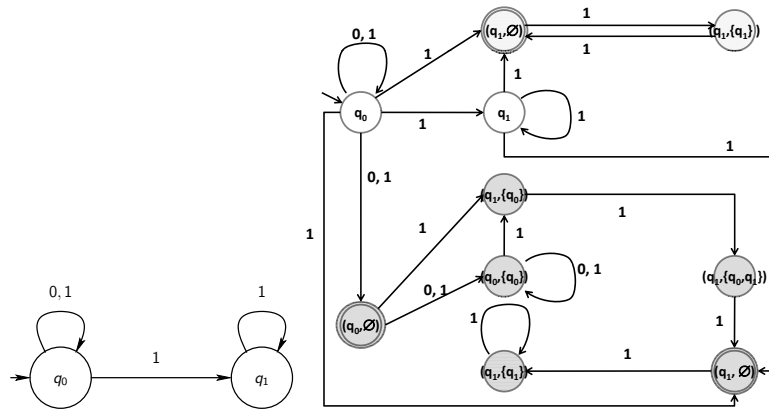


Figure: NFA  $M_7$

- Consider  $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \mathcal{F})$  where  $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$  and  $\mathcal{F} = \{\{q_0, q_1\}, \{q_1\}\}$ .

## Rabin and Streett to Muller Acceptance

### Lemma

Let  $R = (Q, \Sigma, \delta, q_0, \Omega)$  be a finite automaton with Rabin acceptance. Define  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  with Muller acceptance where

$$\mathcal{F} = \{G \subseteq Q : \exists (E, F) \in \Omega. G \cap E = \emptyset \wedge G \cap F \neq \emptyset\}.$$

Then  $L_\omega(R) = L_\omega(M)$ .

### Lemma

Let  $S = (Q, \Sigma, \delta, q_0, \Omega)$  be a finite automaton with Streett acceptance. Define  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  with Muller acceptance where

$$\mathcal{F} = \{G \subseteq Q : \forall (E, F) \in \Omega. G \cap E \neq \emptyset \vee G \cap F = \emptyset\}.$$

Then  $L_\omega(S) = L_\omega(M)$ .

- These two follow from the definition immediately.

## Example

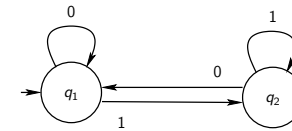


Figure: DFA  $M_5$

- Consider the finite automaton  $R = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \Omega)$  with Rabin acceptance where
  - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
  - $\Omega = \{\{q_1\}, \{q_2\}\}$ .
- The finite automaton  $M = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$  with Muller acceptance accepts the same  $\omega$ -language.

## Büchi to Rabin and Streett Acceptance

### Lemma

Let  $B = (Q, \Sigma, \delta, q_0, F)$  be a finite automaton with Büchi acceptance. Define  $R = (Q, \Sigma, \delta, q_0, \Omega)$  with Rabin acceptance where  $\Omega = \{(\emptyset, F)\}$ . Then  $L_\omega(B) = L_\omega(R)$ .

### Lemma

Let  $B = (Q, \Sigma, \delta, q_0, F)$  be a finite automaton with Büchi acceptance. Define  $S = (Q, \Sigma, \delta, q_0, \Omega)$  with Streett acceptance where  $\Omega = \{(F, Q)\}$ . Then  $L_\omega(B) = L_\omega(S)$ .

- These two also follow by definition immediately.

## Example

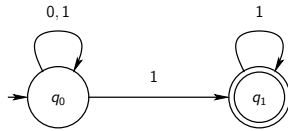


Figure: NFA  $M_0$

- Consider the finite automaton  $M_0 = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_1\})$  with Büchi acceptance where
  - $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$ .
- The finite automaton  $R = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{\emptyset, \{q_1\}\})$  with Rabin acceptance recognizes the same  $\omega$ -language.

