

Elementary Automata Theory

Bow-Yaw Wang

Institute of Information Science
Academia Sinica, Taiwan

July 1, 2009

Outline

- 1 Automata over Finite Input Sequences
- 2 Automata over Infinite Input Sequences
- 3 Conversion between ω -Automata
- 4 S1S and ω -Automata

Finite Automata

- A **finite automaton** is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$ where
 - ▶ Q is a finite set of **states**;
 - ▶ Σ is a finite **input alphabet**;
 - ▶ $\delta \subseteq Q \times \Sigma \times Q$ is a **transition relation**;
 - ▶ $q_0 \in Q$ is the **initial state**;
 - ▶ $F \subseteq Q$ is a set of **accepting states**.
- If the transition relation is in fact a function from $Q \times \Sigma$ to Q , it is a **deterministic** finite automaton (DFA). Otherwise, it is a **non-deterministic** finite automaton (NFA).

Example

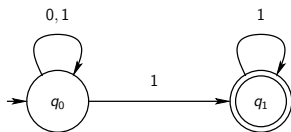


Figure: NFA M_0

- $M_0 = (Q, \Sigma, \delta, q_0, F)$ where
 - ▶ $Q = \{q_0, q_1\}$;
 - ▶ $\Sigma = \{0, 1\}$;
 - ▶ $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$;
 - ▶ $F = \{q_1\}$.

Input Sequences and Runs

- Let $M = (Q, \Sigma, \delta, q_0, F)$ be an NFA.
- An **input sequence** $\alpha = a_1 a_2 \cdots a_n$ is a finite sequence of symbols over the alphabet Σ .
 - The finite sequence without any symbol is denoted by ϵ .
- A **run** $\rho = q_0 q_1 \cdots q_{n+1}$ on an input sequence $\alpha = a_1 a_2 \cdots a_n$ is a sequence of states such that

for all $0 \leq i < n$, $(q_i, a_{i+1}, q_{i+1}) \in \delta$.

- A run $\rho = q_0 q_1 \cdots q_{n+1}$ of M over $\alpha = a_1 a_2 \cdots a_n$ is **accepting** if $q_{n+1} \in F$.
- An input sequence α is **accepted** by M if there is an accepting run ρ of M over α .

Example (cont'd)

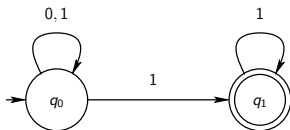


Figure: NFA M_0

- For the input sequence 0000, there is only one run $q_0q_0q_0q_0q_0$.
 - 0000 is not accepted by M_0 .
- For the input sequence 0011, there are three possible runs:
 - $q_0q_0q_0q_0q_0$, $q_0q_0q_0q_0q_1$, and $q_0q_0q_0q_1q_1$.
 - the dark green ones are accepting.
 - 0011 is accepted by M_0 .

Languages

- Given an alphabet Σ , a **language** is a set of input sequences over Σ .
- Let $M = (Q, \Sigma, \delta, q_0, F)$ be an NFA. Define

$$L(M) = \{\alpha : \alpha \text{ is an input sequence accepted by } M\}.$$

- $L(M)$ is the language **accepted** (or **recognized**) by M .
- Thus,

$$\begin{aligned} L(M_0) &= \{1, 01, 11, 001, 011, 111, \dots\} \\ &= \{\alpha : \text{the last symbol of } \alpha \text{ is } 1\}. \end{aligned}$$

Expressive Power

- Let M be a DFA. Since a DFA is also an NFA, the language $L(M)$ is accepted by an NFA as well.
- Let N be an NFA. We will prove that $L(N)$ can be accepted by a DFA.
- In other words, nondeterminism does not recognize more languages. For finite automata, it suffices to consider deterministic finite automata.

Subset Construction

Theorem

Let L be a language accepted by an NFA. Then there is a DFA M such that $L(M) = L$.

Proof.

Let $N = (Q, \Sigma, \delta, q_0, F)$ be an NFA and $L(N) = L$.

Consider $M = (2^Q, \Sigma, \delta', \{q_0\}, F')$ where

- $\delta'(X, a) = \bigcup_{x \in X} \delta(x, a)$;
- $F' = \{X \subseteq Q : X \cap F \neq \emptyset\}$.

We can show that $L(N) = L(M)$ by induction on the length of input sequences. □

Example

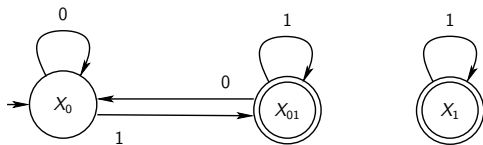


Figure: DFA M_1

- Let us find a DFA M_1 such that $L(M_1) = L(M_0)$.
- $M_1 = (Q', \Sigma, \delta', \{q_0\}, F')$ where

- ▶ $Q' = \{X_\emptyset, X_0, X_1, X_{01}\}$ where

| X_\emptyset | X_0 | X_1 | X_{01} |
|---------------|-----------|-----------|----------------|
| \emptyset | $\{q_0\}$ | $\{q_1\}$ | $\{q_0, q_1\}$ |
- ▶ $\delta' = \{(X_0, 0, X_0), (X_0, 1, X_{01}), (X_1, 1, X_1), (X_{01}, 0, X_0), (X_{01}, 1, X_{01})\}$;
- ▶ $F' = \{X_1, X_{01}\}$.

Operations on Languages

- Let Σ be a finite alphabet, and L, L_0, L_1 be languages over Σ .
- The **concatenation** of L_0 and L_1 (denoted by L_0L_1) is defined by

$$L_0L_1 = \{\alpha\beta : \alpha \in L_0, \beta \in L_1\}.$$

- Define $L^0 = \{\epsilon\}$ and $L^i = LL^{i-1}$ for $i \geq 1$.
- The **Kleene closure** (or just **closure**) of L (denoted by L^*) is defined by

$$L^* = \bigcup_{i=0}^{\infty} L^i.$$

- The **positive closure** of L (denoted by L^+) is defined by

$$L^+ = \bigcup_{i=1}^{\infty} L^i.$$

Regular Expressions

- Let Σ be an alphabet. The **regular expressions** over Σ are defined as follows.
 - 1 \emptyset is a regular expression denoting the empty set;
 - 2 ϵ is a regular expression denoting the set $\{\epsilon\}$;
 - 3 For each $a \in \Sigma$, a is a regular expression denoting the set $\{a\}$;
 - 4 If r and s are regular expressions denoting the sets R and S respectively, then $r + s$, rs , and r^* are regular expressions denoting $R \cup S$, RS , and R^* respectively.