## Deterministic Muller to Rabin Acceptance

### Lemma

Let  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  be a DFA with Muller acceptance. Assume  $Q = \{1, 2, \dots, k\}$  and  $q_0 = 1$ . Consider  $R = (Q', \Sigma, \delta', q'_0, \Omega)$  with Rabin acceptance where

- $Q' = \{w \in (Q \cup \{h\})^* : \forall q \in Q \cup \{h\}, q \text{ occurs in } w \text{ exactly once.}\}$ .
- $q'_0 = h k \dots 1$ .
- $\delta'(m_1 \dots m_r \ h \ m_{r+1} \dots m_k, a) = m_1 \dots m_{s-1} \ h \ m_{s+1} \dots m_k m_s$  if  $\delta(m_k, a) = m_s$ .
- $\Omega = \{(E_0, F_0), \dots, (E_k, F_k)\}$  with
  - $E_i = \{u \ h \ v : |u| < i\}$
  - $F_i = \{u \ h \ v : |u| < i\} \cup \{u \ h \ v : |u| = i \text{ and } \{m \in Q : m \text{ occurs in } v\} \in \mathcal{F}\}$ .

We have  $L_\omega(M) = L_\omega(R)$ .

## Expressive Power

- We have the following transformaion:
  - Büchi to Muller acceptance
  - Muller to Büchi acceptance
  - Rabin and Streett to Muller acceptance
  - Büchi to Rabin and Streett acceptance
- Therefore,

### Lemma

The following classes of  $\omega$ -languages are equivalent:

- $\{L_\omega(M) : M \text{ is an NFA with Büchi acceptance}\};$
- $\{L_\omega(M) : M \text{ is an NFA with Muller acceptance}\};$
- $\{L_\omega(M) : M \text{ is an NFA with Rabin acceptance}\};$
- $\{L_\omega(M) : M \text{ is an NFA with Streett acceptance}\}.$

## Deterministic Muller to Rabin Acceptance

### Proof (sketch).

Let us consider a run  $\rho$  of  $M$  with  $\text{Inf}(\rho) = J = \{m_1, \dots, m_j\}$ . In the corresponding run on  $R$ , states in  $Q \setminus J$  will eventually move before  $h$ . Hence,  $R$  will finally visits states of the form  $u \ h \ v$  where  $u$  contains all states in  $Q \setminus J$ . Therefore,  $|u| \geq |Q \setminus J|$  and  $|v| \leq |J| = j$  eventually. Since  $J$  are visited infinitely often, we have  $|v| = |J| = j$  infinitely often. Moreover, the states in  $v$  when  $|v| = j$  are precisely the set  $J$ .  $\square$

## Example

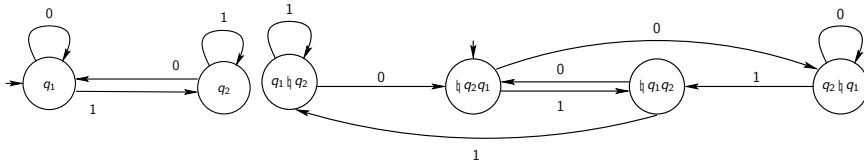


Figure: DFA  $M_8$

- Consider  $M_5 = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$  with Muller acceptance where
  - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$ .
- The DFA  $M_8 = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2)\})$  with Rabin acceptance where
  - $Q = \{\emptyset, q_1q_2, q_1q_2q_1, q_1q_2q_1q_2, q_2q_1q_1\}$
  - $(E_0, F_0) = (\emptyset, \emptyset)$
  - $(E_1, F_1) = (\{q_1q_2, q_1q_2q_1, q_1q_2q_1q_2\}, \{q_1q_2q_1, q_1q_2q_1q_2\})$
  - $(E_2, F_2) = (Q, Q)$

recognizes the same language.

## Deterministic Rabin to Muller Acceptance

### Lemma

Let  $R = (Q, \Sigma, \delta, q_0, \Omega)$  be a DFA with Rabin acceptance. Define  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  with Muller acceptance where

$$\mathcal{F} = \{G \subseteq Q : \exists (E, F) \in \Omega. G \cap E = \emptyset \wedge G \cap F \neq \emptyset\}.$$

Then  $L_\omega(R) = L_\omega(M)$ .

- This is the same construction for the non-deterministic case.

## Deterministic Rabin to Streett Acceptance

### Lemma

Let  $D = (Q, \Sigma, \delta, q_0, \Omega)$  be a DFA with Rabin acceptance. Consider  $E = (Q, \Sigma, \delta, q_0, \Omega)$  as a DFA with Streett acceptance. Then  $L_\omega(D) = \Sigma^\omega \setminus L_\omega(E)$ .

### Proof.

Rabin acceptance and Streett acceptance are complementary.  $\square$

### Lemma

Let  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  be a DFA with Muller acceptance. Define  $M' = (Q, \Sigma, \delta, q_0, 2^Q \setminus \mathcal{F})$ . Then  $L_\omega(M) = \Sigma^\omega \setminus L_\omega(M')$ .

### Proof.

By definition.  $\square$

## Deterministic Rabin to Streett Acceptance

### Lemma

Let  $R$  be a DFA with Rabin acceptance. There is a DFA  $S$  with Streett acceptance such that  $L_\omega(R) = L_\omega(S)$ .

### Proof.

We construct a DFA  $M$  with Muller acceptance such that  $L_\omega(M) = L_\omega(R)$ . Build  $M'$  with Muller acceptance such that  $L_\omega(M') = \Sigma^\omega \setminus L_\omega(M)$ . Then we construct a DFA  $R'$  with Rabin acceptance such that  $L_\omega(R') = L_\omega(M')$ . Then  $S = R'$  with Streett acceptance is what we want. We have the following equation:

$$\begin{aligned} & L_\omega(S) \text{ with Streett acceptance} \\ &= \Sigma^\omega \setminus L_\omega(R') \text{ with Rabin acceptance} \\ &= \Sigma^\omega \setminus L_\omega(M') \text{ with Muller acceptance} \\ &= \Sigma^\omega \setminus (\Sigma^\omega \setminus L_\omega(M)) \text{ with Muller acceptance} \\ &= L_\omega(M) \text{ with Muller acceptance} \\ &= L_\omega(R) \text{ with Rabin acceptance.} \end{aligned} \quad \square$$

## Example

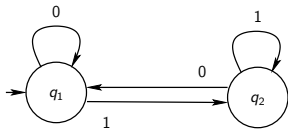


Figure: Rabin to Muller Acceptance

- Consider the DFA  $R = (\{q_1, q_2\}, \{0, 1\}, \delta, q_0, \Omega)$  with Rabin acceptance where
  - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
  - $\Omega = \{\{q_1\}, \{q_2\}\}$
- The DFA  $M = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$  with Muller acceptance recognizes the same language.

## Example

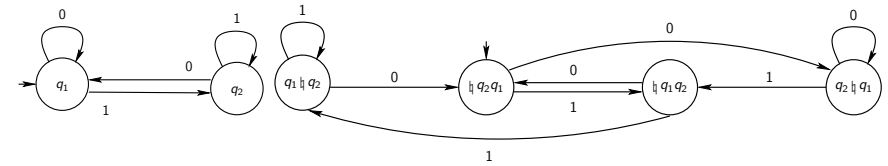


Figure: Muller to Rabin Acceptance

- Consider the DFA  $M' = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\emptyset, \{q_1\}, \{q_1, q_2\}\})$  with Muller acceptance where
    - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
  - The DFA  $R' = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2), (E_3, F_3)\})$  with Rabin acceptance where
    - $Q = \{\emptyset, q_1 q_2, q_2 q_1, q_1 q_2 q_1, q_2 q_1 q_2\}$
    - $(E_0, F_0) = (\emptyset, \{\emptyset, q_1 q_2, q_2 q_1\})$
    - $(E_1, F_1) = (\{q_1 q_2, q_2 q_1\}, \{q_1 q_2, q_2 q_1, q_2 q_1 q_2\})$
    - $(E_2, F_2) = (E_3, F_3) = (Q, Q)$
- recognizes  $L_\omega(M')$ .

## Example

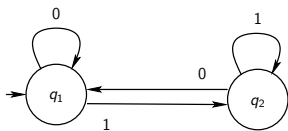


Figure: Muller Complementation

- Consider the DFA  $M = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$  with Muller acceptance where
  - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
- The DFA  $M' = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\emptyset, \{q_1\}, \{q_1, q_2\}\})$  with Muller acceptance recognizes  $\Sigma^\omega \setminus L_\omega(M)$ .