Example

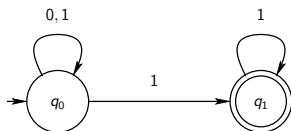


Figure: NFA M_0

- Let $\Sigma = \{0, 1\}$. $L_0 = \{\epsilon, 00\}$ and $L_1 = \{1, 111\}$.
 - $L_0 L_1 = \{1, 111, 001, 00111\}$;
 - $L_0^+ = \{\epsilon, 00, 0000, \dots\} = \{0^{2i} : i \geq 0\}$;
 - $L_1^* = \{\epsilon, 1, 11, 111, \dots\} = \{1^i : i \geq 0\}$.
- Also note that $L_0 \subseteq \Sigma^*$ and $L_1 \subseteq \Sigma^*$.
 - Thus, a language is a subset of Σ^* .
- We have $L(M_0) = (0 + 1)^* 1^+$

NFA with ϵ -Transitions

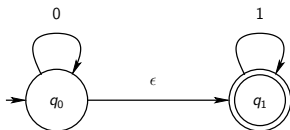


Figure: NFA M_2

- Since $\epsilon \notin \Sigma$, we do not allow, for example, (p, ϵ, q) in the transition relation of finite automata.
- A transition with ϵ as its input symbol is called an **ϵ -transition**.
 - Intuitively, it represents that the finite automaton can move to another state without consuming any input symbol.
- Consider the NFA M_2 . We have $L(M_2) = 0^*1^*$.

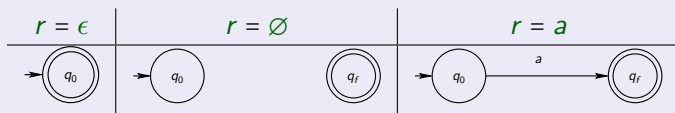
Regular Expressions to NFA with ϵ -Transitions

Theorem

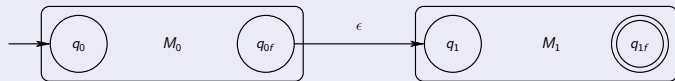
Let r be a regular expression. There is an NFA with ϵ -transition that accepts the language denoted by r .

Proof.

We prove by induction on the r . For the basis, see the following.



For the inductive step, first consider $r = st$. We use

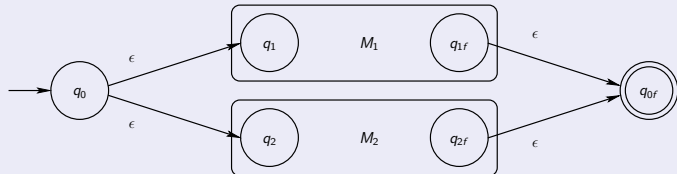


(assuming a single acceptance state q_{0f})

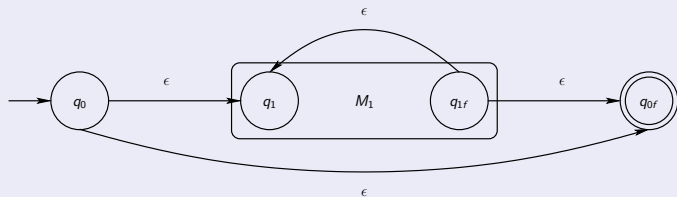
Regular Expressions to NFA with ϵ -Transitions (cont'd)

Proof (cont'd).

For $r = s + t$, we use



Finally, for $r = s^*$, we use



NFA with ϵ -Transitions to DFA



Figure: NFA M_2 to M_3 without ϵ -transition

- It is actually not difficult to see that ϵ -transitions can be removed.
 - ▶ The idea is to simulate ϵ -transitions by consuming input symbols.
- We will not give a proof but only consider an example.
- In general, removing ϵ -transitions will result in an NFA.
- We can further transform an NFA to a DFA.

DFA to Regular Expressions

Theorem

Let D be a DFA. There is a regular expression denoting $L(D)$.

Proof.

Let $D = (\{q_1, \dots, q_n\}, \Sigma, \delta, q_1, F)$ be a DFA. Define

$$\begin{aligned} R_{ij}^0 &= \begin{cases} \{a : (q_i, a, q_j) \in \delta\} & \text{if } i \neq j \\ \{a : (q_i, a, q_j) \in \delta\} \cup \{\epsilon\} & \text{if } i = j \end{cases} \\ R_{ij}^k &= R_{ik}^{k-1} (R_{kk}^{k-1})^* R_{kj}^{k-1} \cup R_{ij}^{k-1} \end{aligned}$$

Intuitively, R_{ij}^k represents the inputs that cause D to go from q_i to q_j without passing through a state higher than q_k . It is not hard to see that R_{ij}^k can be denoted by regular expressions.

The result follows by observing that $L(D) = \bigcup_{q_i \in F} R_{1j}^n$. □

Example

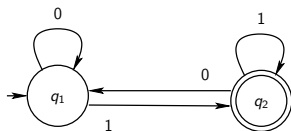


Figure: DFA M_4

| | $k = 0$ | $k = 1$ | $k = 2$ |
|------------|---------|---------|--|
| R_{11}^k | 0 | 0^+ | |
| R_{12}^k | 1 | 0^*1 | $0^*1(0^*1)^*0^*1 + 0^*1 = (0 + 1)^*1$ |
| R_{21}^k | 0 | 0^+ | |
| R_{22}^k | 1 | 0^*1 | |

Regular Languages

- The class \mathcal{R} of **regular languages** consists of languages accepted by deterministic finite automata.

$$\mathcal{R} = \{L(D) : D \text{ is a DFA} \}$$

- Since each NFA can be transformed to a DFA, we have

$$\mathcal{R} = \{L(M) : M \text{ is an NFA} \}$$

- Since each regular expression can be transformed to an NFA, we have

$$\mathcal{R} = \{L(e) : e \text{ is a regular expression} \}$$

Closure Properties

- For any $L_0, L_1 \in \mathcal{R}$, there are regular expressions r_0 and r_1 denoting L_0 and L_1 respectively.
- Moreover, the regular expression $r_0 + r_1$ denotes $L_0 \cup L_1$ and is accepted by an NFA.
- Thus $L_0 \cup L_1 \in \mathcal{R}$ for any $L_0, L_1 \in \mathcal{R}$.
- Similarly, we can prove that
 - ▶ $L_0 L_1 \in \mathcal{R}$ for any $L_0, L_1 \in \mathcal{R}$, and
 - ▶ $L^* \in \mathcal{R}$ for any $L \in \mathcal{R}$.

Closure Properties (cont'd)

Theorem

For any $L \in \mathcal{R}$, $\Sigma^* \setminus L \in \mathcal{R}$.

Proof.

Let $D = (Q, \Sigma, \delta, q_0, F)$ be a DFA and $L = L(D)$. Then $D' = (Q, \Sigma, \delta, q_0, Q \setminus F)$ accepts the language $\Sigma^* \setminus L$. □

Theorem

For any $L_0, L_1 \in \mathcal{R}$, $L_0 \cap L_1 \in \mathcal{R}$.

Proof.

Observe that $L_0 \cap L_1 = \Sigma^* \setminus ((\Sigma^* \setminus L_0) \cup (\Sigma^* \setminus L_1))$. □

ω -Automata

- We would like to generalize inputs to finite automata.
- Instead of finite input sequences, let us consider an infinite input sequence $\alpha = a_1 a_2 \cdots a_n \cdots$ over Σ .
- Let $M = (Q, \Sigma, \delta, q_0, F)$ be a finite automaton.
- As before, define a run $\rho = q_0 q_1 \cdots q_n \cdots$ on α to be an infinite sequence of states such that

$$\text{for all } i \geq 0, (q_i, a_{i+1}, q_{i+1}) \in \delta.$$

- What is an accepting run then?
 - ▶ Problem: there is no “final” state in an infinite run.
 - ▶ We cannot reuse the old definition.