## Example

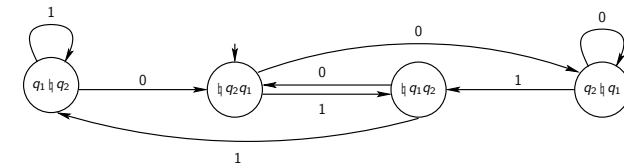


Figure: Rabin Complementation

- Consider the DFA  $R' = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2), (E_3, F_3)\})$  with Rabin acceptance where
  - $Q = \{\emptyset, q_1 q_2, q_2 q_1, q_1 q_2 q_1, q_2 q_1 q_2\}$
  - $(E_0, F_0) = (\emptyset, \{\emptyset, q_1 q_2, q_2 q_1\})$
  - $(E_1, F_1) = (\{q_1 q_2, q_2 q_1\}, \{q_1 q_2, q_2 q_1, q_2 q_1 q_2\})$
  - $(E_2, F_2) = (E_3, F_3) = (Q, Q)$
- The DFA  $S = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2), (E_3, F_3)\})$  with Streett acceptance recognizes  $\Sigma^\omega \setminus L_\omega(R')$ .

## Expressive Power

- In summary, we have shown Muller, Rabin, and Streett acceptances are equivalent for deterministic finite automata.

### Theorem

The following classes of  $\omega$ -languages are equivalent:

- ①  $\{L_\omega(D) : D \text{ is a DFA with Muller acceptance}\};$
- ②  $\{L_\omega(D) : D \text{ is a DFA with Rabin acceptance}\};$
- ③  $\{L_\omega(D) : D \text{ is a DFA with Streett acceptance}\}.$

### Corollary

The following classes are closed under union, intersection, and complementation:

- ①  $\{L_\omega(D) : D \text{ is a DFA with Muller acceptance}\};$
- ②  $\{L_\omega(D) : D \text{ is a DFA with Rabin acceptance}\};$
- ③  $\{L_\omega(D) : D \text{ is a DFA with Streett acceptance}\}.$

## Second-Order Logic

- Second-order logic (SO) is an extension of first-order logic.
- It allows relational variables  $X, Y, Z, \dots$
- Terms in second-order logic includes
  - All terms in first-order logic; and
  - $Xt_1 \dots t_n$  where  $X$  is an  $n$ -ary relational variable and  $t_1, \dots, t_n$  are terms.
- Well-formed formulae in second-order logic includes
  - All well-formed formulae in first-order logic; and
  - $\exists X \phi$  where  $X$  is a relational variable and  $\phi$  a formula.

## Relating Nondeterministic and Deterministic Classes

- We have shown that Büchi, Muller, Rabin, Streett acceptances are equivalent for nondeterministic finite automata
- We also know that Muller, Rabin, Streett acceptances are equivalent for deterministic finite automata
- Are these two classes of  $\omega$ -languages equivalent?
  - YES!
- We can in fact compute the complement of NFA with Büchi acceptance
  - Transform NFA with Büchi acceptance to DFA with, say, Muller acceptance
  - Find the complement of the DFA with Muller acceptance
  - Transform DFA with Muller acceptance to NFA with Büchi acceptance
- In Prof. Tsay's lecture, a construction for complementation will be given. (Have fun!)

## Monadic Second-Order Logic: Syntax

- A 1-ary relational symbol is called monadic.
- Monadic second-order logic (MSO) is a subclass of second-order logic where all relational variables are monadic.
- The syntax of monadic second-order logic over vocabulary  $\sigma$  ( $\text{MSO}[\sigma]$ ) is as follows.
  - If  $X, Y \in \sigma$  are monadic,  $X \subseteq Y$  is in  $\text{MSO}[\sigma]$ ;
  - If  $R, Y_1, Y_2, \dots, Y_k$  are in  $\text{MSO}[\sigma]$  and  $R$  has arity  $k$ , then  $RY_1 Y_2 \dots Y_k$  is in  $\text{MSO}[\sigma]$ ;
  - If  $\phi$  and  $\psi$  are in  $\text{MSO}[\sigma]$ , so are  $\neg \phi$  and  $\phi \vee \psi$ ;
  - If  $\phi$  is in  $\text{MSO}[\sigma \cup \{X\}]$  and  $X$  is monadic, then  $\exists X \phi$  is in  $\text{MSO}[\sigma]$ .