Büchi Acceptance

- Let $\rho = q_0q_1\cdots q_n\cdots$ be an infinite run.
- Define

$$\text{Inf}(\rho) = \{q \in Q : q \text{ occurs infinitely many times in } \rho\}.$$

- An infinite run ρ of $M = (Q, \Sigma, \delta, q_0, F)$ over α is **accepting** if $\text{Inf}(\rho) \cap F \neq \emptyset$.
 - This is called **Büchi acceptance**
- An infinite input sequence α is **accepted** by M if there is an accepting infinite run ρ of M over α .
- Finally, define

$$L_\omega(M) = \{\alpha : \alpha \text{ is an infinite input sequence accepted by } M\}.$$

Example

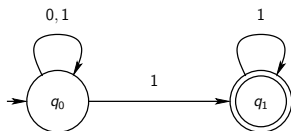


Figure: NFA M_0

- Let us reconsider M_0 .
- $L_\omega(M_0) = \{\alpha : \alpha \text{ has only finitely many 0's}\}$.
 - ▶ If there are infinitely many 0's, M_0 has to stay in q_0 . It cannot pass q_1 infinitely many times.
- We will write the expression $(0 + 1)^* 1^\omega$ to denote $L(M_0)$.

Nondeterminism

- For finite automata over finite input sequences, we know nondeterminism does not give us more expressive power.
- However, nondeterministic finite automata with Büchi acceptance over infinite input sequences can recognize more languages than deterministic ones.

Theorem

$(0 + 1)^*1^\omega$ cannot be accepted by any DFA with Büchi acceptance.

Proof.

Suppose $D = (Q, \Sigma, \delta, q_0, F)$ is a DFA and $L(D) = (0 + 1)^*1^\omega$. Consider 1^ω . There is n_0 such that 1^{n_0} causes D to reach an accepting state. Now consider $1^{n_0}01^\omega$. There is n_1 such that $1^{n_0}01^{n_1}$ causes D to reach an accepting state. We can therefore construct $1^{n_0}01^{n_1}01^{n_2}0\dots$ to cause D to pass through F infinitely many times. A contradiction. \square

Remark

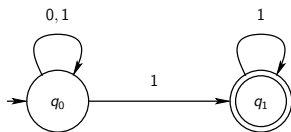


Figure: NFA M_0

- The proof does not work for NFA.
- Consider again the NFA M_0 .
- 1 causes M_0 to reach q_1 . 101 causes M_0 to reach q_1 , etc. There is no problem.
- However, 101 passes q_1 only once. Similarly, 10101, 1010101, ... pass q_1 only once.
- Because M_0 is nondeterministic, infinite runs may not be the “limit” of their finite prefixes.

The Class of Regular ω -Languages

- Define

$$\mathcal{R}_\omega = \{L_\omega(M) : M \text{ is an NFA with Büchi acceptance} \}.$$

- \mathcal{R}_ω is called the **class of regular ω -languages**.
- Under Büchi acceptance, nondeterminism increases the expressive power. We have

$$\{L_\omega(D) : D \text{ is a DFA with Büchi acceptance} \} \not\subseteq \mathcal{R}_\omega.$$

- In addition to Büchi acceptance, we will discuss three different acceptances.

Muller Acceptance

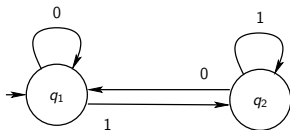


Figure: DFA M_5

- Let $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ be a finite automaton with $\mathcal{F} \subseteq 2^Q$.
- An infinite run ρ over an input sequence α on M is **accepting** if $\text{Inf}(\rho) \in \mathcal{F}$.
 - This is called **Muller acceptance**.
- Consider the DFA M_5 with $\mathcal{F} = \{\{q_2\}\}$.
- With Muller acceptance, we have $L_\omega(M_5) = (0 + 1)^* 1^\omega$.
 - Note that M_5 is deterministic
 - Also note that $(01)^\omega$ is not accepted with Muller acceptance.

Rabin Acceptance

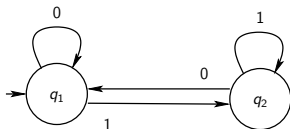


Figure: DFA M_5

- Let $M = (Q, \Sigma, \delta, q_0, \Omega)$ be a finite automaton with $\Omega = \{(E_0, F_0), \dots, (E_k, F_k)\}$ and $E_i, F_i \subseteq Q$.
- An infinite run ρ over an input sequence α on M is **accepting** if

$$\exists (E, F) \in \Omega \text{ such that } \text{Inf}(\rho) \cap E = \emptyset \text{ and } \text{Inf}(\rho) \cap F \neq \emptyset.$$

- Consider the DFA M_5 with $\Omega = \{(\{q_1\}, \{q_2\})\}$.
- With Rabin acceptance, we have $L_\omega(M_5) = (0 + 1)^* 1^\omega$.
 - ▶ $\text{Inf}(\rho) \cap \{q_1\} = \emptyset$ forbids 0 to occur infinitely many times.
 - ▶ $\text{Inf}(\rho) \cap \{q_2\} \neq \emptyset$ forces 1 to occur infinitely many times.

Streett Acceptance

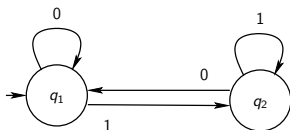


Figure: DFA M_5

- Let $M = (Q, \Sigma, \delta, q_0, \Omega)$ be a finite automaton with $\Omega = \{(E_0, F_0), \dots, (E_k, F_k)\}$ and $E_i, F_i \subseteq Q$.
- An infinite run ρ over an input sequence α on M is **accepting** if

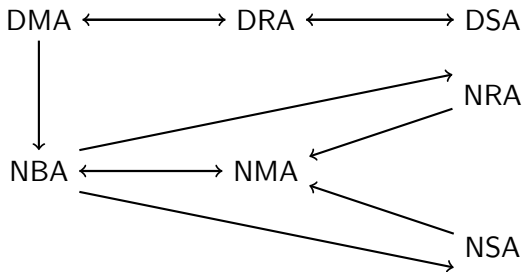
$$\forall (E, F) \in \Omega, \text{Inf}(\rho) \cap E \neq \emptyset \text{ or } \text{Inf}(\rho) \cap F = \emptyset.$$

- Observe that Rabin acceptance and Streett acceptance are complementary.
- Consider the DFA M_5 with $\Omega = \{(\{q_2\}, \{q_1, q_2\}), (\emptyset, \{q_1\})\}$.
 - ▶ $(\{q_2\}, \{q_1, q_2\})$ forces 1 to occur infinitely many times.
 - ▶ $(\emptyset, \{q_1\})$ forbids 0 to occur infinitely many times.