- The satisfaction relation  $\models$  is defined as follows. Let  $\mathcal{M}$  be a model over the vocabulary  $\sigma$ .
  - $\mathcal{M} \models X \subseteq Y$  if  $X^{\mathcal{M}} \subseteq Y^{\mathcal{M}}$ ;
  - $\mathcal{M} \models RY_1 \dots Y_k$  if  $R^{\mathcal{M}} \cap (Y_1^{\mathcal{M}} \times \dots \times Y_k^{\mathcal{M}}) \neq \emptyset$ ;
  - $\mathcal{M} \models \neg\phi$  is not  $\mathcal{M} \models \phi$ ;
  - $\mathcal{M} \models \phi \vee \psi$  if  $\mathcal{M} \models \phi$  or  $\mathcal{M} \models \psi$ ;
  - $\mathcal{M} \models \exists X\phi$  if there is an extension model  $\mathfrak{B}$  of  $\mathcal{M}$  over  $\sigma \cup \{X\}$  such that  $\mathfrak{B} \models \phi$ .
- Semantically, a monadic symbol represents a set of objects
- Where is the first-order quantification?
  - $\exists x\phi$  is not in  $\text{MSO}[\sigma]$ !

## Abbreviations

- We use the following abbreviations:

$\phi \wedge \psi$	for	$\neg(\neg\phi \vee \neg\psi)$
$\phi \rightarrow \psi$	for	$\neg\phi \vee \psi$
$\forall X\phi$	for	$\neg\exists X\neg\phi$
$X = \emptyset$	for	$\forall YX \subseteq Y$
$\text{sing}(x)$	for	$\neg x = \emptyset \wedge \forall X(X \subseteq x \rightarrow (x \subseteq X \vee X = \emptyset))$
$x \in P$	for	$\text{sing}(x) \wedge x \subseteq P$
$P = Q$	for	$P \subseteq Q \wedge Q \subseteq P$
$\exists x \in P\phi$	for	$\exists x(x \in P \wedge \phi)$
$\forall x \in P\phi$	for	$\forall x(x \in P \rightarrow \phi)$ .

- Note that  $\text{sing}(x)$  means that  $x$  is a singleton set
  - $x$  is a 1-ary relation and  $o \in x$  for exactly one object  $o$

- Weak Monadic Second-Order Logic (WMSO) has the same syntax as MSO. Its semantics however is slightly different:
  - $\mathcal{M} \models_w \exists X\phi$  if there is an extension model  $\mathfrak{B}$  over  $\sigma \cup \{X\}$  such that  $\mathfrak{B} \models_w \phi$  and  $X^{\mathfrak{B}}$  is finite.
- In other words, the second-order quantification in WMSO is over finite sets.
  - On the other hand, we can quantify arbitrary sets in MSO.

## Infinite Inputs as Structures

- Let  $\Sigma$  be a finite alphabet.
- Consider the structure  $\mathcal{I} = (\mathbb{Z}^+, S^{\mathcal{I}}, (P_a^{\mathcal{I}})_{a \in \Sigma})$  where
  - $S^{\mathcal{I}} = \{(n, n+1) : n \in \mathbb{Z}^+\}$ ;
  - $P_a^{\mathcal{I}} \subseteq \mathbb{Z}^+$  for all  $a \in \Sigma$ .
- Intuitively, each positive integer represents a position in an input sequence.
- A position in the set  $P_a^{\mathcal{I}}$  means that the symbol  $a$  appears in the position
- We can represent an infinite input with such a structure.