Expressive Power

- An important question in ω -automata theory is to compare the expressive power of various acceptances.
- We have shown that non-deterministic Büchi acceptance is strictly more expressive than deterministic Büchi acceptance.
- What is the relation between non-deterministic Büchi acceptance and non-deterministic Muller acceptance
 - Similarly, what about non-deterministic Rabin acceptance and non-deterministic Streett acceptance?
- What is the relation between deterministic Büchi acceptance and deterministic Muller acceptance
 - And between deterministic Rabin acceptance and deterministic Streett acceptance?
- We will address these questions shortly.

Expressive Power (Overview)



D: Deterministic, N: Nondeterministic
B: Büchi, M: Muller, R: Rabin, S: Streett
A: Automata
 $X \rightarrow Y$: X can be translated to Y

(The graph here only covers translations in this lecture and hence is not complete.)

Büchi to Muller Acceptance

Lemma

Let $B = (Q, \Sigma, \delta, q_0, F)$ be a finite automaton with Büchi acceptance. Define $M = (Q, \Sigma, \delta, q, \mathcal{F})$ with $\mathcal{F} = \{G \subseteq Q : G \cap F \neq \emptyset\}$. Then $L_\omega(B) = L_\omega(M)$.

Proof.

Let α be an input sequence and ρ an infinite run over α on B . $\alpha \in L_\omega(B)$ iff $\text{Inf}(\rho) \cap F \neq \emptyset$ iff $\text{Inf}(\rho) \in \mathcal{F}$ iff $\alpha \in L_\omega(M)$. □

Example

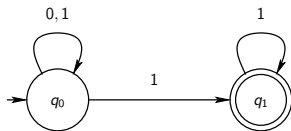


Figure: NFA M_0

- The finite automaton $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \mathcal{F})$ with Muller acceptance where
 - ▶ $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$;
 - ▶ $\mathcal{F} = \{\{q_1\}, \{q_0, q_1\}\}$accepts the same ω -language.

Muller to Büchi Acceptance

Lemma

Let $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ be a finite automaton with Muller acceptance. There is a finite automaton $B = (Q', \Sigma, \delta', q_0, F)$ with Büchi acceptance such that $L_\omega(B) = L_\omega(M)$.

Proof.

The idea is to “guess” a set $G \in \mathcal{F}$ and check whether all states in G are visited infinitely many times.

For each $G \in \mathcal{F}$, we define $Q_G = \{q_G : q \in G\}$. Moreover, we use a set to record which states in G have been visited. Define

$$Q' = Q \cup \bigcup_{G \in \mathcal{F}} (Q_G \times 2^G).$$

$$\begin{aligned} \delta' = & \delta \cup \{(p, a, (q_G, \emptyset)) : (p, a, q) \in \delta\} \cup \\ & \{((p_G, R), a, (q_G, R \cup \{p\})) : (p, a, q) \in \delta, R \neq G\} \cup \\ & \{((p_G, G), a, (q_G, \emptyset)) : (p, a, q) \in \delta\}. \end{aligned}$$

$$F = \{(q_G, \emptyset) : q_G \in Q_G, G \in \mathcal{F}\}.$$

Example

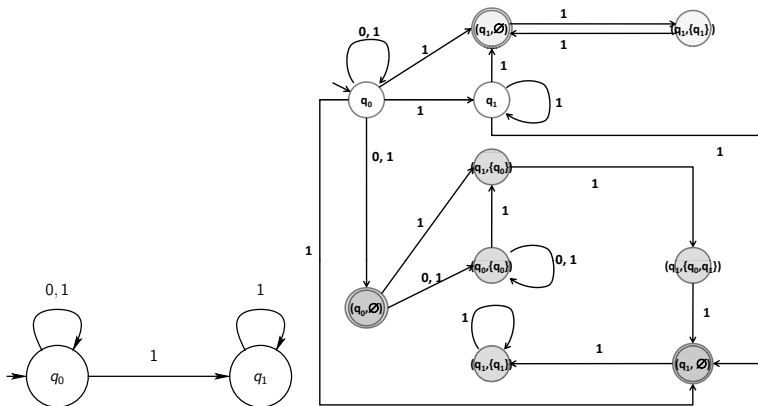


Figure: NFA M_7

- Consider $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \mathcal{F})$ where $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$ and $\mathcal{F} = \{\{q_0, q_1\}, \{q_1\}\}$.

Rabin and Streett to Muller Acceptance

Lemma

Let $R = (Q, \Sigma, \delta, q_0, \Omega)$ be a finite automaton with Rabin acceptance. Define $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ with Muller acceptance where

$$\mathcal{F} = \{G \subseteq Q : \exists (E, F) \in \Omega. G \cap E = \emptyset \wedge G \cap F \neq \emptyset\}.$$

Then $L_\omega(R) = L_\omega(M)$.

Lemma

Let $S = (Q, \Sigma, \delta, q_0, \Omega)$ be a finite automaton with Streett acceptance. Define $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ with Muller acceptance where

$$\mathcal{F} = \{G \subseteq Q : \forall (E, F) \in \Omega. G \cap E \neq \emptyset \vee G \cap F = \emptyset\}.$$

Then $L_\omega(S) = L_\omega(M)$.

- These two follow from the definition immediately.

Example

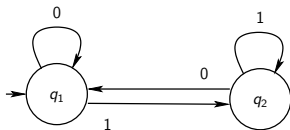


Figure: DFA M_5

- Consider the finite automaton $R = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \Omega)$ with Rabin acceptance where
 - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
 - $\Omega = \{\{q_1\}, \{q_2\}\}$.
- The finite automaton $M = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$ with Muller acceptance accepts the same ω -language.

Büchi to Rabin and Street Acceptance

Lemma

Let $B = (Q, \Sigma, \delta, q_0, F)$ be a finite automaton with Büchi acceptance. Define $R = (Q, \Sigma, \delta, q_0, \Omega)$ with Rabin acceptance where $\Omega = \{(\emptyset, F)\}$. Then $L_\omega(B) = L_\omega(R)$.

Lemma

Let $B = (Q, \Sigma, \delta, q_0, F)$ be a finite automaton with Büchi acceptance. Define $S = (Q, \Sigma, \delta, q_0, \Omega)$ with Rabin acceptance where $\Omega = \{(F, Q)\}$. Then $L_\omega(B) = L_\omega(S)$.

- These two also follow by definition immediately.

Example

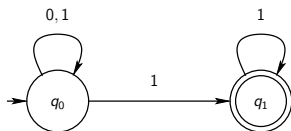


Figure: NFA M_0

- Consider the finite automaton $M_0 = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_1\})$ with Büchi acceptance where
 - $\delta = \{(q_0, 0, q_0), (q_0, 1, q_0), (q_0, 1, q_1), (q_1, 1, q_1)\}$.
- The finite automaton $R = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{(\emptyset, \{q_1\})\})$ with Rabin acceptance recognizes the same ω -language.