## Example

- Let  $\Sigma = \{0, 1\}$ .
- The input sequence  $0^\omega$  corresponds to  $\mathfrak{I}_0 = (\mathbb{Z}^+, S^{\mathfrak{I}_0}, P_0^{\mathfrak{I}_0} = \mathbb{Z}^+, P_1^{\mathfrak{I}_0} = \emptyset)$ .
- The input sequence  $(01)^\omega$  corresponds to  $\mathfrak{I}_1 = (\mathbb{Z}^+, S^{\mathfrak{I}_1}, P_0^{\mathfrak{I}_1} = \{2k + 1 : k \in \mathbb{N}\}, P_1^{\mathfrak{I}_1} = \{2k : k \in \mathbb{Z}^+\})$ .

## S1S and WS1S

- Monadic Second-Order Logic with One Successor (S1S) is the logic MSO over infinite inputs.
  - That is, the satisfaction relation  $\models$  is restricted to infinite inputs on the left
- Weak Monadic Second-Order Logic with One Successor (WS1S) is the logic WMSO over infinite inputs.

## Initially Closed Sets

- A set  $P$  of  $\mathbb{Z}^+$  is initially closed if

$$\text{for all } x, y \in \mathbb{Z}^+ (y \in P \wedge x \leq y \rightarrow x \in P).$$

- Consider the following formula:

$$\text{InCl}(P) = \forall x \forall y ((\text{sing}(x) \wedge Sxy \wedge y \in P) \rightarrow x \in P).$$

- Then

### Lemma

For any infinite input structure  $\mathfrak{I}$ , the following are equivalent:

- $\mathfrak{I} \models \text{InCl}(P)$ ;
- $\mathfrak{I} \models_W \text{InCl}(P)$ ;
- $P$  is initially closed.

## Transitive Closure of Successor

- Consider the following binary relations:

$$\begin{aligned} < &= \{(n, n+m) : n, m \in \mathbb{Z}^+\} \\ \leq &= < \cup \{(n, n) : n \in \mathbb{Z}^+\}. \end{aligned}$$

- We can represent these relations in (W)S1S:

$$\begin{aligned} x \leq y &= \text{sing}(y) \wedge \forall P ((\text{InCl}(P) \wedge y \in P) \rightarrow x \in P) \\ x < y &= x \leq y \wedge \neg(x = y). \end{aligned}$$

- Thus, we are free to use  $x < y$  and  $x \leq y$  in (W)S1S.



- Let  $\mathfrak{J}$  be an infinite input structure.
- Consider the following S1S formula:

$$\text{Inf}(P) = \exists P'(P' \neq \emptyset \wedge \forall x' \in P' \exists y \in P \exists y' \in P'(x' < y \wedge x' < y')).$$

- We have  $\mathfrak{J} \models \text{Inf}(P)$  if  $P$  is an infinite subset of  $\mathbb{Z}^+$ .
  - Informally,  $P$  is an infinite subset of  $\mathbb{Z}^+$  if there are infinite  $x'_0 < x'_1 < x'_2 < \dots$  such that for each  $i$ , there is a  $y_i$  such that  $x'_i < y_i$ .

## Logic and Finite Automata

- Let  $\alpha$  be an infinite input over  $\Sigma$  and  $\mathfrak{J}_\alpha$  its infinite input structure.
- We have two formalisms to define  $\omega$ -languages over  $\Sigma$ :
  - $L_\omega(M) = \{\alpha : \alpha \text{ is accepted by the DFA } M\}$ ;
  - $L_\omega(\phi) = \{\alpha : \mathfrak{J}_\alpha \models \phi, \phi \text{ is an S1S formula}\}$ .
- An important question (as in DFA's and NFA's) is to determine the expressive power of finite automata over infinite inputs and S1S over infinite input structures. More precisely,
  - Given a DFA  $M$  with Muller acceptance, is there an S1S formula  $\phi$  such that  $L_\omega(M) = L_\omega(\phi)$ ?
  - Given an S1S formula  $\phi$ , is there a DFA  $M$  with Muller acceptance such that  $L_\omega(\phi) = L_\omega(M)$ ?
- We will show that finite automata and S1S formulae are equally expressive.

### Lemma

For each NFA  $M$  with Muller acceptance, there is a formula  $\phi_M \in \text{S1S}$  such that  $\forall \alpha \in \Sigma^\omega, M \text{ accepts } \alpha \text{ iff } \mathfrak{J}_\alpha \models \phi_M$ .

### Proof.

Let  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ . Define  $\bar{R} = (R_q)_{q \in Q}$ . Consider

$$\phi_M = \exists \bar{R}(\text{Part} \wedge \text{Init} \wedge \text{Trans} \wedge \text{Accept}).$$

*Part* formalizes that the states on the run form a partition. Let

$$\begin{aligned} \text{State}_q(x) &= x \in R_q \wedge \bigwedge_{q' \in Q \setminus \{q\}} \neg(x \in R_{q'}) \\ \text{Part} &= \forall x(\text{sing}(x) \rightarrow \bigvee_{q \in Q} \text{State}_q(x)). \end{aligned}$$

## Finite Automata to S1S

### Proof.

*Init* formalizes the initial condition.

$$\text{Init} = \exists x(\text{State}_{q_0}(x) \wedge \forall y(\text{sing}(y) \rightarrow x \leq y)).$$

*Trans* expresses the transition relation.

$$\begin{aligned} \text{Trans} &= \forall x \forall x'((\text{sing}(x) \wedge \text{sing}(x') \wedge Sxx') \rightarrow \\ &\quad \bigvee_{(q,a,q') \in \delta} (\text{State}_q(x) \wedge x \in P_a \wedge \text{State}_{q'}(x'))). \end{aligned}$$

*Accept* represents the Muller acceptance. Consider

$$\begin{aligned} \text{InfOcc}_q(P) &= \exists Q(Q \subset P \wedge Q \subseteq R_q \wedge \text{Inf}(Q)) \\ \text{Muller}(P) &= \bigvee_{F \in \mathcal{F}} (\bigwedge_{q \in F} \text{InfOcc}_q(P) \wedge \bigwedge_{q \notin F} \neg \text{InfOcc}_q(P)) \\ \text{Path}(P) &= \text{Inf}(P) \wedge \text{InCl}(P) \wedge \\ &\quad \forall Q((\text{Inf}(Q) \wedge \text{InCl}(Q) \wedge Q \subseteq P) \rightarrow Q = P) \\ \text{Accept} &= \forall P(\text{Path}(P) \rightarrow \text{Muller}(P)) \end{aligned}$$

## S1S to Finite Automata

### Lemma

For each S1S formula  $\phi$ , there is a DFA  $M_\phi$  with Muller acceptance such that  $\mathcal{I}_\alpha \models \phi$  iff  $\forall \alpha \in \Sigma^\omega, M_\phi$  accepts  $\alpha$ .

### Proof.

By induction on  $\phi$ , we construct a DFA  $M$  over  $2^\Sigma$ .

For  $\phi = P_a \subseteq P_b$ , define  $M_\phi = (\{q\}, 2^\Sigma, \delta, q, \{q\})$  where

$$\delta = \{(q, A, q) : A \subseteq \Sigma, \text{ and } a \in A \text{ implies } b \in A\}.$$

For  $\phi = SP_a P_b$ , define  $M_\phi = (\{q_0, q_1, q_2\}, 2^\Sigma, \delta, q_0, \{q_2\})$  where

$$\begin{aligned} \delta = & \{(q_0, A', q_0) : a \notin A', A' \subseteq \Sigma\} \cup \{(q_0, A, q_1) : a \in A, A \subseteq \Sigma\} \cup \\ & \{(q_1, B', q_0) : b \notin B', B' \subseteq \Sigma\} \cup \{(q_1, B, q_2) : b \in B, B \subseteq \Sigma\} \cup \\ & \{(q_2, C, q_2) : C \subseteq \Sigma\}. \end{aligned}$$

## Muller Acceptance and S1S

- Thus, we have shown that nondeterministic finite automata with Muller acceptance have the same expressive power as S1S.
- Observe that the quantification over infinite subsets is needed in Muller acceptance.
  - Precisely,  $\text{InfOcc}_q(P)$  in  $\text{Accept}$ .
- The proof would not go through for WS1S where only finite subsets can be quantified.
- Is WS1S strictly less expressive than S1S?

## S1S to Finite Automata

### Proof.

For disjunction and negation, recall that DFA's with Muller acceptance are closed under union and complementation. We apply these constructions in inductive step.