Expressive Power

- We have the following transformation:
 - Büchi to Muller acceptance
 - Muller to Büchi acceptance
 - Rabin and Streett to Muller acceptance
 - Büchi to Rabin and Streett acceptance
- Therefore,

Lemma

The following classes of ω -languages are equivalent:

- 1 $\{L_\omega(M) : M \text{ is an NFA with Büchi acceptance}\};$
- 2 $\{L_\omega(M) : M \text{ is an NFA with Muller acceptance}\};$
- 3 $\{L_\omega(M) : M \text{ is an NFA with Rabin acceptance}\};$
- 4 $\{L_\omega(M) : M \text{ is an NFA with Streett acceptance}\}.$

Deterministic Muller to Rabin Acceptance

Lemma

Let $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ be a DFA with Muller acceptance. Assume $Q = \{1, 2, \dots, k\}$ and $q_0 = 1$. Consider $R = (Q', \Sigma, \delta', q'_0, \Omega)$ with Rabin acceptance where

- $Q' = \{w \in (Q \cup \{\natural\})^* : \forall q \in Q \cup \{\natural\}, q \text{ occurs in } w \text{ exactly once.}\}$.
- $q'_0 = \natural k \dots 1$.
- $\delta'(m_1 \dots m_r \natural m_{r+1} \dots m_k, a) = m_1 \dots m_{s-1} \natural m_{s+1} \dots m_k m_s$ if $\delta(m_k, a) = m_s$.
- $\Omega = \{(E_0, F_0), \dots, (E_k, F_k)\}$ with
 - ▶ $E_i = \{u \natural v : |u| < i\}$
 - ▶ $F_i = \{u \natural v : |u| < i\} \cup \{u \natural v : |u| = i \text{ and } \{m \in Q : m \text{ occurs in } v\} \in \mathcal{F}\}$.

We have $L_\omega(M) = L_\omega(R)$.

Deterministic Muller to Rabin Acceptance

Proof (sketch).

Let us consider a run ρ of M with $\text{Inf}(\rho) = J = \{m_1, \dots, m_j\}$. In the corresponding run on R , states in $Q \setminus J$ will eventually move before \natural . Hence, R will finally visit states of the form $u \natural v$ where u contains all states in $Q \setminus J$. Therefore, $|u| \geq |Q \setminus J|$ and $|v| \leq |J| = j$ eventually. Since J are visited infinitely often, we have $|v| = |J| = j$ infinitely often. Moreover, the states in v when $|v| = j$ are precisely the set J . \square

Example

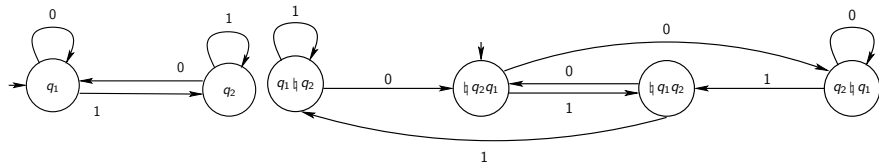


Figure: DFA M_8

- Consider $M_5 = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$ with Muller acceptance where
 - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$.
- The DFA $M_8 = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2)\})$ with Rabin acceptance where
 - $Q = \{\sqcup q_1 q_2, \sqcup q_2 q_1, q_1 \sqcup q_2, q_2 \sqcup q_1\}$
 - $(E_0, F_0) = (\emptyset, \emptyset)$
 - $(E_1, F_1) = (\{\sqcup q_1 q_2, \sqcup q_2 q_1\}, \{\sqcup q_1 q_2, \sqcup q_2 q_1, q_1 \sqcup q_2\})$
 - $(E_2, F_2) = (Q, Q)$

recognizes the same language.

Deterministic Rabin to Muller Acceptance

Lemma

Let $R = (Q, \Sigma, \delta, q_0, \Omega)$ be a DFA with Rabin acceptance. Define $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ with Muller acceptance where

$$\mathcal{F} = \{G \subseteq Q : \exists (E, F) \in \Omega. G \cap E = \emptyset \wedge G \cap F \neq \emptyset\}.$$

Then $L_\omega(R) = L_\omega(M)$.

- This is the same construction for the non-deterministic case.

Deterministic Rabin to Streett Acceptance

Lemma

Let $D = (Q, \Sigma, \delta, q_0, \Omega)$ be a DFA with Rabin acceptance. Consider $E = (Q, \Sigma, \delta, q_0, \Omega)$ as a DFA with Streett acceptance. Then $L_\omega(D) = \Sigma^\omega \setminus L_\omega(E)$.

Proof.

Rabin acceptance and Streett acceptance are complementary. □

Lemma

Let $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ be a DFA with Muller acceptance. Define $M' = (Q, \Sigma, \delta, q_0, 2^Q \setminus \mathcal{F})$. Then $L_\omega(M) = \Sigma^\omega \setminus L_\omega(M')$.

Proof.

By definition. □

Deterministic Rabin to Streett Acceptance

Lemma

Let R be a DFA with Rabin acceptance. There is a DFA S with Streett acceptance such that $L_\omega(R) = L_\omega(S)$.

Proof.

We construct a DFA M with Muller acceptance such that $L_\omega(M) = L_\omega(R)$. Build M' with Muller acceptance such that $L_\omega(M') = \Sigma^\omega \setminus L_\omega(M)$. Then we construct a DFA R' with Rabin acceptance such that $L_\omega(R') = L_\omega(M')$. Then $S = R'$ with Streett acceptance is what we want. We have the following equation:

$$\begin{aligned} & L_\omega(S) \text{ with Streett acceptance} \\ = & \Sigma^\omega \setminus L_\omega(R') \text{ with Rabin acceptance} \\ = & \Sigma^\omega \setminus L_\omega(M') \text{ with Muller acceptance} \\ = & \Sigma^\omega \setminus (\Sigma^\omega \setminus L_\omega(M)) \text{ with Muller acceptance} \\ = & L_\omega(M) \text{ with Muller acceptance} \\ = & L_\omega(R) \text{ with Rabin acceptance.} \end{aligned}$$



Example

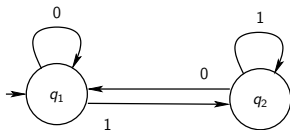


Figure: Rabin to Muller Acceptance

- Consider the DFA $R = (\{q_1, q_2\}, \{0, 1\}, \delta, q_0, \Omega)$ with Rabin acceptance where
 - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
 - $\Omega = (\{\{q_1\}, \{q_2\}\})$
- The DFA $M = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$ with Muller acceptance recognizes the same language.

Example

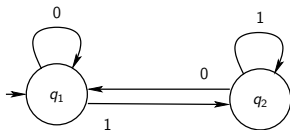


Figure: Muller Complementation

- Consider the DFA $M = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\{q_2\}\})$ with Muller acceptance where
 - $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
- The DFA $M' = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\emptyset, \{q_1\}, \{q_1, q_2\}\})$ with Muller acceptance recognizes $\Sigma^\omega \setminus L_\omega(M)$.

Example

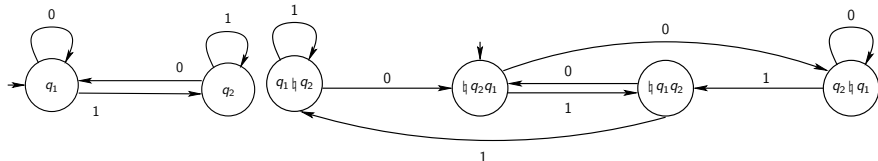


Figure: Muller to Rabin Acceptance

- Consider the DFA $M' = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{\emptyset, \{q_1\}, \{q_1, q_2\}\})$ with Muller acceptance where
 - ▶ $\delta = \{(q_1, 0, q_1), (q_1, 1, q_2), (q_2, 0, q_1), (q_2, 1, q_2)\}$
- The DFA $R' = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2), (E_3, F_3)\})$ with Rabin acceptance where
 - ▶ $Q = \{q_1 q_2, q_2 q_1, q_1 q_2, q_2 q_1\}$
 - ▶ $(E_0, F_0) = (\emptyset, \{q_1 q_2, q_2 q_1\})$
 - ▶ $(E_1, F_1) = (\{q_1 q_2, q_2 q_1\}, \{q_1 q_2, q_2 q_1, q_2 q_1\})$
 - ▶ $(E_2, F_2) = (E_3, F_3) = (Q, Q)$

recognizes $L_\omega(M')$.

Example

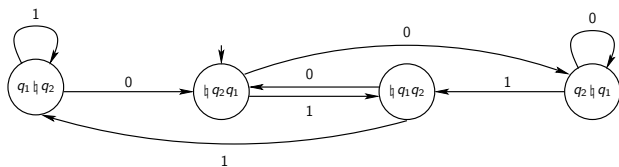


Figure: Rabin Complementation

- Consider the DFA $R' = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2), (E_3, F_3)\})$ with Rabin acceptance where
 - $Q = \{\sqcap q_1 q_2, \sqcap q_2 q_1, q_1 \sqcap q_2, q_2 \sqcap q_1\}$
 - $(E_0, F_0) = (\emptyset, \{\sqcap q_1 q_2, \sqcap q_2 q_1\})$
 - $(E_1, F_1) = (\{\sqcap q_1 q_2, \sqcap q_2 q_1\}, \{\sqcap q_1 q_2, \sqcap q_2 q_1, q_2 \sqcap q_1\})$
 - $(E_2, F_2) = (E_3, F_3) = (Q, Q)$
- The DFA $S = (Q, \{0, 1\}, \delta', \{(E_0, F_0), (E_1, F_1), (E_2, F_2), (E_3, F_3)\})$ with Streett acceptance recognizes $\Sigma^\omega \setminus L_\omega(R')$.

Expressive Power

- In summary, we have shown Muller, Rabin, and Streett acceptances are equivalent for deterministic finite automata.

Theorem

The following classes of ω -languages are equivalent:

- 1 $\{L_\omega(D) : D \text{ is a DFA with Muller acceptance}\};$
- 2 $\{L_\omega(D) : D \text{ is a DFA with Rabin acceptance}\};$
- 3 $\{L_\omega(D) : D \text{ is a DFA with Streett acceptance}\}.$

Corollary

The following classes are closed under union, intersection, and complementation:

- 1 $\{L_\omega(D) : D \text{ is a DFA with Muller acceptance}\};$
- 2 $\{L_\omega(D) : D \text{ is a DFA with Rabin acceptance}\};$
- 3 $\{L_\omega(D) : D \text{ is a DFA with Streett acceptance}\}.$

Relating Nondeterministic and Deterministic Classes

- We have shown that Büchi, Muller, Rabin, Streett acceptances are equivalent for nondeterministic finite automata
- We also know that Muller, Rabin, Streett acceptances are equivalent for deterministic finite automata
- Are these two classes of ω -languages equivalent?
 - YES!
- We can in fact compute the complement of NFA with Büchi acceptance
 - Transform NFA with Büchi acceptance to DFA with, say, Muller acceptance
 - Find the complement of the DFA with Muller acceptance
 - Transform DFA with Muller acceptance to NFA with Büchi acceptance
- In Prof. Tsay's lecture, a construction for complementation will be given. (Have fun!)

Second-Order Logic

- **Second-order logic (SO)** is an extension of first-order logic.
- It allows **relational variables** X, Y, Z, \dots
- **Terms** in second-order logic includes
 - ▶ All terms in first-order logic; and
 - ▶ $Xt_1 \dots t_n$ where X is an n -ary relational variable and t_1, \dots, t_n are terms.
- **Well-formed formulae** in second-order logic includes
 - ▶ All well-formed formulae in first-order logic; and
 - ▶ $\exists X \phi$ where X is a relational variable and ϕ a formula.

Monadic Second-Order Logic: Syntax

- A 1-ary relational symbol is called **monadic**.
- **Monadic second-order logic (MSO)** is a subclass of second-order logic where all relational variables are monadic.
- The **syntax** of monadic second-order logic over vocabulary σ (**MSO $[\sigma]$**) is as follows.
 - ▶ If $X, Y \in \sigma$ are monadic, $X \subseteq Y$ is in MSO $[\sigma]$;
 - ▶ If R, Y_1, Y_2, \dots, Y_k are in MSO $[\sigma]$ and R has arity k , then $RY_1Y_2 \dots Y_k$ is in MSO $[\sigma]$;
 - ▶ If ϕ and ψ are in MSO $[\sigma]$, so are $\neg\phi$ and $\phi \vee \psi$;
 - ▶ If ϕ is in MSO $[\sigma \cup \{X\}]$ and X is monadic, then $\exists X\phi$ is in MSO $[\sigma]$.

Monadic Second-Order Logic: Semantics

- The **satisfaction relation** \models is defined as follows. Let \mathfrak{U} be a model over the vocabulary σ .
 - ▶ $\mathfrak{U} \models X \subseteq Y$ if $X^{\mathfrak{U}} \subseteq Y^{\mathfrak{U}}$;
 - ▶ $\mathfrak{U} \models RY_1 \cdots Y_k$ if $R^{\mathfrak{U}} \cap (Y_1^{\mathfrak{U}} \times \cdots \times Y_k^{\mathfrak{U}}) \neq \emptyset$;
 - ▶ $\mathfrak{U} \models \neg\phi$ is not $\mathfrak{U} \models \phi$;
 - ▶ $\mathfrak{U} \models \phi \vee \psi$ if $\mathfrak{U} \models \phi$ or $\mathfrak{U} \models \psi$;
 - ▶ $\mathfrak{U} \models \exists X\phi$ if there is an extension model \mathfrak{B} of \mathfrak{U} over $\sigma \cup \{X\}$ such that $\mathfrak{B} \models \phi$.
- Semantically, a monadic symbol represents a set of objects
- Where is the first-order quantification?
 - ▶ $\exists x\phi$ is not in $\text{MSO}[\sigma]$!