For  $\phi = \exists P_a \psi$ , assume  $M_\psi = (Q, 2^\Sigma, \delta, q_0, \mathcal{F})$ . Define

$M_\phi = (Q, 2^\Sigma, \delta', q_0, \mathcal{F})$  where

$$\delta' = \{(q, A \setminus \{a\}, q') : (q, A, q') \in \delta\}.$$

□

- Technically, we construct a DFA over  $2^\Sigma$  not  $\Sigma$ . This is necessary when, for instance,  $\phi = P_a \subseteq P_b$ .
- Our presentation is overly simplified. We do not consider monadic relational variables (as in  $X \subseteq P_a$ ).
  - We can extend the alphabet to have a fresh symbol for each relational variable.

## Deterministic Muller Acceptance and WS1S

- Interestingly, the answer is negative.
- For deterministic finite automata with Muller acceptance, there is a WS1S formula which recognizes the same  $\omega$ -language.
- Since deterministic finite automata with Muller acceptance is as expressive as nondeterministic ones, WS1S is as expressive as S1S.
- We will give a WS1S formula  $\phi_M$  for each deterministic finite automata  $M$  with Muller acceptance.
  - The idea is to consider all finite prefixes of the accepting run in  $M$ .

## Deterministic Muller Acceptance to WS1S

### Lemma

For each DFA  $M$  with Muller acceptance, there is a formula  $\phi_M \in \text{S1S}$  such that  $\forall \alpha \in \Sigma^\omega$ ,  $M$  accepts  $\alpha$  iff  $\mathfrak{I}_\alpha \models \phi_M$ .

### Proof.

Let  $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$  be a DFA with Muller acceptance. Define

$$\begin{aligned} \text{State}_q(x) &= x \in R_q \wedge \bigwedge_{q' \in Q \setminus \{q\}} \neg(x \in R_{q'}) \\ \text{Part}(I) &= \forall x \in I (\text{sing}(x) \rightarrow \bigvee_{q \in Q} \text{State}_q(x)) \\ \text{Init} &= \exists x (\text{State}_{q_0}(x) \wedge \forall y (\text{sing}(y) \rightarrow x \leq y)) \\ \text{Trans}(I) &= \forall x \in I \forall x' \in I ((\text{sing}(x) \wedge \text{sing}(x') \wedge Sxx') \rightarrow \\ &\quad \bigvee_{(q,a,q') \in \delta} (\text{State}_q(x) \wedge x \in P_a \wedge \text{State}_{q'}(x'))) \\ \text{Occ}_q(x) &= \exists I (\text{InCI}(I) \wedge x \in I \wedge \\ &\quad \exists R (\text{Part}(I) \wedge \text{Init} \wedge \text{Trans}(I) \wedge \text{State}_q(x))) \\ \text{InfOcc}_q &= \forall x (\text{sing}(x) \rightarrow \exists y (x < y \wedge \text{Occ}_q(y))) \\ \text{Accept} &= \bigvee_{F \in \mathcal{F}} (\bigwedge_{q \in F} \text{InfOcc}_q \wedge \bigwedge_{q \notin F} \neg \text{InfOcc}_q). \end{aligned}$$

## References

- ① Hopcroft and Ullman. Introduction to Automata Theory, Languages, and Computation. Addison-Wesley.
- ② Grädel, Thomas, Wilke. Automata, Logics, and Infinite Games - A Guide to Current Research. Springer.

## Deterministic Muller Acceptance to WS1S

### Proof.

Let  $\phi_M = \text{Accept}$ . Then  $\mathfrak{I}_\alpha \models \phi_M$  iff  $M$  accepts  $\alpha$ . □

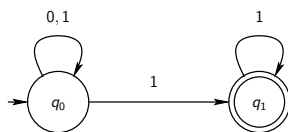


Figure: NFA  $M_0$

- For DFA's, an infinite run is the "limit" of its finite prefixes.
- The formula  $\text{InfOcc}_q$  correctly expresses that  $q$  occurs infinite many times in the run on DFA's.
- On the other hand,  $\text{InfOcc}_q$  is not correct for NFA's.
  - Consider  $M_0$  as a counterexample.