Abbreviations

- We use the following abbreviations:

$$\phi \wedge \psi \quad \text{for} \quad \neg(\neg\phi \vee \neg\psi)$$

$$\phi \rightarrow \psi \quad \text{for} \quad \neg\phi \vee \psi$$

$$\forall X\phi \quad \text{for} \quad \neg\exists X\neg\phi$$

$$X = \emptyset \quad \text{for} \quad \forall Y X \subseteq Y$$

$$\text{sing}(x) \quad \text{for} \quad \neg x = \emptyset \wedge \forall X (X \subseteq x \rightarrow (x \subseteq X \vee X = \emptyset))$$

$$x \in P \quad \text{for} \quad \text{sing}(x) \wedge x \subseteq P$$

$$P = Q \quad \text{for} \quad P \subseteq Q \wedge Q \subseteq P$$

$$\exists x \in P \phi \quad \text{for} \quad \exists x (x \in P \wedge \phi)$$

$$\forall x \in P \phi \quad \text{for} \quad \forall x (x \in P \rightarrow \phi).$$

- Note that $\text{sing}(x)$ means that x is a singleton set
 - x is a 1-ary relation and $o \in x$ for exactly one object o

Weak Monadic Second-Order Logic

- **Weak Monadic Second-Order Logic (WMSO)** has the same syntax as MSO. Its semantics however is slightly different:
 - $\mathfrak{U} \models_W \exists X \phi$ if there is an extension model \mathfrak{B} over $\sigma \cup \{X\}$ such that $\mathfrak{B} \models_w \phi$ and $X^{\mathfrak{B}}$ is finite.
- In other words, the second-order quantification in WMSO is over finite sets.
 - On the other hand, we can quantify arbitrary sets in MSO.

Infinite Inputs as Structures

- Let Σ be a finite alphabet.
- Consider the structure $\mathfrak{I} = (\mathbb{Z}^+, S^{\mathfrak{I}}, (P_a^{\mathfrak{I}})_{a \in \Sigma})$ where
 - $S^{\mathfrak{I}} = \{(n, n+1) : n \in \mathbb{Z}^+\}$;
 - $P_a^{\mathfrak{I}} \subseteq \mathbb{Z}^+$ for all $a \in \Sigma$.
- Intuitively, each positive integer represents a position in an input sequence.
- A position in the set $P_a^{\mathfrak{I}}$ means that the symbol a appears in the position
- We can represent an infinite input with such a structure.

Example

- Let $\Sigma = \{0, 1\}$.
- The input sequence 0^ω corresponds to $\tilde{\mathcal{I}}_0 = (\mathbb{Z}^+, S^{\tilde{\mathcal{I}}_0}, P_0^{\tilde{\mathcal{I}}_0} = \mathbb{Z}^+, P_1^{\tilde{\mathcal{I}}_0} = \emptyset)$.
- The input sequence $(01)^\omega$ corresponds to $\tilde{\mathcal{I}}_1 = (\mathbb{Z}^+, S^{\tilde{\mathcal{I}}_1}, P_0^{\tilde{\mathcal{I}}_1} = \{2k + 1 : k \in \mathbb{N}\}, P_1^{\tilde{\mathcal{I}}_1} = \{2k : k \in \mathbb{Z}^+\})$.

S1S and WS1S

- **Monadic Second-Order Logic with One Successor (S1S)** is the logic MSO over infinite inputs.
 - That is, the satisfaction relation \models is restricted to infinite inputs on the left
- **Weak Monadic Second-Order Logic with One Successor (WS1S)** is the logic WMSO over infinite inputs.

Initially Closed Sets

- A set P of \mathbb{Z}^+ is **initially closed** if

for all $x, y \in \mathbb{Z}^+$ ($y \in P \wedge x \leq y \rightarrow x \in P$).

- Consider the following formula:

$$\text{InCl}(P) = \forall x \forall y ((\text{sing}(x) \wedge Sxy \wedge y \in P) \rightarrow x \in P).$$

- Then

Lemma

For any infinite input structure \mathfrak{I} , the following are equivalent:

- ▶ $\mathfrak{I} \models \text{InCl}(P)$;
- ▶ $\mathfrak{I} \models_W \text{InCl}(P)$;
- ▶ P is initially closed.

Transitive Closure of Successor

- Consider the following binary relations:

$$\begin{aligned} < &= \{(n, n+m) : n, m \in \mathbb{Z}^+\} \\ \leq &= < \cup \{(n, n) : n \in \mathbb{Z}^+\}. \end{aligned}$$

- We can represent these relations in (W)S1S:

$$\begin{aligned} x \leq y &= \text{sing}(y) \wedge \forall P((\text{InCl}(P) \wedge y \in P) \rightarrow x \in P) \\ x < y &= x \leq y \wedge \neg(x = y). \end{aligned}$$

- Thus, we are free to use $x < y$ and $x \leq y$ in (W)S1S.

Infiniteness

- Let \mathfrak{J} be an infinite input structure.
- Consider the following S1S formula:

$$\text{Inf}(P) = \exists P' (P' \neq \emptyset \wedge \forall x' \in P' \exists y \in P \exists y' \in P' (x' < y \wedge x' < y')).$$

- We have $\mathfrak{J} \models \text{Inf}(P)$ if P is an infinite subset of \mathbb{Z}^+ .
 - Informally, P is an infinite subset of \mathbb{Z}^+ if there are infinite $x'_0 < x'_1 < x'_2 < \dots$ such that for each i , there is a y_i such that $x'_i < y_i$.

Logic and Finite Automata

- Let α be an infinite input over Σ and \mathfrak{I}_α its infinite input structure.
- We have two formalisms to define ω -languages over Σ :
 - $L_\omega(M) = \{\alpha : \alpha \text{ is accepted by the DFA } M\}$;
 - $L_\omega(\phi) = \{\alpha : \mathfrak{I}_\alpha \models \phi, \phi \text{ is an S1S formula}\}$.
- An important question (as in DFA's and NFA's) is to determine the expressive power of finite automata over infinite inputs and S1S over infinite input structures. More precisely,
 - Given a DFA M with Muller acceptance, is there an S1S formula ϕ such that $L_\omega(M) = L_\omega(\phi)$?
 - Given an S1S formula ϕ , is there a DFA M with Muller acceptance such that $L_\omega(\phi) = L_\omega(M)$?
- We will show that finite automata and S1S formulae are equally expressive.

Finite Automata to S1S

Lemma

For each NFA M with Muller acceptance, there is a formula $\phi_M \in \text{S1S}$ such that $\forall \alpha \in \Sigma^\omega$, M accepts α iff $\mathfrak{J}_\alpha \models \phi_M$.

Proof.

Let $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$. Define $\bar{R} = (R_q)_{q \in Q}$. Consider

$$\phi_M = \exists \bar{R} (\text{Part} \wedge \text{Init} \wedge \text{Trans} \wedge \text{Accept}).$$

Part formalizes that the states on the run form a partition. Let

$$\begin{aligned} \text{State}_q(x) &= x \in R_q \wedge \bigwedge_{q' \in Q \setminus \{q\}} \neg(x \in R_{q'}) \\ \text{Part} &= \forall x (\text{sing}(x) \rightarrow \bigvee_{q \in Q} \text{State}_q(x)). \end{aligned}$$

Finite Automata to S1S

Proof.

Init formalizes the initial condition.

$$\text{Init} = \exists x(\text{State}_{q_0}(x) \wedge \forall y(\text{sing}(y) \rightarrow x \leq y)).$$

Trans expresses the transition relation.

$$\begin{aligned} \text{Trans} = \forall x \forall x' & ((\text{sing}(x) \wedge \text{sing}(x') \wedge Sxx') \rightarrow \\ & \bigvee_{(q,a,q') \in \delta} (\text{State}_q(x) \wedge x \in P_a \wedge \text{State}_{q'}(x'))). \end{aligned}$$

Accept represents the Muller acceptance. Consider

$$\begin{aligned} \text{InfOcc}_q(P) &= \exists Q(Q \subset P \wedge Q \subseteq R_q \wedge \text{Inf}(Q)) \\ \text{Muller}(P) &= \bigvee_{F \in \mathcal{F}} (\bigwedge_{q \in F} \text{InfOcc}_q(P) \wedge \bigwedge_{q \notin F} \neg \text{InfOcc}_q(P)) \\ \text{Path}(P) &= \text{Inf}(P) \wedge \text{InCl}(P) \wedge \\ & \quad \bigvee Q((\text{Inf}(Q) \wedge \text{InCl}(Q) \wedge Q \subseteq P) \rightarrow Q = P) \\ \text{Accept} &= \forall P(\text{Path}(P) \rightarrow \text{Muller}(P)) \end{aligned}$$

S1S to Finite Automata

Lemma

For each S1S formula ϕ , there is a DFA M_ϕ with Muller acceptance such that $\mathfrak{J}_\alpha \models \phi$ iff $\forall \alpha \in \Sigma^\omega, M_\phi$ accepts α .

Proof.

By induction on ϕ , we construct a DFA M over 2^Σ .

For $\phi = P_a \subseteq P_b$, define $M_\phi = (\{q\}, 2^\Sigma, \delta, q, \{q\})$ where

$$\delta = \{(q, A, q) : A \subseteq \Sigma, \text{ and } a \in A \text{ implies } b \in A\}.$$

For $\phi = SP_a P_b$, define $M_\phi = (\{q_0, q_1, q_2\}, 2^\Sigma, \delta, q_0, \{q_2\})$ where

$$\begin{aligned} \delta = & \{(q_0, A', q_0) : a \notin A', A' \subseteq \Sigma\} \cup \{(q_0, A, q_1) : a \in A, A \subseteq \Sigma\} \cup \\ & \{(q_1, B', q_0) : b \notin B', B' \subseteq \Sigma\} \cup \{(q_1, B, q_2) : b \in B, B \subseteq \Sigma\} \cup \\ & \{(q_2, C, q_2) : C \subseteq \Sigma\}. \end{aligned}$$

S1S to Finite Automata

Proof.

For disjunction and negation, recall that DFA's with Muller acceptance are closed under union and complementation. We apply these constructions in inductive step.

For $\phi = \exists P_a \psi$, assume $M_\psi = (Q, 2^\Sigma, \delta, q_0, \mathcal{F})$. Define $M_\phi = (Q, 2^\Sigma, \delta', q_0, \mathcal{F})$ where

$$\delta' = \{(q, A \setminus \{a\}, q') : (q, A, q') \in \delta\}.$$



- Technically, we construct a DFA over 2^Σ not Σ . This is necessary when, for instance, $\phi = P_a \subseteq P_b$.
- Our presentation is overly simplified. We do not consider monadic relational variables (as in $X \subseteq P_a$).
 - ▶ We can extend the alphabet to have a fresh symbol for each relational variable.

Muller Acceptance and S1S

- Thus, we have shown that nondeterministic finite automata with Muller acceptance have the same expressive power as S1S.
- Observe that the quantification over infinite subsets is needed in Muller acceptance.
 - Precisely, $\text{InfOcc}_q(P)$ in Accept .
- The proof would not go through for WS1S where only finite subsets can be quantified.
- Is WS1S strictly less expressive than S1S?

Deterministic Muller Acceptance and WS1S

- Interestingly, the answer is negative.
- For deterministic finite automata with Muller acceptance, there is a WS1S formula which recognizes the same ω -language.
- Since deterministic finite automata with Muller acceptance is as expressive as nondeterministic ones, WS1S is as expressive as S1S.
- We will give a WS1S formula ϕ_M for each deterministic finite automata M with Muller acceptance.
 - ▶ The idea is to consider all finite prefixes of the accepting run in M .

Deterministic Muller Acceptance to WS1S

Lemma

For each DFA M with Muller acceptance, there is a formula $\phi_M \in \text{S1S}$ such that $\forall \alpha \in \Sigma^\omega$, M accepts α iff $\mathfrak{J}_\alpha \models \phi_M$.

Proof.

Let $M = (Q, \Sigma, \delta, q_0, \mathcal{F})$ be a DFA with Muller acceptance. Define

$$\begin{aligned}\text{State}_q(x) &= x \in R_q \wedge \bigwedge_{q' \in Q \setminus \{q\}} \neg(x \in R_{q'}) \\ \text{Part}(I) &= \forall x \in I (\text{sing}(x) \rightarrow \bigvee_{q \in Q} \text{State}_q(x)) \\ \text{Init} &= \exists x (\text{State}_{q_0}(x) \wedge \forall y (\text{sing}(y) \rightarrow x \leq y)) \\ \text{Trans}(I) &= \forall x \in I \forall x' \in I ((\text{sing}(x) \wedge \text{sing}(x') \wedge Sxx') \rightarrow \\ &\quad \bigvee_{(q,a,q') \in \delta} (\text{State}_q(x) \wedge x \in P_a \wedge \text{State}_{q'}(x'))) \\ \text{Occ}_q(x) &= \exists I (\text{InCl}(I) \wedge x \in I \wedge \\ &\quad \exists \bar{R} (\text{Part}(I) \wedge \text{Init} \wedge \text{Trans}(I) \wedge \text{State}_q(x))) \\ \text{InfOcc}_q &= \forall x (\text{sing}(x) \rightarrow \exists y (x < y \wedge \text{Occ}_q(y))) \\ \text{Accept} &= \bigvee_{F \in \mathcal{F}} (\bigwedge_{q \in F} \text{InfOcc}_q \wedge \bigwedge_{q \notin F} \neg \text{InfOcc}_q).\end{aligned}$$

Deterministic Muller Acceptance to WS1S

Proof.

Let $\phi_M = \text{Accept}$. Then $\mathfrak{J}_\alpha \models \phi_M$ iff M accepts α . □

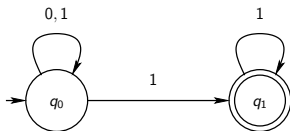


Figure: NFA M_0

- For DFA's, an infinite run is the “limit” of its finite prefixes.
- The formula InfOcc_q correctly expresses that q occurs infinite many times in the run on DFA's.
- On the other hand, InfOcc_q is not correct for NFA's.
 - Consider M_0 as a counterexample.

References

- 1 Hopcroft and Ullman. Introduction to Automata Theory, Languages, and Computation. Addison-Wesley.
- 2 Grädel, Thomas, Wilke. Automata, Logics, and Infinite Games - A Guide to Current Research. Springer.